

Auditing and Assurance: Workshop for Audit Managers/Seniors In-Charge

COURSE HIGHLIGHTS:

The *Workshop for Audit Managers/Seniors In-Charge* is a tailor-made programme focusing advanced auditing topics such as risk assessment procedures, auditing accounting estimates, going concern assessment etc. The workshop teaches auditing concepts and practical skills, as well as sharing of recent practice experience relevant to an audit manager/senior in-charges.

This 21-hour workshop applies an interactive learning format through discussions, case studies and role-play. To be eligible for enrolment, participants should have more than two years of auditing experience with fundamental knowledge of auditing. Pre/Post course reading or exercises are required.

Indicative workshop agenda (subject to change):

Day 1	Day 2	Day 3
<ul style="list-style-type: none"> Overview of an audit planning Client and engagement acceptance Code of ethics for professional accountants Risk assessment 	<ul style="list-style-type: none"> Consideration of fraud Materiality Audit evidence and documentation Auditing accounting estimates Using the work of others 	<ul style="list-style-type: none"> Auditing a group Subsequent events Going concern Related parties Forming an audit opinion Review the work of others

ABOUT THE PROGRAMME:

Event code	Date and Time	Format / Language	Venue	Fee
WSHP23050201	2-May-2023 (Tue) 5-May-2023 (Fri) 8-May-2023 (Mon) 9:00am - 5:00pm for all three days Total CPD hours of the workshop: 21 hours*	Workshop / Cantonese with English handout and terminology	HKICPA Training Centre 27/F, Wu Chung House, Wanchai, Hong Kong	<ul style="list-style-type: none"> HK\$2,500 <ul style="list-style-type: none"> for HKICPA members or student members #for participants enrolled by CPA (Practising) HK\$4,100 <ul style="list-style-type: none"> for non-members <p>#Only offline registration with completed enrolment form and payment details is accepted</p>

* Actual CPD hours attained is based on the actual length of time the participant attends the workshop. Please visit [HKICPA webpage](#) for the Institute's CPD policies and requirements.

ABOUT THE SPEAKERS:



Hon Edmund Wong FCPA (Practising)
Legislative Council Member (Accountancy Functional Constituency)
Chairman, Small and Medium Practices Committee, HKICPA

Edmund is a Practising Director of Patrick Wong CPA Limited. He has extensive practical experience in audit and assurance, financial reporting, taxation as well as corporate compliance.

Edmund is experienced in delivering lectures at tertiary level and for professional examinations, including auditing and assurance, financial reporting, managerial accounting, business and corporate reporting, as well as Hong Kong taxation.



Mr. Kenneth Lau FCPA (Practising)
Member, Financial Reporting Standards Committee, HKICPA
Member, Technical Issues Support Group, Small and Medium Practices Committee, HKICPA

Kenneth is a partner of Crowe (HK) CPA Limited. He has over 25 years of experience in providing audit and assurance services for listed and private entities who also focuses on IPOs and merger and acquisition engagements. Kenneth is a regular speaker on audit-related and financial reporting training courses.

Competency	Auditing and Assurance
Rating	Intermediate Level*
	<i>* Please refer to the Institute's online CPD Learning Resource Centre for descriptions of competency and rating.</i>
Participants	Audit managers or senior in-charges possessing more than two years of auditing experience with fundamental knowledge in auditing
Registration	<p>Only offline registration by email/post with completed enrolment form and payment details is accepted.</p> <p>By email: enrolment@hki CPA.org.hk</p> <p>By post: Finance & Operations Department Hong Kong Institute of CPAs 37th Floor, Wu Chung House, 213 Queen's Road East Hong Kong</p> <p>Non-members enrolled by a CPA (Practising) should provide the name and membership no. of the CPA (Practising) in order to enjoy the member price.</p>
Remarks	<p>In light of the continuing risks posed by COVID-19, the Institute is adopting the following precautionary measures at the workshop (subject to change):</p> <ul style="list-style-type: none"> Maximum capacity of the workshop is 60 participants



