



## E-learning: Overview of the regime for insurance intermediaries and the Insurance (Financial and Other Requirements for Licensed Insurance Broker Companies) Rules

[Event code: ESEMETLS21111901]

**Event Date** 19 November 2020  
(Enrolment from 5 February 2021)

**Speaker** David Tam  
Manager, Market Conduct  
Insurance Authority

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**Language** Cantonese with English Handout and Terminology

**Fee** **HK\$200** for HKICPA member or student /International Affiliate (IA)  
**HK\$360** for non-member

**CPD Credit hours** 1.5 hours

**Competency** Industry Knowledge

**Rating** Intermediate Level\* - Sound understanding of the knowledge area. The ability to apply knowledge and skills to a range of situations and able to deal with new situations.

\* Please refer [here](#) for descriptions of other competencies and ratings

### ABOUT THE E-learning

The Insurance (Financial and Other Requirements for Licensed Insurance Broker Companies) Rules (“Rules”) took effect on 23 September 2019. The Rules set out requirements for licensed insurance brokers in relation to capital and net assets; professional indemnity insurance; keeping of separate client accounts and keeping of proper books and accounts.

In this seminar, the speaker from the Insurance Authority will highlight requirements of the Rules and share regulatory experiences and observations on licensed insurance brokers’ application of the Rules. There will be a Q&A session at the end of the seminar to address participants’ questions or comments.

### REGISTRATION

- Online registration is recommended, please click [here](#) or visit [www.hkicpa.org.hk](http://www.hkicpa.org.hk)
- To register offline (by post or by fax), please complete the enclosed enrolment form and return it with full payment to the Institute.
- Confirmation of registration will be sent by email. If you have not received confirmation of your application, please contact us.

**Payment & Enrolment Status Enquiry:**

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