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IIA®
The Institute of
Internal Auditors
Hong Kong

HKICPA/ IIA HK Joint Webinars – Internal Audit Series
(May to June 2021)

Faced with the global pandemic, technological changes and innovative work arrangements continue to have a big impact on business operations, governance processes, as well as internal control systems and risks. Internal Audit (IA) has to adapt to new ways of working rapidly in order to provide necessary assurance to stakeholders using an agile approach.

From May to June 2021, HKICPA and The Institute of Internal Auditors Hong Kong (IIA Hong Kong) will jointly organize a series of webinars – Internal Audit Series – to cover the trends and forward-looking technologies to help IA add value to businesses.

Join this series of webinars to strengthen your knowledge and deepen your relevant skills and competencies on these topics.

Topic/ Programme code	Date and Time	Speakers
Internal Audit in a Disruptive Landscape (EWEB21051202)	Wednesday, 12 May 2021 12:30 p.m. – 2:00 p.m.	**Ms. Helen Li** *CIA, FCCA, FCPA* President, The Institute of Internal Auditors Hong Kong; Group Chief Auditor, The Bank of East Asia Limited
Practical Internal Audit Analytics (EWEB21052001)	Thursday, 20 May 2021 12:30 p.m. – 2:00 p.m.	**Mr. Eimund Loo** Director, Risk Advisory, Deloitte **Ms. Wendy Chang** Associate Director, Risk Advisory, Deloitte
Technology-enabled Internal Audit Function (EWEB21060301)	Thursday, 3 June 2021 12:30 p.m. – 2:00 p.m.	**Mr. David Samy** Partner, Consulting, Ernst & Young Advisory Services Limited **Mr. Jeffry Lau** Senior Manager, Consulting, Ernst & Young Advisory Services Limited **Ms. Bernadette Lo** Senior Manager, Consulting, Ernst & Young Advisory Services Limited
Data Governance (EWEB21062501)	Friday, 25 June 2021 7:00 p.m. – 8:30 p.m.	**Mr. Brad Lin** *MSc, CISA* Director, Deloitte Risk Advisory Cyber, Data & Privacy **Ms. Hatty Siu** *CPA, CISA, CDPSE* Associate Director, Deloitte Risk Advisory Cyber, Data & Privacy **Ms. Yuan Chen** Senior Consultant, Deloitte Risk Advisory Cyber, Data & Privacy

Format	Webinar
Language	English
Fee (for each)	HKICPA member or student or IA: HK$150
	IIA Hong Kong member: HK$150
	Non-member: HK$330

(An email will be sent to you when your registration is accepted, which will include the login details for the webinar. You can access the webinars by tablet, smart phone or PC.)

Participants	Internal audit/ internal control/ risk management practitioners; IT auditors; IT security and IT governance professionals; Persons who are interested in understanding IA functions
Competency*	Auditing and assurance; Risk management and internal control; Corporate governance; Information technology
Sub-competency*	Identifying and managing risks; Compliance with legal and regulatory requirements and corporate governance best practices; Establishing good corporate governance practices and procedures; Risk and security management
Rating*	Intermediate level
CPD hours (for each session)	1.5

* Please refer to the competencies and ratings for CPD events.

Internal Audit in a Disruptive Landscape (EWEB21051202)

The world is getting much more complex and volatile. Exponential growth in technological advancements, the rise of new economies, global pandemic, climate change, as well as intensifying political and social instability, continue to reshape all businesses, customer behaviors and all of us. Internal audit (IA) is no exception. With every aspect of our life being disrupted with increasing unpredictability, IA's processes and practices are revamped and continuously reinvented. In this webinar, the development of IA will be discussed.

Topics include:
• IA's positioning and re-positioning
• Every rule can be rewritten
• Can IA objectives be achieved without audits?
• The way forward

After joining this webinar, participants will:
• Obtain an overview on some of the emerging trends and latest thinking on internal audit
• Reconsider their view on IA's positioning and how IA can add value to an organization

Practical Internal Audit Analytics (EWEB21052001)

The use of data analytics is central to the delivery of contemporary internal audit programmes and it is more important to drive efficiencies and cultural change than just providing assurance in these days. In this webinar, the speakers will discuss how data analytics is leveraged across the key stages of the approach.

