



**E-learning webinar:**

**Event code: EWEB22030301**

**Professional Enhancement Programme in Forensic Accounting (Re-run)**

The Institute's Forensics Interest Group (ForensIG) is very pleased to be able to invite applications for this newly-launched specialist training course. Given the increasing demand for forensic accounting and investigation-related work, both locally and cross-border, and strong support for additional professional development in this area, this practical, introductory training course covers all the main bases and will help fill a gap in the market in Hong Kong for structured training covering the key disciplines in the specialist field of forensic accounting.

This will be a practical course in which experienced practitioners, including ForensIG Management Committee members and their colleagues, share their knowledge, experience and practical insights in a range of different areas (e.g., investigations, interviewing witnesses, securing evidence, technology, giving expert witness testimony) through the use of presentation materials and case studies. Participants will be able to apply what they have learnt from the course to their daily work and gain a step up to the next level of professional development. Meanwhile, those new to this field can obtain a solid grounding in these core forensic subject areas.

Upon completion of the course, a certificate of attendance will be awarded to each participant who has fulfilled the overall minimum 75% attendance, e.g. participants will need to attend at least six out of eight sessions of the full series of the webinars.

**Details**

<b>Format</b>	<b>Live webinar</b>
<b>Language</b>	<b>English</b>
<b>Fee</b>	HK\$200
<b>Participants</b>	<b>Accounting professionals, including members of ForensIG and practitioners who are working/ plan to work in the forensic accounting field, and professionals who want to add to their skillset or change their career path.</b>
<b>Competency*</b>	Forensic Accounting
<b>Rating*</b>	Foundational/ Intermediate level
<b>CPD hours</b>	16
<b>Application deadline</b>	<b>Online enrolment 28 February 2022</b> , offline enrolment by 24 February 2022 <ul style="list-style-type: none"><li>• Seats are limited and on first-come-first-served basis.</li><li>• Contact Jordan Cheung at 2287 7067 or Ki Wong at 2287 7017 for enquiry.</li></ul>
<b>Note</b>	<ol style="list-style-type: none"><li>1. <i>This event is funded by the Professional Services Advancement Support Scheme of the Government of the Hong Kong Special Administrative Region.</i></li><li>2. <i>Any opinions, findings, conclusions or recommendations expressed in this event organised under this project do not reflect the views of the Government of the Hong Kong Special Administrative Region or the Vetting Committee of the Professional Services Advancement Support Scheme.</i></li></ol>

\* Please refer to the [Institute's online CPD Learning Resource Centre](#) for descriptions of competency and rating.

Topic and event code	Date and time	Speakers
<b>Session</b>		
1. <b>Introduction to forensic accounting</b> (1.5 hours)	<b>Thursday, 3 March 2022</b> 2:30 p.m. to 4 p.m.	<b>Guy Norman</b> Partner, Forensic & Dispute Services, Deloitte China  <b>Jeffrey Ip</b> Director, Forensic Services, Deloitte  <b>Caroline Rony</b> Manager, Forensic Services, Deloitte
2. <b>Understanding the basic structure of international legal systems, including Hong Kong's, and where forensic accountants are needed for proceedings</b> (2.5 hours)	<b>Friday, 4 March 2022</b> 2:30 p.m. to 5 p.m.	<b>Janie Wong</b> Partner, Addleshaw Goddard (Hong Kong) LLP  <b>Guy Norman</b> Partner, Forensic & Dispute Services, Deloitte China
3. <b>Overview of forensic investigations</b> (2 hours)	<b>Thursday, 10 March 2022</b> 2:30 p.m. to 4:30 p.m.	<b>Henry Chambers</b> Senior Director, Alvarez & Marsal  <b>Summer Li</b> Manager, Alvarez & Marsal
4. <b>Expert witness work</b> (2 hours)	<b>Friday, 11 March 2022</b> 2:30 p.m. to 4:30 p.m.	<b>Kenneth Yeo</b> Director and Head of Specialist Advisory, BDO
5. <b>Overview of forensic technology</b> (1.5 hours)	<b>Thursday, 17 March 2022</b> 2:30 p.m. to 4 p.m.	<b>Baron Zhao</b> Senior Manager, Forensic & Integrity Services, Ernst & Young  <b>Michael Poon</b> Manager (Senior Data Scientist), Forensics & Integrity Services, Ernst & Young
6. <b>Interview and investigation skills</b> (2.5 hours)	<b>Friday, 18 March 2022</b> 2:30 p.m. to 5 p.m.	<b>Kelvin Cheong</b> Partner, Forensic Services, PricewaterhouseCoopers  <b>Jessica Li</b> Partner, Forensic Services, PricewaterhouseCoopers

