

Joint ForensIG-RIF-CFIG E-learning webinar:

Event code: **EWEB21101801**

Handling dawn raids - Regulatory Investigations by the SFC in Hong Kong (Part B)

## About the topic

[In the previous joint webinar held on 11 August](#), which was well-received by participants, speaker, Mr. Sherman Yan, managing partner and head of Litigation & Dispute Resolution, ONC Lawyers, shared his insights on some features of the Securities and Futures Commission's Investigative Powers, together with examples and case studies. However, as there was not sufficient time to cover all of the scheduled topics, Mr. Yan has kindly agreed to conduct a follow-up webinar, covering these additional topics, including dawn raids, search warrants, and self-reporting. He will also give a brief summary of the previous talk for the benefit of participants who were unable to attend that event.

## About the event

<b>Date &amp; Time</b>	<b>Monday, 18 October 2021</b> <b>1:00 p.m. – 2:00 p.m.</b>
<b>Format</b>	<b>Live Webinar</b> (There will be real time Q&A)
<b>Fee</b>	<ul style="list-style-type: none"> <li>HK\$120 per person for ForensIG / RIF/ CFIG/ ACFE members</li> <li>HK\$220 per person for participants other than above</li> </ul> <p><i>Priority is given to ForensIG members. Not a ForensIG member? Click <a href="#">here</a> to join ForensIG. If you are a non-member of the Institute, please register as a new web member first before completing an online registration as a ForensIG member.</i></p>
<b>HKICPA CPD credit</b>	1 hour (subject to actual attendance)
<b>Law Society CPD credit</b>	1 CPD (being applied for)
<b>Language</b>	English
<b>Competency</b>	Forensic accounting*
<b>Rating</b>	Intermediate level*
<b>Application deadline</b>	<b>Online enrolment by 12 October 2021</b> (offline enrolment by 8 October 2021)

### Rundown

<b>1:00 pm</b>	Introduction
<b>1:05 pm</b>	Presentation
<b>1:50 pm</b>	Q&A / Discussion
<b>2:00 pm</b>	Closing

### Speaker

**Sherman Yan**  
Managing Partner, Head of  
Litigation & Dispute Resolution,  
ONC Lawyers

### Chair

**Andrew Dale**  
Member, ForensIG Management  
Committee, and partner, Ropes  
& Gray

\* Please refer [here](#) for descriptions of the various ratings.



## About the speaker

### Sherman Yan

Managing Partner, Head of Litigation & Dispute Resolution, ONC Lawyers

Sherman has many years of experience in handling complex commercial disputes, especially shareholders' disputes in listed companies and regulatory issues under the Securities and Futures Ordinance. Sherman has frequently acted for listed companies, their shareholders or directors and securities investors in dealing with inquiries and investigations by regulatory bodies such as the Hong Kong Monetary Authority, the Hong Kong Exchanges and Clearing Limited, and the Securities and Futures Commission of Hong Kong. Besides, Sherman is a member of the Civil Litigation Committee of the Law Society of Hong Kong with extensive experience in handling and reviewing new laws and regulations relating to litigation.

## Registration

- **Online enrolment by 12 October; offline enrolment by post or fax also by 8 October:**

Complete the enrolment form and return it to the Institute by 8 October, together with full payment. Cheque should be made payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA". Fax registration will be accepted for payment by any VISA/ Master card or the BOC HKICPA UnionPay card.

**You may consider your registration to be successful unless you receive notification from us to the contrary.**

- Upon successful enrolment, the meeting URL and login password will be provided nearer the event date.
- **Contact Mr. Marco Yip at 2287-7009 or Ms. Ki Wong at 2287-7017 for enquiry.**