Topics include:
- Planning
  - Collaborative audit planning to understand the needs and expectations of the business
  - Use analytics, where appropriate, to drive, define and refine scope and add insight
- Execution
  - Liaise with subject matter experts and leverage Deloitte's range of tools
  - Use analytics during testing to understand extent and impact
  - Accelerate identification of problem analytics
- Outcomes
  - Our data driven IA reports tell a story, conveying insights that are visually appealing, and deliver the right messages to its audience

Technology-enabled Internal Audit Function (EWEB21060301)

In a business environment which is changing at a faster rate than ever before, internal audit (IA) needs to innovate and transform itself to be prepared to provide assurance on complex and data-rich operations. Oftentimes, auditors are hindered by tedious manual workloads that take up too much time and energy. Implementing new technologies such as data analytics and AI can reduce errors in data verification and reporting outcomes, creating capacity for internal auditors to take on strategic assignments to help organizations respond to today's challenges. In this webinar, the speakers will showcase how to become a technology-enabled internal audit function.

Topics include:
- Discuss the increased expectations on IA and IA's role in technology governance
- Build a sustainable IA transformation roadmap to scale automation program
- Transform audit planning, risk assessment, fieldwork, execution and reporting process
- Evaluate and assess risks and controls through digital tools and automation
- Discover key factors to successfully transform into a technology-enabled IA function
- Identify limitations and risks associated with implementing technologies
- Explore essential AI building blocks including machine learning, deep learning and natural language processing
- Find out how AI can be harnessed, its impacts and how to prepare for future implementation

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Data Governance (EWEB21062501)

The current COVID-19 pandemic has changed the way we consume content, products and services. In summary, it has increased our digital consumption and dependency on digital tools. Digital transformation has been pushed to the forefront more than ever. This fast adoption and digitization creates new security risks concerning personally identifiable information (PII). Per the General Data Protection Regulation (GDPR), organizations must activate measures to closely monitor the movement of personal data throughout the life-cycle in order to be compliant.

For any organization, it is essential to ensure that data is traceable, controllable, reliable, and consistent. Organizations shall modernize their data strategy, to seamlessly and reliably feed data insights into a technology strategy that delivers digital transformation. This is where data governance comes in.

In this webinar, the speakers will talk about the privacy and business risks associated with sensitive and PII information stored in your company as well as steps you can take to discover sensitive data, hidden media and other risky elements.

Topics include:
- Data governance
- Privacy and business data challenges and how it could become a nightmare
- Technology as an enabler for (unstructured) data lifecycle management
- UC scenarios (2 demos)
- Internal audit pointers for a better data privacy and security audit

After joining this webinar, participants will learn about:
- How IA could become a business advisor within data governance
- How to leverage data discovery technology in performing more targeted audits

About the speakers

Ms. Helen Li *CIA, FCCA, FCPA*

President, The Institute of Internal Auditors Hong Kong; Group Chief Auditor, The Bank of East Asia Limited

Ms. Li has been serving as president of The Institute of Internal Auditors Hong Kong since 2018/19 term, and is a past president of The Asian Confederation of The Institutes of Internal Auditors. She previously worked as a partner of KPMG's risk consulting practices and senior audit executive in other listed group. She has extensive consulting and commercial experience in public and private sectors including large listed groups in Hong Kong, China, the US and UK. Ms. Li is a regular speaker at professional conferences in Asia. She has a master's degree of science in finance.

Mr. Eimund Loo

Director, Risk Advisory, Deloitte

Mr. Loo has over 15 years of experience focusing on third-party risk management (TPRM) and risk analytics services. He has worked with senior leaders across FTSE 100 and has advised several Fortune 500 companies on their TPRM frameworks and operating models. These include the identification, screening, selection, assessment and onboarding of third parties, performance, risk and compliance monitoring using Robotic Process Automation (RPA) and AI methodologies, as well as off boarding and termination of third parties as part of the third party lifecycle process.