<b>Topic and event code (cont'd)</b>	<b>Date and time</b>	<b>Speakers</b>
<b>Session</b>		
<b>7. Overview of forensic accountants' proactive roles (2 hours)</b>	<b>Thursday, 24 March 2022 2:30 p.m. to 4:30 p.m.</b>	<b>Sepia Chan</b> Senior Director, Risk Advisory & Investigation practice, FTI Consulting  <b>Kevin Ma</b> Director, Risk Advisory & Investigation practice, FTI Consulting
<b>8. Forensic engagement reporting (2 hours)</b>	<b>Friday, 25 March 2022 2:30 p.m. to 4:30 p.m.</b>	<b>Rani Kamaruddin</b> Partner, Forensic, KPMG  <b>Thomas Tsang</b> Associate Director, Forensic, KPMG

**Session 1 on Thursday, 3 March 2022 – about the webinar and the speakers**

**Outline**

**Introduction to forensic accounting (1.5 hours)**

- Overall framework
- Engaging external clients (e.g. response, prevention and detection)
- Providing audit support
- Understanding the differences between audit and forensics practices

**Speakers**

**Guy Norman**

**Convenor, ForensIG Management Committee, and Family Enterprise Consulting Leader, Deloitte China**

Guy is a Partner with Deloitte China Financial Advisory. He is a Lead Partner in Dispute Services and Private/Family matters.

Guy specializes in estate management and disputes work, and other court appointments, as well as legal and regulatory matters. With 29 years in Hong Kong/China, he has been heavily involved in the administration of a number of family matters and has led several engagements including appointments made under the Companies Ordinance, the Probate and Administration Ordinance and the Mental Health Ordinance. Of publicly known matters, Guy was involved in the Estate of Nina Kung (a.k.a. Nina Wang), the Estate of Wang Teh Huei and the Estate of Mui Yim Fong.

Guy's experience includes oversight of companies or businesses in the context of estates, as well as shareholder or family disputes. He has been dealing with trust, probate, and other family matters for the last 20 years in Hong Kong. From these and other engagements, Guy has substantial experience in managing individuals' property and affairs in situations of varying complexity.

**Jeffrey Ip**

**Director, Forensic Services, Deloitte**

Jeffrey has been with Deloitte for 15 years. He specialises in financial analysis, financial modelling, operational and corporate restructuring and sensitive family matters including disputes and affairs of the family members.

Jeffrey has provided advisory services to listed and private clients in Hong Kong and various overseas jurisdictions in a wide range of industries.

Jeffrey worked on several due diligence, investigation and financial monitoring projects on several Hong Kong listed and private companies and its PRC subsidiaries which involved investigations on various aspects, historical business transactions of the companies and their directors.

**Caroline Rony**

**Manager, Forensic Services, Deloitte**

Caroline is a Manager working in the Forensic team in Hong Kong. She has more than 7 years of experience in forensic work across Europe, Asia and Africa.

Her experience includes conducting investigations into allegations of fraud, corruption and sanctions violations, performing forensic accounting analysis, background searches, document reviews and interviews, as well as designing and rolling out compliance programs (AML-CFT, anti-corruption and market abuse).

