

Auditing and Assurance: Workshop for Audit Managers/Seniors In-Charge

COURSE HIGHLIGHTS:

The *Workshop for Audit Managers/Seniors In-Charge* is a tailor-made programme focusing advanced auditing topics such as risk assessment procedures, auditing accounting estimates, going concern assessment etc. The workshop teaches auditing concepts and practical skills, as well as sharing of recent practice experience relevant to an audit manager/senior in-charges.

This 21-hour workshop applies an interactive learning format through discussions, case studies and role-play. To be eligible for enrolment, participants should have more than two years of auditing experience with fundamental knowledge of auditing. Pre/Post course reading or exercises are required.

Indicative workshop agenda (subject to change):

| Day 1 | Day 2 | Day 3 |
|--|--|--|
| <ul style="list-style-type: none"> Overview of an audit planning Client and engagement acceptance Code of ethics for professional accountants and case sharing Risk assessment | <ul style="list-style-type: none"> Consideration of fraud Materiality Audit evidence and documentation Auditing accounting estimates Using the work of others | <ul style="list-style-type: none"> Auditing a group Subsequent events Going concern Related parties Forming an audit opinion Review the work of others |

ABOUT THE PROGRAMME:

| Event code | Date and Time | Format / Language | Venue | Fee |
|--------------|--|---|--|--|
| WSHP21121302 | 13-Dec-21 (Mon) 20-Dec-21 (Mon) 22-Dec-21 (Wed) 9:30am - 5:30pm for all three days Total CPD hours of the workshop: 21 hours* | Workshop / Cantonese with English handout and terminology <u>except for</u> <i>Code of ethics for professional accountants and case sharing</i> which will be conducted in English | HKICPA Training Centre 27/F, Wu Chung House, Wanchai, Hong Kong | <ul style="list-style-type: none"> HK\$2,500 - #for HKICPA members or student members - #for participants enrolled by a HKICPA practicing member • #HK\$4,100 for non-members <p>#Only offline registration with completed enrolment form and payment details is accepted</p> |

* Actual CPD hours attained is based on the actual length of time the participant attends the workshop. Please visit [HKICPA webpage](#) for the Institute's CPD policies and requirements.



Competency Auditing and Assurance

Rating Intermediate Level*

** Please refer to the [Institute's online CPD Learning Resource Centre](#) for descriptions of competency and rating.*

Participants Audit managers or senior in-charges possessing more than two years of auditing experience with fundamental knowledge in auditing

Registration Only **offline** registration by email/post with completed enrolment form and payment details is accepted.

By email: finance@hki CPA.org.hk

By post: **Finance & Operations Department**
Hong Kong Institute of CPAs
37th Floor, Wu Chung House,
213 Queen's Road East
Hong Kong

Non-members enrolled by a HKICPA practising member should provide the name and membership no. of the practising member in order to enjoy the member price.

Remarks

In light of the continuing risks posed by COVID-19, the Institute is adopting the following precautionary measures at the workshop (subject to change):

- Maximum capacity of the workshop is 40 participants
- All speakers and participants will be required to:
 - submit a health declaration online prior to the workshop which will be emailed to speakers/participants two days before the event
 - wear a face mask at the Institute and throughout the workshop
 - undergo compulsory temperature screening at the Institute's registration counter
 - use sanitizing gel provided for disinfection of hands before entering the workshop venue
 - sit at a distance from each other and avoid close contact
- No refreshment or drinks will be provided during the workshop
- While not encouraged, participants bringing foods or drinks to consume at the venue should dispose the scraps in the covered rubbish bin provided
- Due to the constantly evolving COVID-19 pandemic situation, the Institute may reschedule or cancel the workshop at a short notice



ABOUT THE SPEAKERS:

Vincent Li

Partner of ShineWing PRC and ShineWing Hong Kong

Vincent is a Partner of ShineWing PRC and ShineWing Hong Kong. He has over 30 years of experience in providing auditing and accounting services to international and multinational enterprises in Hong Kong and Mainland China. He also assisted various Hong Kong entities, PRC state-owned enterprises and PRC local entities in their listing on stock exchanges in Hong Kong, Singapore and South Korea, and merger and acquisition transactions of large PRC enterprises. Prior to joining ShineWing, Vincent had been the Audit Partner of Deloitte PRC and China, Audit Partner of Pan-China Hong Kong and Technical Director of Pan-China PRC. He is a regular speaker of the Institute's "Workshops for Listing on HKEX".

