



E-seminar:

Event code: ESEMF202002

Overcoming the challenges of COVID-19 on compliance and investigations (audio archived session)

About the topic

The global lockdown resulting from COVID-19 has created unique challenges for the prevention, detection and investigation of financial misconduct, including disruption to external and internal audits. In particular, it has not been possible to send teams overseas to collect data / documentation onsite for some investigations. Such difficulties are likely to continue and recur at least for the short to medium term.

In this seminar, the speakers will:

- Discuss how companies and their professional advisors have been meeting these challenges, including implementing an effective compliance framework to mitigate risks arising from these new circumstances; and
- Explore how technology, including collaboration tools, forensic data analytics, and cyber response solutions in investigations, come to the forefront to help overcome the constraints of the lockdown.

About the event

Available period 18 Aug 2020 – 17 Aug 2021

Format E-seminar

Fee

- Free of charge for ForensIG members
- HK\$220 per person for Non-ForensIG members

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HKICPA CPD credit 1 hour (subject to actual attendance)

Language English

Competency Forensic accounting*

Rating Intermediate level*

Application deadline Online enrolment by 17 Aug 2021
(offline enrolment by 12 Aug 2021)

Rundown

- Introduction
- Presentation
- Q&A / Discussion
- Closing

Speakers

Jack Jia

Member, ForensIG Management Committee, and Partner, Forensic & Integrity Services, Forensic Technology, Ernst & Young Advisory Services Limited, Hong Kong

Keith Williamson

Member, ForensIG Management Committee, and Managing Director, Alvarez & Marsal Disputes and Investigations Limited

Chair

Guy Norman

Convenor, ForensIG Management Committee, and Partner, Forensic & Dispute Services, Deloitte & Touche Financial Advisory Services

* Please refer [here](#) for descriptions of the various ratings.



About the speakers

Jack Jia

Member, ForensIG Management Committee, and Partner, Forensic & Integrity Services, Forensic Technology, Ernst & Young Advisory Services Limited, Hong Kong

Jack has over 19 years of combined EY experience working in Hong Kong, Mainland China, United States, Australia and New Zealand. He has led multi-location forensic technology engagements across Asia Pacific and the United States.

He serves on Tableau data analytics software customer advocacy board/group and represents EY in forensic technology knowledge sessions with government authorities and regulators in the Asia Pacific region.

He is a Qualified Chartered Accountant in New Zealand, a Certified Information Systems Auditor and a Certified Tableau Professional.

Keith Williamson

Member, ForensIG Management Committee, and Managing Director, Alvarez & Marsal Disputes and Investigations Limited

Keith has more than 20 years of forensic and investigative accounting experience, specialising in investigations of fraud, corruption (U.S. FCPA / U.K. Bribery Act) and regulatory issues, asset tracing and recovery, and litigation support and expert witness services for disputes.

Mr. Williamson has given evidence and been cross-examined in legal proceedings relating to forensic accounting matters. Most recently at A&M, Mr. Williamson has been involved in: acting as a Compliance Monitor for a Chinese state-owned enterprise; performing forensic accounting reviews of alleged fraudulent activity, corruption and accounting misstatements in China and across the Asia Pacific region; undertaking a global FCPA risk assessment and subsequent compliance audit; and leading an anti-money laundering review of a Macanese institution.

Mr. Williamson earned a bachelor's degree in Law from the University of Birmingham. He is a member of the Institute of Chartered Accountants in England and Wales and the Hong Kong Institute of Certified Public Accountants.