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**Session 2 on Friday, 4 March 2022 – about the webinar and the speakers**

**Outline**

**Understanding the basic structure of international legal systems, including Hong Kong's, and where forensic accountants are needed for proceedings (2.5 hours)**

- Types of legal systems (Common law vs. civil law)
- The criminal and civil justice systems
- Basic principles of evidence and testifying
- Roles of forensic accountants in the court. Examples: Their roles in family/ shareholder disputes, divorce-related proceedings, asset tracing, financial statement fraud and asset misappropriation, etc.
- Roles of forensic accountants in arbitrations

**Speakers**

**Janie Wong**

**Partner, Addleshaw Goddard (Hong Kong) LLP**

Janie is a partner in Addleshaw Goddard (Hong Kong) LLP's dispute resolution practice. Based in Hong Kong, she focuses her practice on international arbitration, complex commercial litigation and cross-border investigations with an emphasis on Asia. She represents clients in contentious matters, provides counsel on regulatory compliance (particular relating to bribery and/or corruption risks) and assists with pre-acquisition due diligence. She is recognized as a Future Leader in Who's Who Legal Litigation – Future Leaders – Partners (2020).

**Guy Norman**

**Convenor, ForensIG Management Committee, and Family Enterprise Consulting Leader, Deloitte China**

Guy is a Partner with Deloitte China Financial Advisory. He is a Lead Partner in Dispute Services and Private/Family matters.

Guy specializes in estate management and disputes work, and other court appointments, as well as legal and regulatory matters. With 29 years in Hong Kong/China, he has been heavily involved in the administration of a number of family matters and has led several engagements including appointments made under the Companies Ordinance, the Probate and Administration Ordinance and the Mental Health Ordinance. Of publicly known matters, Guy was involved in the Estate of Nina Kung (a.k.a. Nina Wang), the Estate of Wang Teh Huei and the Estate of Mui Yim Fong.

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**Session 3 on Thursday, 10 March 2022 – about the webinar and the speakers**

**Outline**

**Overview of forensic investigations (2 hours)**

- Overall framework: Planning and managing investigations
- With the concepts of evidence integrity and chain of custody in mind, identifying, managing and analysing different kinds of evidence
- Preparing for an investigative interview
- Understanding investigative tools such as background research, data analysis and forensic technology
- Appraising investigation reports and issues on their preparation and use

**Speakers**

**Henry Chambers**  
**Senior Director, Alvarez & Marsal**

Henry Chambers is a Senior Director with Alvarez & Marsal Disputes and Investigations in Hong Kong, specialising in fraud, corruption and regulatory investigations.

Mr. Chambers brings over 10 years of experience in investigative accounting and disputes. His primary areas of concentration are forensic accounting and investigation assignments, fraud, corruption and regulatory matters as well as commercial litigation. Mr. Chambers has worked with clients across a range of industries, including manufacturing, technology and commodities.

Most recently at A&M, Mr. Chambers has been involved in a cross-border investigation where he was responsible for assisting a U.S.-headquartered manufacturing company in its internal review of potential FCPA violations in Asia. The matter involved the collection, review and analysis of accounting data, review of supporting documentation, performance of investigative interviews and preparation of findings reports for counsel.

**Summer Li**  
**Manager, Alvarez & Marsal**

Summer Li is a manager with Alvarez & Marsal Disputes and Investigations practice in Hong Kong. She brings more 7 years of audit and investigative accounting experience includes 4 years with Deloitte Hong Kong audit team.

Ms. Li has worked on various dispute and investigation projects in connection with business valuations, FCPA compliance review and employee fraud investigation. Her notable assignments include forensic investigations for a reputable global private equity firm and FCPA investigations for a US manufacturing company. Her works in these projects include assisting in designing and executing investigative procedures and conducting documents reviews.

