

Auditing and Assurance:

Workshop for New Auditors – Rerun (January 2024)

COURSE HIGHLIGHTS:

The Workshop for New Auditors is a tailor-made programme that provides the core concepts and practical skills essential for new auditors of financial statement audits.

The workshop teaches the auditing concepts and practical skills, as well as shares recent practice experience relevant to new auditors. The workshop also provides an awareness of other aspects of the audit process.

This 21-hour workshop applies an interactive learning format through discussions, case studies and role-play. Pre/Post course reading or exercises are required.

Workshop programme (subject to change):

Day 1	Day 2	Day 3
<ul style="list-style-type: none"> Basics of an audit Auditing and financial reporting framework in Hong Kong Fundamental ethics for professional accountants Obtaining knowledge of client's business Systems, controls and walkthrough testing 	<ul style="list-style-type: none"> Materiality Financial statements assertions Types of audit tests Audit sampling Dealing with misstatements Audit procedures of selected business cycles 	<ul style="list-style-type: none"> Audit procedures of selected business cycles (continued) Review of statutory records Dealing with client on site

Date and Time / Programme Code	Format / Language / Venue	Fee
<p>Date and Time: 17 January 2024 (Wed) 18 January 2024 (Thurs) 22 January 2024 (Mon)</p> <ul style="list-style-type: none"> 9:00 am - 5:00 pm for all three days Total CPD hours: 21 <p>Programme Code: WSHP24011701</p>	<p>Format: Workshop</p> <p>Language: Cantonese with English handout and terminology</p> <p>Venue: HKICPA Training Centre 27/F, Wu Chung House, Wanchai, Hong Kong</p>	<ul style="list-style-type: none"> HK\$2,875 <ul style="list-style-type: none"> for HKICPA student (by online or offline registration) HK\$2,875 <ul style="list-style-type: none"> for HKICPA member for participant enrolled by a CPA (Practising), CPA Firm or Corporate Practice registered with the Accounting and Financial Reporting Council# (by offline registration only – enrolment form) HK\$4,715 for non-member by offline registration only – enrolment form

ABOUT THE SPEAKER:

Mr. Vincent Li *MBA, M.Sc.*

Former Partner/Director of Deloitte, ShineWing and Pan-China

Mr. Li has over 30 years of experience in the fields of accounting, auditing, corporate finance, business strategies and development. He has extensive experience in providing audit and accounting services to international and multinational enterprises in Hong Kong and Mainland China. He also assisted various Hong Kong entities, state-owned enterprises and local entities in Mainland China in their listing on stock exchanges in Hong Kong, Singapore and Korea.

Mr. Li is a fellow member of the Hong Kong Institute of Certified Public Accountant (HKICPA) and member of the Association of Chartered Certified Accountants (ACCA) and the Institute of Chartered Accountants in England and Wales (ICAEW).

ABOUT THE PROGRAMME:

Competency Auditing and Assurance

Rating Foundation Level*

** Please refer to the [Institute's online CPD Learning Resource Centre](#) for descriptions of competency and rating.*

Participants New auditors recently onboard, or audit staff with less than two years of experience

Registration Enrolment is on a first-come-first served basis

- For HKICPA student members, please click [here](#) for online registrations or register the workshop offline by email or post with the completed enrolment form.
- For HKICPA members, please register the workshop offline by email or post with the completed enrolment form.
- #Non-HKICPA members enrolled by a CPA (Practising), CPA Firm or Corporate Practice registered with the Accounting and Financial Reporting Council has to register the workshop offline by email or post with the completed enrolment form in order to enjoy the member price.