Linda Biek

Director, Compliance, HKICPA

With more than 25 years of professional experience, Linda Biek began her audit career with an international firm in California and eventually transitioned to accounting regulation and international relations. She has led numerous projects resulting in the NASBA Enforcement Guide, NASBA Investigator Resource Program and the annual NASBA International Forum of Accounting Regulators.

Linda is a US CPA and member of the American Institute of Certified Public Accountants (AICPA). She has served on a number of boards and committees and has been recognized for her contributions by the Nashville Business Journal, Tennessee Governor Don Sundquist and East Tennessee State University. Currently, she serves as Director, Compliance with the Hong Kong Institute of Certified Public Accountants.

Payment & Enrolment Status Enquiry

Tel: 2287 7381
Email: finance@hki CPA.org.hk

Event Information Enquiry

Tel: 2287 7067
Email: tls@hki CPA.org.hk

Auditing and Assurance: Workshop for Audit Managers/Seniors In-Charge

13, 20 and 22 December 2021

Only **offline** registration is accepted



Hong Kong Institute of
Certified Public Accountants
香港會計師公會

Finance & Operations Department,
Hong Kong Institute of CPAs
37th Floor, Wu Chung House,
213 Queen's Road East, Hong Kong
Fax no: 2893-9853

Name: (*Mr. / Mrs. / Ms.) _____ HKICPA Membership/Student no.: _____

(if applicable)

Company name: _____

Position held: _____ Email: _____

(for enrolment confirmation purpose)

****Mandatory: Years of experience in auditing: _____ year(s)**

Telephone No.: _____ Fax No.: _____

Admission Fee: ☐ HK\$ 2,500 per person [Event code: WSHP21121302]

☐ HKICPA students / members

☐ Enrolled by practising members

Practising Member Name: _____

Practising Member No: _____

☐ HK\$ 4,100 per person (Non-HKICPA members)

☐ Cheque (no. _____) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"

☐ BOC HKICPA VISA

☐ BOC HKICPA UnionPay card

☐ Other VISA /Master Card

Card Number:

Card Expiry Date (MM/YY):

Cardholder's Name (block letters):

Cardholder's Signature:

Date:

☐ I would like to have an official receipt. (Remarks: Official receipt will be sent to your email address provided above.)

Notes:

1. Please complete the Enrolment Form and return it to the Finance & Operations on or before the deadline, together with full payment.
2. Registration by fax will ONLY be processed when payment is made by credit card.
3. Cash is strictly NOT accepted. For credit card payment, the credit card should be valid with expiry date at least 1 month from the date of event. For payment by cheque, the enrolment will only be processed upon receipt of full payment.
4. For registration by post or email, enrolment will be confirmed one week before the workshop commencement date. No telephone reservation will be accepted.
5. Confirmation of enrolment will be sent to you via email. Unsuccessful enrolment will be notified with full refund.
6. All scheduled events will be cancelled and postponed to a date to be announced in the event of typhoon signal no. 8 or above or if a Black Rainstorm warning is hoisted or unforeseen circumstances. For details of [bad weather arrangement](#) for CPD programmes, please refer to the Institute's homepage.
7. In normal circumstances, the seminar fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the seminar be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
8. No unauthorized audio or video recording is allowed at CPD events.

Personal Data: Your personal data collected from the enrolment process and administration of courses/events/activities will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link <http://mas.hkicpa.org.hk/mycpa/communication/preference>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at privacyofficer@hkicpa.org.hk or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <http://www.hkicpa.org.hk/en/service-tools/privacy-policy/>.

Payment & Enrolment Status Enquiry: 2287-7381

e-mail: finance@hkicpa.org.hk

Event Information Enquiry: 2287-7067

e-mail: tls@hkicpa.org.hk

For non-member and firm payment by cheque, please fill-in your postal address in case of refund:

Name :

Name :

Address :

Address :