

## Live webinar:

# Briefing session on FRC Interim Inspection Report and Annual Investigation Report

### COURSE HIGHLIGHTS:

The Financial Reporting Council (FRC) has published its Interim Inspection Report and Annual Investigation and Compliance Report in November 2021. The reports provide intelligence to auditors, investors, preparers and audit committees on common examples of non-compliance in listed entity financial statements, misconduct of their auditors as well as information on inspection findings in the middle of the FRC's 2021 inspection cycle.

The webinar will highlight the major findings that the FRC has identified and the FRC's recommendations on how audit quality and the quality of financial reporting can be improved. Participants can take home with them recommendations on taking actions to avoid recurrence of common accounting non-compliance and auditing irregularities or misconduct.

**This live webinar is a platform for interactive-learning. The Q&A session at the end of the webinar provides a good opportunity for participants to explore the topics in more detail and ask questions.**

Date and Time	Language	CPD hour	Fee
<b>28 February 2022</b>  <b>7 pm – 8 pm</b>  (EWEB2022022801)	Cantonese	1 CPD hour	<ul style="list-style-type: none"><li>• HK\$120 (HKICPA member or student, IA member)</li><li>• HK\$220 (non-member)</li></ul>

**Competency** Auditing and Assurance; Accounting and Financial Reporting

**Rating** Intermediate Level\*

**Participants** Auditors, management and audit committee members of PIEs

### ABOUT THE SPEAKERS:

#### Mr. TS Chan, Deputy Head of Investigation and Compliance, Financial Reporting Council

Mr. TS Chan is the Deputy Head of the Investigation and Compliance Department of the Financial Reporting Council. He is a certified public accountant and has over 30 years of experience in auditing and financial reporting.

#### Ms. Eva Lau, Deputy Head of Inspection, Financial Reporting Council

Ms. Eva Lau is the Deputy Head of Inspection of the Financial Reporting Council. She is a certified public accountant and has over 20 years of experience in auditing and financial reporting.



## **ABOUT THE REGISTRATION:**

Enrolment Deadline: Online/offline registration by **24 February 2022**

- Enroll online or complete the enrolment form and return it with full payment by the enrolment deadline. Enrolment will be accepted on a first-come-first-served basis.
- An email will be sent to you when your registration and payment are confirmed, which will include a login ID and password for this webinar. You can access the webinar by tablet, smart phone or PC.

\*Please click [here](#) for online registrations and refer to the [Institute's online CPD Learning Resource Centre](#) for descriptions of competency and rating.

### **Payment & Enrolment Status Enquiry:**

Phone: 2287 7381

e-mail: [enrolment@hkicpa.org.hk](mailto:enrolment@hkicpa.org.hk)

Fax: 2893 9853

### **Course Information Enquiry:**

Phone: 2287 7386

e-mail: [tls@hkicpa.org.hk](mailto:tls@hkicpa.org.hk)

# HONG KONG INSTITUTE OF CERTIFIED PUBLIC ACCOUNTANTS ENROLMENT FORM

Finance & Operations Department,  
Hong Kong Institute of CPAs,  
37/F, Wu Chung House, 213 Queen's Road East, Hong Kong

Log on "MyCPA" at  
<http://www.hkicpa.org.hk> to  
check your e-Learning  
registration status

Payment & Enrolment Status Enquiry: 2287 7381  
e-mail: [finance@hkicpa.org.hk](mailto:finance@hkicpa.org.hk)  
Fax: 2893 9853

Course Information Enquiry: 2287 7986  
e-mail: [tls@hkicpa.org.hk](mailto:tls@hkicpa.org.hk)

## FOR OFFICE USE

Seq. no.: \_\_\_\_\_

Full name: (Mr./Ms.) \_\_\_\_\_ Membership no.: \_\_\_\_\_

Membership type: ☐ HKICPA member and student / IA

☐ Non-Member

Company: \_\_\_\_\_

Tel.: \_\_\_\_\_ Fax: \_\_\_\_\_

E-mail \* (must complete): \_\_\_\_\_

**Contact information is used solely for communication of this particular event, without update to your member profile.**

\* A confirmation email will be sent to you when your application and payment are confirmed, in which you will be given a login ID and password. Each programme will be valid for the period as specified in the confirmation email. In order not to delay the process, please ensure payment is made together with your application.

No.	Event code	Course Title	Fee:
			[HK\$120 for HKICPA member or student and International Affiliate(IA) /HK\$220 for non- member]
1	EWEB2022022801	Live webinar: Briefing session on FRC Interim Inspection Report and Annual Investigation Report	
* Email address is for enrolment confirmation purpose. Please refer to note (2) for details.			Total HK\$

## Payment Method (Please tick the appropriate box)

<input type="checkbox"/> Cheque (no. _____) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"			
<input type="checkbox"/> VISA / MasterCard <input type="checkbox"/> BOC HKICPA UnionPay card			
Card Number:		Card Expiry Date (MM/YY):	
Cardholder's Name (block letters):	Cardholder's Signature:		Date:
<input type="checkbox"/> I would like to have an official receipt. (Remarks: Official receipt will be sent to your email address provided above.)			

- Notes:**
1. Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly not accepted. Please ensure all the particulars relating to payment are completed, otherwise the application cannot be processed. There is NO need to send in the enrolment form again if it has already been faxed to the Institute. Please fax the completed form to 2893 9853.
  2. In normal circumstances, the course fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the course be cancelled due to unforeseeable circumstances, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
  3. Confirmation of enrolment will be sent to you via email within 2 weeks, in which you will be given your login ID and password. You can check your enrolment status at "MyCPA" at [www.hkicpa.org.hk](http://www.hkicpa.org.hk). Unsuccessful enrolment will be notified with full refund. In order not to delay the process, please ensure payment is made together with your application. If you do not receive any confirmation email from the Institute, please contact 2287 7386.
  4. Your login ID and password are for your exclusive use ONLY and you should not share them with anyone.
  5. No unauthorized audio or video recording and/or downloading is allowed for the online courses.

**Personal Data:** Your personal data collected from the enrolment process and administration of courses will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis and, if applicable, for validation of CPD hours. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of CPD activities, members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link <https://www.hkicpa.org.hk/en/members-area/comm-preference/>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at [privacyofficer@hkicpa.org.hk](mailto:privacyofficer@hkicpa.org.hk) or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <http://www.hkicpa.org.hk/en/service-tools/privacy-policy/>.

For payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled.	
Name:	Name:
Address:	Address: