

HKICPA/ HKIoD joint virtual workshops: Executive Programme for Accountants Independent Non-Executive Directors Practices for Accountants (re-run)

Dates & Times

Session 1: Wednesday, 10 March 2021 (6:30 – 9:30 p.m.)

Session 2: Tuesday, 16 March 2021 (6:30 – 9:30 p.m.)

Session 3: Friday, 9 April 2021 (6:30 – 9:30 p.m.)

Session 4: Tuesday, 13 April 2021 (6:30 – 9:30 p.m.)

Format

Webinar

Language

English

Fee

(For each session)

- HK\$430 for HKICPA member, student or IA; and HKIoD member
 - HK\$700 for non-member
- (Participants may enroll for any individual sessions.)*

(An email will be sent to you when your registration is accepted, which will include login details to the webinar. You can access the webinars by tablet, smart phone or PC.)

Objectives

Understand the regulations and practices related to independent non-executive directors (INEDs), including:

- The relevant considerations for accepting to be INEDs
- The latest legal/ regulatory updates with impact on INEDs
- The skills required of INEDs to enhance corporate governance for the company
- The possible strategies suggested for INEDs to perform their roles effectively

Participants

- Those who are interested in understanding more about the best practices of INEDs for preparing their current or future roles of being INEDs
- Those who wish to pursue a formal training course recognized by HKIoD as contributing towards credits for attaining the HKIoD Diploma and Professional Diploma in Company Direction *(For more details, please refer to the [website of HKIoD](#))*

Competency*

Corporate governance

Sub-competency*

Promoting high standard of corporate governance

Rating*

Leadership level

CPD hours

(For each session)

3

Note: The framework of the joint virtual workshops is designed by HKIoD based on its definition of Core Competencies for Directors.

* Please refer to the [competencies and ratings for CPD events](#).



About the sessions

Session 1 (EWEB21031001) – by Mr. Anthony Cheung

Wednesday, 10 March 2021 (6:30 – 9:30 p.m.)

INEDs – Role and Fulfillment

- The challenging roles of an INED
- Implied changes in behaviour of boardroom, chairman and INEDs
- Code changes and bearing on INEDs
- Cases discussion
- Overview of committee work: Audit Committee, Remuneration Committee and Nomination Committee
- Qualities and skill-sets expected of an INED
- Tactics for an INED
- The search for INEDs and considerations in acceptance of appointment

Session 2 (EWEB21031602) – by Mr. Randy Hung

Tuesday, 16 March 2021 (6:30 – 9:30 p.m.)

INEDs – Issues and Considerations

- Audit Committee agenda
- Remuneration Committee agenda
- Nomination Committee agenda
- Audit Committee skills
- Effective internal control and risk management
- Corporate finance options and impacts on shareholders
- Review of connected transactions
- Meeting procedures and chairmanship skills

Session 3 (EWEB21040901) – by Ms. Eve Chan

Friday, 9 April 2021 (6:30 – 9:30 p.m.)

Role of a Company Director – Overview of Hong Kong Legal and Regulatory Framework

- A summary of the development of the legal system governing companies and company directors
- Company constitution and shareholders' authority
- The legal role and responsibility of company directors and the board
- Related legal issues and case analyses
- The regulatory system and overview of the Listing Rules
- The Code on Corporate Governance Practices
- Disclosure: Financial and others
- Continuing obligations
- Notifiable transactions
- The Securities and Futures Ordinance
- The Codes on Takeovers and Mergers and Share Buy-backs

Session 4 (EWEB21041301) – by Ms. Agnes K.Y. Tai

Tuesday, 13 April 2021 (6:30 – 9:30 p.m.)

Role of a Company Director – Board Practices

- Corporate governance principles
- The board's fiduciary duties
- Types of directors and their challenges
- Major forces shaping boardroom reform
- Code on Corporate Governance Practices: Impact on boardroom behavior
- Practical functioning of the board: Board matters, annual agenda and procedures
- Skill-sets for board members
- Evaluation of board performance
- Cases discussion



About the speakers

Mr. Anthony Cheung *HKIoD.GD, CESGA, FCPA (Aust.)*

Mr. Cheung is the Deputy Chairman of Training Committee of the Hong Kong Institute of Directors (HKIoD) and has over 15 years of experience as an investor with experience in Asia Pacific and emerging markets. He is an Independent Non-executive Director of the IPE Group, Forward Fashion International Holding and the China Shineway Pharmaceutical Group where in the latter he serves as the Chairman of the Audit Committee and the CSR and Sustainability Committee. He also serves as the Board Governor at Friends of the Earth in Hong Kong.

Mr. Cheung is the first institutional investor in Asia who was awarded the Certified Environmental, Social and Governance Analyst Diploma from the European Federation of Financial Analysts Societies (EFFAS). He completed the Prince of Wales' Business Sustainability Programme at the University of Cambridge Institute for Sustainability Leadership (CISL). He holds BSc (Hons) in Economics from the London School of Economics and Political Science (LSE) and is a fellow member of CPA Australia.

