



E-seminar: Introduction to Anti-Money Laundering for accounting professionals

Event code: ESEMAML202001

About the topic

The Anti-Money Laundering and Counter-Terrorist Financing (Financial Institutions) (Amendment) Ordinance 2018 became effective on 1 March 2018. Accountants working in professional firms, lawyers and trust or company service providers, among others, are required to conduct customer due diligence and record keeping when they carry out particular kinds of transactions for their clients. Failure to comply with the new requirements could result in disciplinary action being taken. Therefore, it is important for accountants and other relevant professionals to equip themselves for these requirements.

In this seminar, the speakers will give you an overview of the following topics:

- Introduction to the Hong Kong anti-money laundering (AML) regime;
- Stages and methods of money laundering; and
- The HKICPA AML guidelines

About the event

Available period	8 Sep 2020 – 7 Sep 2021	
Format	E-seminar	
CPD hours	2 hours (subject to actual attendance)	
Language	Cantonese	
Fee	HKICPA member or student:	HK\$150
	IA/ HKIAAT member or student:	HK\$150
	Non-member:	HK\$330
Speakers	Mr. Albert So , Practising Solicitor, Certified Anti-Money Laundering Specialist, Certified Financial Crimes Specialist, Accredited General Mediator, Accredited Family Mediator, Arbitrator, University Lecturer, Fellow of International Bar Association	
	and	
	Mr. Eric Chiang , Deputy Director, Advocacy and Practice Development, HKICPA	
Participants	All parties who want to get a basic understanding of the Hong Kong AML legislation framework relevant to the accounting professional	
Competency*	Ethics and Regulations	
Rating*	Intermediate level	
Application deadline	Online registration by 7 Sep 2021 (offline registration by 2 Sep 2021)	

* Please refer [here](#) for descriptions of the various ratings.



About the speakers

Mr. Albert So

Mr. Albert So, practising solicitor of High Court of Hong Kong and the chairman of Hong Kong Mediation and Arbitration Centre. Over the past years, he has been the Court Prosecutor (fiat) for the Department of Justice responsible for handling financial crime prosecutions, and has also been the program director and university lecturer of various law and mediation programmes in Hong Kong and overseas universities.

Mr. So obtained his bachelor's degree in laws at the University of London, postgraduate studies in laws at both the University of Hong Kong and the King's College London. In addition, he received his professional postgraduate education in civil law, business and finance at the University of Cambridge, Harvard University (John F. Kennedy School of Government) and Tsing Hua University. In addition, he is the fellow / professional member / accredited general mediator / family mediator and arbitrator of: (1) International Bar Association, (2) Hong Kong Mediation and Arbitration Centre, (3) Mediation Office of Family Court, (4) Lands Tribunal of Judiciary, (5) Financial Dispute Resolution Centre, (6) Law Society of Hong Kong, (7) Hong Kong International Arbitration Centre, (8) Kuala Lumpur Regional Centre of Arbitration, (9) Asia Pacific Regional Arbitration Group, (10) Chartered Institute of Arbitrators (UK), (11) Hong Kong Institute of Directors, and (12) Hong Kong Institute of Chartered Secretaries.

Before Mr. So joined the legal profession, he was one of the team members of a financial regulatory authority, responsible for conducting criminal investigations, handling litigation and disciplinary-related professional proceedings. Throughout his professional time as a regulator, he personally handled over 800 financial disputes and their relevant litigations.

Mr. Eric Chiang

Mr Eric Chiang is a Deputy Director of the Advocacy and Practice Development Department of the Institute. Eric was a core member of the HKICPA team for mutual evaluation exercise on Hong Kong conducted by the Financial Action Task Force in 2018. Beside, Eric also helped managing the Institute AML working group which was in charge of the drafting of the AML guidelines; and setting up the initial AML regulatory framework for the accounting professional.

Eric's current focus is on tax advocacy work.