- Participants shall maintain good personal hygiene and pay attention to their health condition. If you have any respiratory symptoms, please avoid attending this workshop and consult a doctor
- To ensure the health of fellow participants, sanitizing gel is provided for disinfection of hands at the venue
- No refreshment and beverage will be provided to avoid infection

Payment & Enrolment Status Enquiry:

Tel: 2287 7381
Email: enrolment@hkicpa.org.hk

Event Information Enquiry:

Tel: 2287 7386
Email: tis@hkicpa.org.hk

Disclaimer:

- *The opinions expressed by external guest speakers are, by their nature, those of the speakers. They are not necessarily endorsed by the Institute nor do they necessarily reflect the official policies and views of the Institute, its staff or members.*
- *The Institute takes no responsibility for any loss associated with any person acting or refraining from acting as a result of participation in the event.*
- *The Institute does not endorse or recommend any products or services that may be mentioned in the event and is not responsible for any loss or damage arising from the use of such products or services. Participants are reminded that there may be a wide range of related products or services available in the market and that they should carry out their own research and obtain independent advice before subscribing to any products or services.*

2, 5 and 8 May 2023

Only **offline** registration is accepted



Hong Kong Institute of
Certified Public Accountants
香港會計師公會

**Finance & Operations Department,
Hong Kong Institute of CPAs**
37th Floor, Wu Chung House, 213
Queen's Road Est, Hong Kong

Email: enrolment@hkcipa.org.hk

Name: (*Mr. / Mrs. / Ms.) _____ HKICPA Membership/Student no.: _____

(if applicable)

Company name: _____ (if applicable)

Position held: _____ Email: _____

(for enrolment confirmation purpose)

****Mandatory: Years of experience in auditing: _____ year(s)**

Telephone No.: _____ Fax No.: _____

Admission Fee: ☐ HK\$ 2,500 per person [Event code: [WSHP23050201]

☐ HKICPA students / members

☐ Enrolled by CPA (Practising)

Name of CPA (Practising): _____

No. of CPA (Practising): _____

☐ HK\$ 4,100 per person (Non-HKICPA members)

☐ Cheque (no. _____) payable to “Hong Kong Institute of Certified Public Accountants” or “HKICPA”

 BOC HKICPA VISA

☐ BOC HKICPA UnionPay card☐ Other VISA /Master Card

Card Number:

Card Expiry Date (MM/YY):

Cardholder's Name (block letters):

Cardholder's Signature:

Date:

☐ I would like to have an official receipt. (Remarks: Official receipt will be sent to your email address provided above.)

Notes:

1. Please complete the Enrolment Form and return it to the Finance & Operations on or before the deadline, together with full payment.
2. Registration by email will ONLY be processed when payment is made by credit card.
3. Cash is strictly NOT accepted. For credit card payment, the credit card should be valid with expiry date at least 1 month from the date of event. For payment by cheque, the enrolment will only be processed upon receipt of full payment.
4. For registration by post or email, enrolment will be confirmed one week before the workshop commencement date. No telephone reservation will be accepted.
5. Confirmation of enrolment will be sent to you via email. Unsuccessful enrolment will be notified with full refund.
6. All scheduled events will be cancelled and postponed to a date to be announced in the event of typhoon signal no. 8 or above or if a Black Rainstorm warning is hoisted or unforeseen circumstances. For details of [bad weather arrangement](#) for CPD programmes, please refer to the Institute's homepage.
7. In normal circumstances, the seminar fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the seminar be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
8. No unauthorized audio or video recording is allowed at CPD events.

Personal Data: Your personal data collected from the enrolment process and administration of courses/events/activities will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link <http://mas.hkicpa.org.hk/mycpa/c/hkcommunication/preference>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at privacyofficer@hkicpa.org.hk or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <http://www.hkicpa.org.hk/en/service-tools/privacy-policy/>.

Payment & Enrolment Status Enquiry:

2287-7381 e-mail: enrolment@hkicpa.org.hk

Event Information Enquiry: 2287-7386

e-mail: tls@hkipa.org.hk

For non-member and firm payment by cheque, please fill-in your postal address in case of refund:

Name :

Name :

Address :

Address :