

Financial Services Interest Group
E-learning webinar:

The Latest Development of Regulatory Technology

(Programme Code: EWEB21112201)



Technology has long been used to assist financial institutions in complying to the regulatory requirements; and undoubtedly regulatory technology ("RegTech") is the latest trend. The local regulators in Hong Kong have their own agenda on RegTech, requesting the governed institutions to use innovative and new ways of leveraging technologies to fulfill the regulatory expectation. However, there are many ensuing matters that financial institutions need to consider before and after the use of RegTech. This webinar will explore the overall development of RegTech, including the relationship between assurance and RegTech, the cost and benefits of the usage, and challenges faced, with a focus on how it is related to accountants and auditors.

Speakers

Mr. Felix Cheung

Chairman, RegTech Association of Hong Kong

Mr. Samuel Lung

Partner, Financial Services Business Consulting, Ernst & Young

(Speakers' profile is printed overleaf)

Date

Monday, 22 November 2021

Time

1:00 p.m. – 2:00 p.m.

Format

Webinar

Language

English

Fee

HKICPA member or student or IA:	HK\$120
Non-member:	HK\$220

(An email will be sent to you when your registration is accepted, which will include login details for the webinar. You can access the webinar by tablet, smart phone or PC.)

Competency*

Information Technology, Industry knowledge

Sub-competency*

Technology-related upgrades and implementations, Business and product knowledge

Rating*

Foundation to Intermediate level

CPD hour

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Enrolment deadline

21 November 2021 (offline enrolment by 18 November 2021)

Registration

Enrol online or complete the enrolment form and return it with full payment by the enrolment deadline

Enrolment will be accepted on a first-come-first-served basis

* Please refer to the [competencies and ratings for CPD events](#).

About the speakers



Mr. Felix Cheung

Chairman, RegTech Association of Hong Kong

Mr. Cheung is a RegTech innovator and has been using technologies to assist financial institutions across 20 markets in transforming different Governance, Risk and Compliance (GRC) processes. As the Chairman of the RegTech Association of Hong Kong, Mr. Cheung works with a broad spectrum of stakeholders, from early-stage startups to large organisations and service providers, to build the RegTech community in Hong Kong. He is an advocate of RegTech and constantly promotes RegTech adoption and development in Hong Kong and beyond.



Mr. Samuel Lung

Partner, Financial Services Business Consulting, Ernst & Young

Mr. Lung is a Partner of EY, leading the Greater China financial crime compliance consulting practice. Prior to joining EY, Mr. Lung held senior management roles in different financial institutions including the chief operating officer and deputy chief executive of an European bank, head of compliance of a Chinese state-owned bank and head of AML and MLRO of a Swiss private bank, where he was responsible for managing governance, regulatory and financial crime compliance advisory, regulatory filings and handling regulatory onsite and offsite reviews. He is also a Member of the Hong Kong Academy of Finance, a Councillor in the Greater China Divisional Council and a member of the GBA Committee in CPA Australia.



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E-learning webinar:

The Latest Development of Regulatory Technology

Enrolment Form

Event Code: EWEB21112201

Finance & Operations Department,
Hong Kong Institute of CPAs

37th Floor, Wu Chung House,
213 Queen's Road East, Hong Kong.

Fax no: 2893 9853

22 November 2021, Monday

Enrolment deadlines: 18 Nov 2021 (offline) / 21 Nov 2021 (online)

Confirmation of enrolment will be sent to you via email, which will include a login ID and password for the webinar. For HKICPA member, you can check your enrolment status at "My CPA" at www.hkicpa.org.hk. Unsuccessful enrolment will be notified with full refund.

[Contact information below is used solely for communication of this particular event, without update to your member profile]

Please use BLOCK letters

Name (Mr./ Mrs./ Ms.): _____ Membership no.: _____

Company: _____

Position: _____ Email (Note 4): _____

Telephone no.: _____ Mobile no.: _____

(Please tick the appropriate box)

Admission Fee: ☐ HKICPA Member: \$120 ☐ non-HKICPA Member: \$220

☐ Cheque (no. _____) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"

☐ BOC HKICPA VISA ☐ BOC HKICPA UnionPay card ☐ Other VISA / MasterCard

Card Number: _____ Card Expiry Date (MM/YY): _____

Cardholder's Name (block letters): _____ Cardholder's Signature: _____ Date: _____

- For credit card payment the card should be valid with expiry date at least 1 month from the date of event.
- Payment receipt will be sent to your email address provided above once the payment is confirmed.

Notes:

- Enrolment for the activity is accepted on a first-come-first served basis.
- Full payment must be made before your enrolment will be processed. No telephone reservation will be accepted.
- Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly not accepted.
- Confirmation of enrolment will be sent to you via email prior to the event, in which you will be given your login ID and password that are for your exclusive use ONLY and you should not share them with anyone. You can access the webinar by tablet, smart phone or PC. For HKICPA member, you can check your enrolment status at "MyCPA" at www.hkicpa.org.hk. Unsuccessful enrolment will be notified with full refund.
- In normal circumstance, the admission fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the event be cancelled or postponed due to unforeseen circumstance, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
- The Institute reserves the right to change the date, speaker, or to cancel the event due to unforeseen circumstances.
- Bad weather arrangement:** When the typhoon signal no. 8 or above / black rainstorm warning is hoisted, as announced by the Hong Kong Observatory, at or after 6:30 a.m. on the date of event, the event will be cancelled.
- No unauthorized audio or video recording is allowed for the webinar.

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Payment & Enrolment Status Enquiry: 2287 7381

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For non-member and firm payment by cheque, please fill in your postal address for refund in case the event is full or cancelled.

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Address:	Address: