



E-learning webinar:

Event code: EWEB20070701

Overcoming the challenges of COVID-19 on compliance and investigations

About the topic

The global lockdown resulting from COVID-19 has created unique challenges for the prevention, detection and investigation of financial misconduct, including disruption to external and internal audits. In particular, it has not been possible to send teams overseas to collect data / documentation onsite for some investigations. Such difficulties are likely to continue and recur at least for the short to medium term.

In this seminar, the speakers will:

- Discuss how companies and their professional advisors have been meeting these challenges, including implementing an effective compliance framework to mitigate risks arising from these new circumstances; and
- Explore how technology, including collaboration tools, forensic data analytics, and cyber response solutions in investigations, come to the forefront to help overcome the constraints of the lockdown.

About the event

Date & Time	7 July 2020, Tuesday 1:00 – 2:00 p.m.
Format	Live Webinar (There will be real time Q&A)
Fee	<ul style="list-style-type: none"> • Free of charge for ForensIG members • HK\$220 per person for Non-ForensIG members <p><i>Not a ForensIG member? Click here to join ForensIG. If you are a non-member of the Institute, please register as a new web member first before completing an online registration as a ForensIG member.</i></p>
HKICPA CPD credit	1 hour (subject to actual attendance)
Language	English
Competency	Forensic accounting*
Rating	Intermediate level*
Application deadline	Online enrolment by 5 July 2020 (offline enrolment by 2 July 2020)

Rundown

1:00 pm	Introduction
1:05 pm	Presentation
1:50 pm	Q&A / Discussion
2:00 pm	Closing

Speakers

Jack Jia

Member, ForensIG Management Committee, and Partner, Forensic & Integrity Services, Forensic Technology, Ernst & Young Advisory Services Limited, Hong Kong

Keith Williamson

Member, ForensIG Management Committee, and Managing Director, Alvarez & Marsal Disputes and Investigations Limited

Chair

Guy Norman

Convenor, ForensIG Management Committee, and Partner, Forensic & Dispute Services, Deloitte & Touche Financial Advisory Services

* Please refer [here](#) for descriptions of the various ratings.



About the speakers

Jack Jia

Member, ForensIG Management Committee, and Partner, Forensic & Integrity Services, Forensic Technology, Ernst & Young Advisory Services Limited, Hong Kong

Jack has over 19 years of combined EY experience working in Hong Kong, Mainland China, United States, Australia and New Zealand. He has led multi-location forensic technology engagements across Asia Pacific and the United States.

He serves on Tableau data analytics software customer advocacy board/group and represents EY in forensic technology knowledge sessions with government authorities and regulators in the Asia Pacific region.

He is a Qualified Chartered Accountant in New Zealand, a Certified Information Systems Auditor and a Certified Tableau Professional.

Keith Williamson

Member, ForensIG Management Committee, and Managing Director, Alvarez & Marsal Disputes and Investigations Limited

Keith has more than 20 years of forensic and investigative accounting experience, specialising in investigations of fraud, corruption (U.S. FCPA / U.K. Bribery Act) and regulatory issues, asset tracing and recovery, and litigation support and expert witness services for disputes.

Mr. Williamson has given evidence and been cross-examined in legal proceedings relating to forensic accounting matters. Most recently at A&M, Mr. Williamson has been involved in: acting as a Compliance Monitor for a Chinese state-owned enterprise; performing forensic accounting reviews of alleged fraudulent activity, corruption and accounting misstatements in China and across the Asia Pacific region; undertaking a global FCPA risk assessment and subsequent compliance audit; and leading an anti-money laundering review of a Macanese institution.

Mr. Williamson earned a bachelor's degree in Law from the University of Birmingham. He is a member of the Institute of Chartered Accountants in England and Wales and the Hong Kong Institute of Certified Public Accountants.

Registration

- **Registration opens at 10 a.m. Thursday 11 June.**
- **Enrolments are limited and accepted on a first-come-first-served basis.**
- **Online enrolment by 5 July 2020; offline enrolment by post or fax by 2 July 2020:**

Complete the attached reply slip and return it to the Institute by 2 July 2020, together with full payment. Cheque should be made payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA". Fax registration will be accepted for payment by any VISA/ Master card or the BOC HKICPA UnionPay card.

You may consider your registration to be successful unless you receive notification from us to the contrary.

- **Contact Ms. Stone Tse at 2287-7065 or Ms. Ki Wong at 2287-7017 for enquiry**