**Session 4 on Friday, 11 March 2022 – about the webinar and the speaker**

**Outline**

**Expert witness work (2 hours)**

- The expert's paramount duty to provide impartial and objective expert testimony
- Dealing with actual and perceived conflicts of interest
- What to say and how to say it in an expert report
- Liaising with opposing experts
- Cross-examination tips
- Differences between the provision of expert testimony in civil and criminal proceedings

**Speaker**

**Kenneth Yeo**

**Director and Head of Specialist Advisory Services, BDO in Hong Kong**

Kenneth Yeo is a director of BDO in Hong Kong and heads up the Specialist Advisory Services division. He has over 30 years of experience in providing advisory services to many multinational corporations in the region. His focus includes litigation support services, due diligence & financial reviews, non-performing loans disposals, capital raisings, valuations, forensic accounting & investigations and mergers & acquisitions. He has acted as expert witness in many cases over the years and given evidence in hearings in courts and tribunals in Hong Kong and overseas. He is also a high-profile insolvency practitioner and has led teams on restructuring and insolvency appointments in Hong Kong, China and Australia.

Kenneth is currently the Chairman of the Restructuring and Insolvency Faculty of the HKICPA and a member of the Panel A admission committee of the Official Receiver's Office.

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**Session 5 on Thursday, 17 March 2022 – about the webinar and the speakers**

**Outline**

**Overview of forensic technology (1.5 hours)**

- Role of technology in forensic engagements
- Collection, preservation, retention and recovery of electric data in forensic investigations
- Types of available technological tools
- Rationale of applying the tools
- How forensic data analysis assists investigations

**Speakers**

**Baron Zhao**

**Senior Manager, Forensic & Integrity Services, Ernst & Young**

Baron Zhao is a senior manager based in EY Hong Kong office, who oversees the firm's Hong Kong Discovery services and manages a group of forensics professionals serving the Greater China and Asia Pacific market. Baron has extensive experience in advising clients including law firms and corporate legal counsel on forensics and discovery strategy in large-scale, complex litigations, investigations, and regulatory matters involving EU, U.S. and HK regulatory bodies such as FINRA, SEC, DOJ, ITC, CFTC, OFAC, SFC, HKCC and various US AG offices. Baron has served a number of industries, including financial services, insurance and life sciences sectors.

Prior to his relocation to APAC area and transfer to EY Hong Kong in 2020, Baron was based in EY New York office and served as EY Forensics Americas eDiscovery Operations leader where he managed discovery operations of the firm's Americas practice including three U.S. based teams and an international support team. In this role, he managed over 30 forensics professionals across the globe to provide operational support to all discovery engagements across Americas, and reported directly to EY Forensics Americas senior leadership.

**Michael Poon**

**Manager (Senior Data Scientist), Forensics & Integrity Services, Ernst & Young**

Michael is a Manager in EY's Forensics & Integrity Services, specializing in forensic data analytics regarding to Anti-Money Laundering (AML), Fraud Detection and System Control across various industries. He has extensive experiences in leveraging the information contained in large-scale, structured data and unstructured data to identify potential inconsistencies, data patterns and anomalies and to perform statistical analysis in conjunction with explanatory and predictive models.

He has managed multiple AML systems validation projects, as in Transaction Monitoring Systems and Sanctions Control Systems, for Financial Institutions (including Virtual Banks and other Fintech companies). He conducted reviews on trade finance/ fund transaction's scenario algorithms), as well as Sanctioned Name Screening test, so as to measure the overall system screening performance to meet the AML regulatory requirements.

He has also led various Independent Assessments of System Control (including eKYC, CDD and other AML Systems) and IT Due Diligence for Fintech and start-up companies.

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**Session 6 on Friday, 18 March 2022 – about the webinar and the speakers**

**Outline**

**Interview and investigation skills (2.5 hours)**

- Preparation and considerations prior to interviews (e.g. attendance, rights and contents)
- Skills and tips on conducting effective interviews, supported by case studies
- PEACE interviewing method
- Interview mechanics
- Question typology
- Introductory, informational, closing and assessment questions

**Speakers**

**Kelvin Cheong**

**Partner, Forensic Services, PricewaterhouseCoopers**

Kelvin is a partner in PwC's Forensic Services in Hong Kong and China and has specialised in forensic accounting since 2007. He has worked full time in the US, China and Hong Kong and is an HKICPA, AICPA and CFE.

