

## Auditing and Assurance: Workshop for Audit Managers/Seniors In-Charge (Re-run)

### COURSE HIGHLIGHTS:

The *Workshop for Audit Managers/Seniors In-Charge* is a tailor-made programme focusing advanced auditing topics such as risk assessment procedures, auditing accounting estimates, going concern assessment etc. The workshop teaches auditing concepts and practical skills, as well as sharing of recent practice experience relevant to an audit manager/senior in-charges.

This 21-hour workshop applies an interactive learning format through discussions, case studies and role-play. To be eligible for enrolment, participants should have more than two years of auditing experience with fundamental knowledge of auditing. Pre/Post course reading or exercises are required.

Indicative workshop agenda (subject to change):

<ul style="list-style-type: none"> <li>Overview of an audit planning</li> <li>Client and engagement acceptance</li> <li>Code of ethics for professional accountants and case sharing</li> <li>Risk assessment</li> </ul>	<ul style="list-style-type: none"> <li>Consideration of fraud</li> <li>Materiality</li> <li>Audit evidence and documentation</li> <li>Auditing accounting estimates</li> <li>Using the work of others</li> </ul>	<ul style="list-style-type: none"> <li>Auditing a group</li> <li>Subsequent events</li> <li>Going concern</li> <li>Related parties</li> <li>Forming an audit opinion</li> <li>Review the work of others</li> </ul>
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### ABOUT THE PROGRAMME:

Date and Time / Programme Code	Format / Language / Venue	Fee
<b>Date and Time:</b> <b>15 April 2024 (Monday)</b> <b>29 April 2024 (Monday)</b> <b>30 April 2024 (Tuesday)</b> <ul style="list-style-type: none"> <li>9:00 am - 5:00 pm for all three days</li> <li>Total CPD hours: 21</li> </ul> <b>Programme Code:</b> <b>WSHP24041501</b>	<b>Format:</b> Workshop  <b>Language:</b> Cantonese with English handout and terminology  <b>Venue:</b> HKICPA Training Centre 27/F, Wu Chung House, Wanchai, Hong Kong	<ul style="list-style-type: none"> <li>HK\$2,875               <ul style="list-style-type: none"> <li>for HKICPA student (by <b>offline</b> registration)</li> </ul> </li> <li>HK\$2,875               <ul style="list-style-type: none"> <li>for HKICPA member</li> <li>for participant enrolled by a CPA (Practising), CPA Firm or Corporate Practice registered with the Accounting and Financial Reporting Council#</li> </ul>               (by <b>offline</b> registration only – enrolment form)             </li> <li>HK\$4,715 for non-member by <b>offline</b> registration only – enrolment form</li> </ul>

\* Actual CPD hours attained is based on the actual length of time the participant attends the workshop. Please visit [HKICPA webpage](#) for the Institute's CPD policies and requirements.



## ABOUT THE SPEAKER:

**Mr. Vincent Li** MBA, M.Sc.

Former Partner/Director of Deloitte, ShineWing and Pan-China

Mr. Li has over 30 years of experience in the fields of accounting, auditing, corporate finance, business strategies and development. He has extensive experience in providing audit and accounting services to international and multinational enterprises in Hong Kong and Mainland China. He also assisted various Hong Kong entities, state-owned enterprises and local entities in Mainland China in their listing on stock exchanges in Hong Kong, Singapore and Korea.

Mr. Li is a fellow member of the Hong Kong Institute of Certified Public Accountant (HKICPA) and member of the Association of Chartered Certified Accountants (ACCA) and the Institute of Chartered Accountants in England and Wales (ICAEW).

## ABOUT THE PROGRAMME:

**Competency** Auditing and Assurance

**Rating** Intermediate Level\*

*\* Please refer to the [Institute's online CPD Learning Resource Centre](#) for descriptions of competency and rating.*

**Participants** New auditors recently onboard, or audit staff with less than two years of experience

**Registration** Enrolment is on a first-come-first served basis

- For HKICPA student members, please click [here](#) for online registrations or register the workshop offline by email or post with the completed enrolment form.
- For HKICPA members, please register the workshop offline by email or post with the completed enrolment form.
- #Non-HKICPA members enrolled by a CPA (Practising), CPA Firm or Corporate Practice registered with the Accounting and Financial Reporting Council has to register the workshop offline by email or post with the completed enrolment form in order to enjoy the member price.