Remarks

In light of the continuing risks posed by COVID-19, the Institute is adopting the following precautionary measures at the workshop (subject to change):

- Maximum capacity of the workshop is 60 participants.
- Participants shall maintain good personal hygiene and pay attention to their health condition. If you have any respiratory symptoms, please avoid attending this workshop and consult a doctor.
- To ensure the health of fellow participants, sanitizing gel is provided for disinfection of hands at the venue



Payment & Enrolment Status Enquiry:

Tel: 2287 7381
Email: enrolment@hkicpa.org.hk

Course Information Enquiry:

Tel: 2287 7009
Email: tls@hkicpa.org.hk

Disclaimer:

- *The opinions expressed by external guest speakers are, by their nature, those of the speakers. They are not necessarily endorsed by the Institute nor do they necessarily reflect the official policies and views of the Institute, its staff or members.*
- *The Institute takes no responsibility for any loss associated with any person acting or refraining from acting as a result of participation in the event.*
- *The Institute does not endorse or recommend any products or services that may be mentioned in the event and is not responsible for any loss or damage arising from the use of such products or services. Participants are reminded that there may be a wide range of related products or services available in the market and that they should carry out their own research and obtain independent advice before subscribing to any products or services.*

Live webinar: Workshop for New Auditors – Rerun (January 2024)

- 17, 18 and 22 January 2024

Event code: **WSHP24011701**

**Registration by email is accepted only if the payment is made by credit card.
Email: enrolment@hkcipa.org.hk



Finance & Operations Department, Hong Kong Institute of CPAs
37th Floor, Wu Chung House, 213 Queen's Road Est, Hong Kong

Name: (*Mr. / Mrs. / Ms.) _____ HKICPA Membership/Student no.: _____
(if applicable)

Company name: _____

Position held: _____ Email: _____

****Mandatory: Years of experience in auditing: _____ year(s)** (for enrolment confirmation purpose)

Telephone No.: _____ Fax No.: _____

Admission Fee - please tick the applicable option(s) and fill in as appropriate:

- HK\$ 2,875 per person (HKICPA students/ HKICPA members)**
- HK\$ 2,875 per person (Non-HKICPA members enrolled by a CPA (Practising), CPA Firm or Corporate Practice registered with the AFRC)**

Name of the CPA (Practising), CPA Firm or Corporate Practice who nominate the enrollee:	
Practising Cert. No or Registration No. with the AFRC:	
Signature of the CPA (Practising), CPA Firm or Corporate Practice who nominate the enrollee:	

- HK\$ 4,715 per person (Non-HKICPA members)**
- Cheque (no. _____) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"

- BOC HKICPA VISA BOC HKICPA UnionPay card Other VISA /Master Card

Card Number: _____ Card Expiry Date (MM/YY): _____

Cardholder's Name (block letters): _____ Cardholder's Signature: _____ Date: _____

- I would like to have an official receipt. (Remarks: Official receipt will be sent to your email address provided above.)

Notes:

- Please complete the Enrolment Form and return it to the Finance & Operations on or before the deadline, together with full payment.
- Registration by email will ONLY be processed when payment is made by credit card.
- Cash is strictly NOT accepted. For credit card payment, the credit card should be valid with expiry date at least 1 month from the date of event. For payment by cheque, the enrolment will only be processed upon receipt of full payment.
- For registration by post or email, enrolment will be confirmed one week before the workshop commencement date. No telephone reservation will be accepted.
- Confirmation of enrolment will be sent to you via email. Unsuccessful enrolment will be notified with full refund.
- All scheduled events will be cancelled and postponed to a date to be announced in the event of typhoon signal no. 8 or above or if a Black Rainstorm warning is hoisted or unforeseen circumstances. For details of [bad weather arrangement](#) for CPD programmes, please refer to the Institute's homepage.
- In normal circumstances, the workshop fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the workshop be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
- No unauthorized audio or video recording is allowed at CPD events.

Personal Data: Your personal data collected from the enrolment process and administration of courses/events/activities will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link <http://mas.hkcipa.org.hk/mycpa/communication/preference>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at privacyofficer@hkcipa.org.hk or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <http://www.hkcipa.org.hk/en/service-tools/privacy-policy/>.

Payment & Enrolment Status Enquiry: 2287-7381
e-mail: enrolment@hkcipa.org.hk

Event Information Enquiry: 2287-7009
e-mail: tls@hkcipa.org.hk

For non-member and firm payment by cheque, please fill-in your postal address in case of refund:

Name :	Name :
Address :	Address :