His experience includes investigations into financial statements fraud, asset misappropriations and employee misconducts, dealing with regulators' enquiries, anti-bribery & corruption reviews, contract compliance reviews and acting as an expert witness on disputes matters.

He has advised multinational clients and listed companies in a wide range of industries including financial services, pharmaceutical & life sciences, electronic and consumer products and technology.

**Jessica Li**

**Partner, Forensic Services, PricewaterhouseCoopers**

Jessica is a partner of PwC's Forensic Services in Hong Kong and Mainland China. Jessica joined the Hong Kong team in 2007 and also worked in PwC UK on secondment. She is an HKICPA, CFE and CAMS.

Jessica has an in-depth knowledge and expertise in providing advice to clients across Asia, Europe and the U.S. She specialises in both proactive and reactive forensic engagements including fraud/bribery risk management reviews, whistleblowing programme assessments, litigation support and financial crime reviews and remediation work. Jessica also performed investigations into different types of alleged fraudulent transactions and employee misconduct at both listed and private companies as well as financial institutions.

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**Session 7 on Thursday, 24 March 2022 – about the webinar and the speakers**

**Outline**

**Overview of forensic accountants' proactive roles (2 hours)**

- To identify red flags and malpractices, e.g. financial statement fraud
- To initiate preventative measures, e.g. internal control and risk management

**Speakers**

**Sepia Chan**

**Senior Director, Risk Advisory & Investigation practice, FTI Consulting**

Sepia Chan is a Senior Director in the Risk Advisory & Investigation practice at FTI Consulting and is based in Hong Kong. She specialises in independent investigations, regulatory review and compliance advisory. She has more than eight years of experience in forensic accounting. She assists her clients in performing investigations surrounding allegations of fraud and misconduct, including cases of fraudulent financial reporting, misappropriation of assets, bribery & corruption and sanction violations. She advises clients on solutions to remediate fraud risks and improve internal control. Sepia was involved in complex financial and fact analysis, fund tracing, and compliance & risk assessment. She has advised her client to prepare financial information in response to US government agency and prepared independent investigation reports to board of directors, senior management or local regulators.

**Kevin Ma**

**Director, Risk Advisory & Investigation practice, FTI Consulting**

Kevin is a Director of Risk Advisory Investigation Practice at FTI Consulting. He has over nine years of professional experience in assurance, advisory and investigation. He has hands-on experience in a range of forensic investigation on corporate accounting fraud and financial reporting irregularities. Kevin was a Manager within the Department of Professional Practice at a top-tier accounting firm in Hong Kong before joining FTI Consulting, where he worked on providing professional advice and solution to complex technical audit and accounting issues.

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**Session 8 on Friday, 25 March 2022 – about the webinar and the speakers**

**Outline**

**Forensic engagement reporting (2 hours)**

- Types of reports
- Elements of forensic reports
- Report writing tips

**Speakers**

**Rani Kamaruddin**  
**Partner, Forensic, KPMG**

Rani is a partner with KPMG and heads the Anti-Money Laundering and Sanctions services practice in Hong Kong. Rani has more than 22 years of experience in regulatory risk and compliance both as an external legal adviser and in-house for more than 13 years in banking. Since joining KPMG in 2014, she has been advising clients in the financial services sector and other sectors on risk management of money laundering, terrorist financing, sanctions, and fraud risks.

**Thomas Tsang**  
**Associate Director, Forensic, KPMG**

Thomas is an Associate Director in KPMG Forensic Hong Kong. He has 10 years of professional experience working with financial institutions, non-bank financial institutions and multinational corporations in Hong Kong and Mainland. Thomas has led a wide range of financial crimes compliance related (FCC) engagements on anti-money laundering/ counter-financing of terrorism (AML/CFT) and sanctions; anti-bribery and corruption (ABC) and fraud risk management (FRM), as well as fraud and misconduct investigations.

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