**Remarks**

- **Enrolment deadline: 8 April 2024**
- Maximum capacity of the workshop is 60 participants.
- Participants shall maintain good personal hygiene and pay attention to their health condition. If you have any respiratory symptoms, please avoid attending this workshop and consult a doctor.
- To ensure the health of fellow participants, sanitizing gel is provided for disinfection of hands at the venue



**Payment & Enrolment Status  
Enquiry:**

Tel: 2287 7381  
Email: [enrolment@hkicpa.org.hk](mailto:enrolment@hkicpa.org.hk)

**Course Information  
Enquiry:**

Tel: 2287 7057  
Email: [tls@hkicpa.org.hk](mailto:tls@hkicpa.org.hk)

**Disclaimer:**

- *The opinions expressed by external guest speakers are, by their nature, those of the speakers. They are not necessarily endorsed by the Institute nor do they necessarily reflect the official policies and views of the Institute, its staff or members.*
- *The Institute takes no responsibility for any loss associated with any person acting or refraining from acting as a result of participation in the event.*
- *The Institute does not endorse or recommend any products or services that may be mentioned in the event and is not responsible for any loss or damage arising from the use of such products or services. Participants are reminded that there may be a wide range of related products or services available in the market and that they should carry out their own research and obtain independent advice before subscribing to any products or services.*

**Event code: WSHP240415401**

Email: [enrolment@hki CPA.org.hk](mailto:enrolment@hki CPA.org.hk)



Finance &amp; Operations Department, Hong Kong Institute of CPAs

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(if applicable)

Company name:

Position held: \_\_\_\_\_ Email: \_\_\_\_\_

**\*\*Mandatory: Years of experience in auditing:**\_\_\_\_\_ year(s) (for enrollment confirmation purpose)

Telephone No.: \_\_\_\_\_ Fax No.: \_\_\_\_\_

**Admission Fee - please tick the applicable option(s) and fill in as appropriate:**

- ☐ HK\$ 2,875 per person (HKICPA students/ HKICPA members)
- ☐ HK\$ 2,875 per person (Non-HKICPA members enrolled by a CPA (Practising), CPA Firm or Corporate Practice registered with the AFRC)

Name of the CPA (Practising), CPA Firm or Corporate Practice who nominate the enrollee:	
Practising Cert. No or Registration No. with the AFRC:	
Signature of the CPA (Practising), CPA Firm or Corporate Practice who nominate the enrollee:	

- ☐ **HK\$ 4,715 per person (Non-HKICPA members)**
- ☐ Cheque (no. \_\_\_\_\_) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"

- ☐
- BOC
- ☐
- HKICPA
- ☐
- VISA
- ☐
- BOC HKICPA UnionPay card
- ☐
- Other VISA /Master Card

Card Number:																		Card Expiry Date (MM/YY):				
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Cardholder's Name (block letters):	Cardholder's Signature:	Date:
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- ☐ I would like to have an official receipt. (Remarks: Official receipt will be sent to your email address provided above.)

**Notes:**

1. Please complete the Enrolment Form and return it to the Finance & Operations on or before the deadline, together with full payment.
2. Registration by email will ONLY be processed when payment is made by credit card.
3. Cash is strictly NOT accepted. For credit card payment, the credit card should be valid with expiry date at least 1 month from the date of event. For payment by cheque, the enrolment will only be processed upon receipt of full payment.
4. For registration by post or email, enrolment will be confirmed one week before the workshop commencement date. No telephone reservation will be accepted.
5. Confirmation of enrolment will be sent to you via email. Unsuccessful enrolment will be notified with full refund.
6. All scheduled events will be cancelled and postponed to a date to be announced in the event of typhoon signal no. 8 or above or if a Black Rainstorm warning is hoisted or unforeseen circumstances. For details of [bad weather arrangement](#) for CPD programmes, please refer to the Institute's homepage.
7. In normal circumstances, the workshop fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the workshop be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
8. No unauthorized audio or video recording is allowed at CPD events.

**Personal Data:** Your personal data collected from the enrolment process and administration of courses/events/activities will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link <http://mas.hkicpa.org.hk/mycpa/communication/preference>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at [privacyofficer@hkicpa.org.hk](mailto:privacyofficer@hkicpa.org.hk) or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <http://www.hkicpa.org.hk/en/service-tools/privacy-policy/>.

**Payment & Enrolment Status Enquiry:2287-7381**  
e-mail: [enrolment@hkicpa.org.hk](mailto:enrolment@hkicpa.org.hk)

**Event Information Enquiry:** 2287-7057  
e-mail: [tls@hkicpa.org.hk](mailto:tls@hkicpa.org.hk)

For non-member and firm payment by cheque, please fill-in your postal address in case of refund:

Name :	Name :
Address :	Address :