Ms. Wendy Chang

Associate Director, Risk Advisory, Deloitte

Ms. Chang has over 12 years of professional experience with Deloitte's risk advisory practice. She is currently leading various regional projects in dealing with extended enterprise risk management and compliance areas and assisting organizations to resolve their third party related issues including the use of risk analytics tools.

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Mr. David Samy

Partner, Consulting, Ernst & Young Advisory Services Limited

Mr. Samy has over 20 years of experience with EY in Malaysia, Hong Kong and the USA on a wide range of internal audit, risk, governance and controls diagnostic consulting services. His clients' industries include real estate, public sector entities, pharmaceutical, education, consumer products, media and publishing. Mr. Samy has spoken at seminars and workshops on the evolution of internal audit, corporate governance and internal controls.

Mr. Jeffry Lau

Senior Manager, Consulting, Ernst & Young Advisory Services Limited

Mr. Lau is experienced in financial and operational audit, risk management, control self-assessment and compliance review. With over 11 years of experience, he is the engagement senior manager of various outsourced internal audit services and has also delivered internal audit and internal control trainings to various organizations and industry practitioners.

Ms. Bernadette Lo

Senior Manager, Consulting, Ernst & Young Advisory Services Limited

Ms. Lo has over 11 years of experience in providing IT and business process internal controls and internal audit related consulting services, system implementation reviews, risk and control solution implementation across organizations including Fortune Global 500, listed and other privately-owned companies. She also leads projects on project management and change management for clients' process redesign and technology enablement.

Mr. Brad Lin *MSc, CISA*

Director, Deloitte Risk Advisory Cyber, Data & Privacy

Mr. Lin is Asia Data Protection Lead for Deloitte, ex-Member of the International Association of Privacy Professionals (IAPP) Asia Advisory Board, active member of Asia Securities Industry & Financial Markets Association (ASIFMA) cyber and data working group and active Institute of Financial Technologists of Asia (IFTA) data privacy sub-committee. He obtained an executive master in IT audit and has more than 15 years of experience in cyber and tech risk management and assurance, data privacy protection and consulting. He has a broad background which includes external and internal audit, IT security and risk management, privacy management, systems implementation and project management.

Prior to joining Deloitte, Mr. Lin acted as a senior internal auditor and audit manager for two Fortune 100 companies. He was the lead auditor and data protection and security consultant for two Big 4 professional services firms and senior consultant at one of the world's largest IT consultancy firms.

Ms. Hatty Siu *CPA, CISA, CDPSE*

Associate Director, Deloitte Risk Advisory Cyber, Data & Privacy

Ms. Siu is a member of International Association of Privacy Professionals (IAPP) Advisory Board member and co-chair of the IAPP KnowledgeNet Chapter for Hong Kong. She started off with IT risk and controls related area during early career and has been working on data privacy and protection related aspects over the past 6 years. Ms. Siu delivers regulatory compliance, IT control, security and data related services for different clients across various industries. Her key areas of expertise and experience include data protection control review, privacy impact assessment, data privacy governance review, development of data governance and information management policies, data privacy and protection gap analysis, internal controls and regulatory compliance review. Ms. Siu has a BBA degree in Information System and Marketing from the Hong Kong University of Science and Technology.

Ms. Yuan Chen

Senior Consultant, Deloitte Risk Advisory Cyber, Data & Privacy

Ms. Chen worked in Deloitte Germany from 2017 to 2019 before taking up the current position in Hong Kong. Her main areas of expertise includes development of cyber security strategies and concepts, privacy impact assessments, third-party and cloud risk assessment as well as process orchestration e.g. implementation of data protection and privacy tools. She has gained experiences in working with clients across different industries and countries in Europe, United States and APAC.

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