Mr. Randy Hung *CHKIoD, FCPA*

HKIoD Training Committee Chairman

Mr. Hung is a Fellow Member of Hong Kong Institute of Certified Public Accountants (HKICPA) and a licensed Certified Public Accountant of the State of California, USA. He has more than 25 years of experience as CFO, Executive Director and Independent Non-executive Director of various listed companies in Hong Kong. He is also the Vice Chairman of Hong Kong Investor Relations Association.

Mr. Hung holds an MBA degree from University of London and a Bachelor Degree in Accounting and a Certificate of Programming and Data Processing from the University of Southern California. He also obtained a certificate in China Accounting, Finance, Taxation and Law from the Chinese University of Hong Kong, a Specialist Certificate in Corporate Finance from the Hong Kong Securities and Investment Institute, and a Certificate in Investor Relations from the UK Investor Relations Society. He has extensive experience in IPO, corporate finance and investors relation.

Ms. Eve Chan

Partner, YC Solicitors

Ms. Chan advises clients on corporate finance transactions, including public and private mergers and acquisitions, restructuring, takeovers, initial public offerings, and H share listings. She has advised on multiple high-profile public takeovers and global offerings in Hong Kong. She has also been advising various listed companies, including A+H dual listed companies and state-owned enterprises, on Hong Kong Listing Rules, general compliance matters, and investigations by the regulatory bodies.

Ms. Chan has more than 10 years of experience advising clients in a broad spectrum of industry sectors, including healthcare, real estate, aviation, media, retail, mining and financial services. Prior to joining YC Solicitors, a Hong Kong law firm specialising in corporate finance practice, she was trained and worked at leading international law firms throughout her legal career.



Hong Kong Institute of
Certified Public Accountants
香港會計師公會



香港董事學會
The Hong Kong Institute of Directors
FOUNDED 1997

About the speakers

Ms. Agnes K.Y. Tai *MBA, FRM, CAIA, FHKIoD, PhD candidate*

Senior Partner and Responsible Officer, Yaozhi Asset Management International; Director, Great Glory Investment Corporation

Ms. Tai is a holder of type 4 and 9 licenses issued by the Hong Kong Securities and Futures Commission (HKSF), a senior advisor of an Asian family office, an External Review Panel member for the MTR Corporation sustainability reports since 2014, the Deputy Chair of Training Committee of the Hong Kong Institute of Directors (HKIoD), a board member of Hope of the City, advisory board member and/ or committee member of Global Association of Risk Professionals (GARP), Fred Hollows Foundation, Shao Ming Lo Foundation, and a frequent speaker and moderator advocating sustainability and ESG.

In her 40 years of serving the financial community in China, Hong Kong SAR, the USA and Australia, she has established and managed investment companies, consultancy practices, and new business units within major financial institutions.

Ms. Tai is a GARP certified Financial Risk Manager (FRM), a Chartered Alternative Investment Analyst (CAIA) and a Palladium Group (Professor Kaplan and Professor Norton) Balanced Scorecard certified practitioner. She is a fellow member of HKIoD, member of Asia Society and Hong Kong Association of Christian Accountants.

Ms. Tai holds an MBA degree from the University of Chicago - Booth School of Business, a BSc (Honors) from Roosevelt University in USA, and is a graduate of the Stanford University Senior Executive Leadership Program and the 2011 Stanford Directors' College. She is a PhD candidate at the Hong Kong University of Science and Technology. She has authored a book on investing in H-shares, a Harvard Business Review case and a psychology book 'Tall Miracles'.



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Executive Programme for Accountants:
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Finance & Operations Department,
Hong Kong Institute of CPAs,
37/F, Wu Chung House, 213 Queen's Road East, Hong Kong

Payment & Enrolment Status Enquiry: 2287 7381
e-mail: enrolment@hkicpa.org.hk
Fax : 2893 9853

Course Information Enquiry: 2287 7253 / 2287 7230
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Deadline (offline): 3 working days before the date of the sessions

*The Institute reserves the right to allocate places to enable the enjoyment of more members in this event and the Institute's decision is final.

Please tick the appropriate boxes:

☐ HKICPA member/ student/ IA ☐ HKIoD member ☐ Non-member

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- Notes:**
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 - Confirmation of enrolment will be sent to you via email prior to the date of event, in which you will be given login details to the webinar that are for your exclusive use ONLY and you should not share them with anyone. For HKICPA member, you can check your enrolment status at "MyCPA" at www.hkicpa.org.hk. Unsuccessful enrolment will be notified with full refund. In order not to delay the process, please ensure payment is made together with your application. If you do not receive any confirmation email from the Institute, please contact 2287 7508.
 - All applications are on a first-come-first-served basis.
 - Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly not accepted. Please ensure all the particulars relating to payment are completed, otherwise the application cannot be processed. There is NO need to send in the enrolment form again if it has already been faxed to the Institute. Please fax the completed form to 2893 9853.
 - For credit card payment, the card should be valid with expiry date at least 1 month from the date of event.
 - Please issue SEPARATE CHEQUE for each event.
 - In normal circumstances, the event fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the event be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
 - The Institute reserves the right to change the dates, speakers or to cancel the event due to unforeseen circumstances.
 - No unauthorized audio or video recording is allowed at CPD events.

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