

# E-learning: New Quality Management Standards Series

## COURSE HIGHLIGHTS:

The Hong Kong Institute of Certified Public Accountants hosted a series of live virtual workshops on the new Quality Management Standards which cover (a) HKSQM 1 *Quality Management for Firms that Perform Audits or Reviews of Financial Statements, or Other Assurance or Related Services Engagements*; (b) HKSQM 2 *Engagement Quality Reviews*; and (c) HKSA 220 (Revised) *Quality Management for an Audit of Financial Statements*.

The series includes three archived virtual workshops aiming to highlight the key requirements of the standards and provides practical guidance specific to SMPs for their effective implementation.

Topic/ Programme Code	Event Date	Outline
<b>E-learning –            Workshop 1:</b> Overview and Key Requirements  (ESEMETLS22030801)	8 March 2022  Enrolment starts from 10 May 2022	<ul style="list-style-type: none"> <li>• Overview and key requirements of, and interaction among HKSQM 1, HKSQM 2 and HKSA 220 (Revised), including:               <ul style="list-style-type: none"> <li>- The eight components of a system of quality management (SOQM)</li> <li>- Assignment of responsibilities related to SOQM</li> <li>- Annual evaluation of SOQM</li> </ul> </li> <li>• Practical examples to illustrate scalability and tailoring of the requirements to a SMP and implementation considerations for a SMP vs a more complex firm</li> </ul>
<b>E-learning –            Workshop 2:</b> Implementing the Risk- based Approach  (ESEMETLS22040701)	7 April 2022  Enrolment starts from 10 May 2022	<ul style="list-style-type: none"> <li>• Applications in practical scenarios:               <ul style="list-style-type: none"> <li>- Establishment of quality objectives</li> <li>- Identification and assessment of quality risks considering the size and complexity of the firm and engagements that it performs</li> <li>- Design and implementation of responses to address the identified quality risks</li> </ul> </li> <li>• Illustrative examples to demonstrate how the risk assessment process, quality risks and responses may differ between a SMP and a more complex firm</li> </ul>
<b>E-learning –            Workshop 3:</b> Intellectual, Technological and Human Resources Including Those from Service Providers  (ESEMETLS22042101)	21 April 2022  Enrolment starts from 10 May 2022	<ul style="list-style-type: none"> <li>• Deep dive discussion on definition, significant changes and new requirements specified in the standards in relation to various types of resources</li> <li>• Practical examples to illustrate how SMPs implement resources to meet the new requirements under different scenarios like:               <ul style="list-style-type: none"> <li>- When a SMP uses an IT tool</li> <li>- When a SMP uses a service provider to perform audit procedures</li> <li>- When a SMP uses a service provider to provide monitoring activities</li> </ul> </li> </ul>



<b>Fee (for each)</b>	HKICPA member or student: \$500 International Affiliate (IA): \$500 Non-member: \$750
<b>Language</b>	Cantonese with English handouts and terminologies
<b>CPD hours (for each)</b>	2
<b>Competency</b>	Auditing and Assurance
<b>Rating</b>	Intermediate Level*
<b>Participants</b>	Professional accountants working in the field of auditing or with an interest in auditing

\* Please click [here](#) for online registrations and refer to the [Institute's online CPD Learning Resource Centre](#) for descriptions of competency and rating.

### **ABOUT THE SPEAKER:**



**Ms. Eddie Ng**  
**Partner, KPMG**

Ms. Ng specializes in quality assurance and audit reporting and provides technical and training support on auditing and assurance matters. She also has extensive experience in maintaining the firm's system of quality control under the extant quality control standards. Her recent roles include coordinating the firm's implementation of the system of quality management under the new quality management standards. Ms. Ng also represents KPMG China in KPMG Global working group on system of quality management.

### **ABOUT THE REGISTRATION:**

- Online registration is recommended, please click [here](#) or visit [www.hkicpa.org.hk](http://www.hkicpa.org.hk)
- To register offline (by post or by fax), please complete the enclosed enrolment form and return it with full payment to the Institute.
- Confirmation of registration will be sent by email. If you have not received confirmation of your application, please contact us.

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**Course Information Enquiry:**

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No.	Course Code (e.g. ESEMEC13501)	Course Title (e.g. Annual Accounting Update 2020: The "New Normal" Financial Reporting)	Fee (HK\$)
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Total (HK\$)			

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