

Financial Services Interest Group (FSIG) E-learning webinar:

Digital onboarding – eKYC / AML checks



Speaker	Mr Colin Lunn CEO Veridate Financial
Date & Time	29 June 2020, Monday 1:00 p.m. – 2:00 p.m.
Format	Live webinar (There will be real time Q&A)
Language	English
Fee	\$120 (HKICPA member) \$250 (non-HKICPA member) <i>An email will be sent to you when your registration is accepted, which will include a login ID and password for this webinar. You can access the webinar by tablet, smart phone or PC.</i>
CPD hour	1 hour
Competency*	Industry Knowledge, Information Technology
Sub-competency*	Business and product knowledge, Technology-related upgrades and implementations
Rating*	Fundamental / Intermediate level
Enrolment deadline	26 June 2020 (offline enrolment by 23 June 2020)
Registration	Enrol online or complete the enrolment form and return it with full payment by the enrolment deadline Enrolment will be accepted on a first-come-first-served basis

* Details of [Competency and Rating](#) information

ABOUT THE WEBINAR

The frameworks around anti-money laundering (AML) compliance risk really started to change post the global financial crisis where much of the focus was on the banks and finance houses. Over the last few years, this pressure has moved downwards into the corporate world and will continue to widen its focus and move further downstream in the years to come. On top of this, the world is moving more and more digital, and there is an ever increasing demand from customers to have access to products and services ever more quickly. Ever evolving regulations, manual onboarding processes, digital drivers, and now the global pandemic of COVID-19 have brought everything crashing together into a new normal. How can organisations adapt to an ever changing environment where they need to give their customers quick access to products and services and still satisfy their regulatory obligations? Are the regulations even compatible in this new normal?

During this webinar, the speaker will talk about:

- What are the different technologies available today and the challenge this presents?
- How to manage ongoing obligations more efficiently?
- Can the regulation catch up?

Financial Services Interest Group (FSIG) E-learning webinar:

Digital onboarding – eKYC / AML checks

ABOUT THE SPEAKER



Mr Colin Lunn
CEO
Veridate Financial

Mr Lunn is the CEO of Veridate Financial, a Hong Kong based fintech business that focuses on transforming client onboarding processes. Prior to joining Veridate Financial, he was the Head of Fund Services for MUFG Fund Services (previously UBS Fund Services) in the Asia-Pacific, where he was responsible for growing the fund services business in Asia.

Before joining UBS, Mr Lunn was Head of Business Development Asia Pacific, Fund Services and Global Custody, at HSBC Securities Services from 2007 to 2011. Before HSBC's acquisition of Bank of Bermuda in 2004, he held various positions at Bank of Bermuda and has also worked at State Street Bank & Trust Co. in Hong Kong.

Mr Lunn has extensive experience of Asia having lived and worked in Hong Kong for the past 27 years.



Financial Services Interest Group (FSIG)
E-learning webinar:
Digital onboarding – eKYC / AML checks
Enrolment Form

29 June 2020, Monday
Enrolment deadline: 23 June 2020 (offline)

Event Code: EWEB20062901

Finance & Operations Department,
Hong Kong Institute of CPAs

37th Floor, Wu Chung House,
213 Queen's Road East, Hong Kong.

Fax no: 2893 9853

Confirmation of enrolment will be sent to you via email, which will include a login ID and password for the webinar. For HKICPA member, you can check your enrolment status at "My CPA" at www.hkicpa.org.hk. Unsuccessful enrolment will be notified with full refund.

[Contact information below is used solely for communication of this particular event, without update to your member profile]

Please use BLOCK letters

Name (Mr./ Mrs./ Ms): _____ Membership no.: _____

Company: _____

Position: _____ Email (Note 4): _____

Telephone no.: _____ Mobile no.: _____

(Please tick the appropriate box)

Fee:	<input type="checkbox"/> HKICPA Member: \$120		<input type="checkbox"/> non-HKICPA Member: \$250	
<input type="checkbox"/> Cheque (no. _____) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"				
<input type="checkbox"/> BOC HKICPA VISA <input type="checkbox"/> BOC HKICPA UnionPay card <input type="checkbox"/> Other VISA / MasterCard				
Card Number:				
Cardholder's Name (block letters):	Cardholder's Signature:			Date:
<ul style="list-style-type: none"> For credit card payment the card should be valid with expiry date at least 1 month from the date of event. Payment receipt will be sent to your email address provided above once the payment is confirmed. 				

Notes:

- Enrolment for the activity is accepted on a first-come-first served basis.
- Full payment must be made before your enrolment will be processed. No telephone reservation will be accepted.
- Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly not accepted.
- Confirmation of enrolment will be sent to you via email prior to the date of event, in which you will be given your login ID and password that are for your exclusive use ONLY and you should not share them with anyone. You can access the webinar by tablet, smart phone or PC. For HKICPA member, you can check your enrolment status at "MyCPA" at www.hkicpa.org.hk. Unsuccessful enrolment will be notified with full refund.
- In normal circumstance, the admission fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the event be cancelled or postponed due to unforeseen circumstance, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
- The Institute reserves the right to change the date, speaker, or to cancel the event due to unforeseen circumstances.
- No unauthorized audio or video recording is allowed for the webinar.

Personal Data: Your personal data collected from the enrolment process and administration of courses/events/activities will be used for the purpose of the administration of the course/event/activity on which you are enrolled ("Event"). Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis. By submitting this Enrolment Form, you understand and agree that the Institute may provide your personal data above to co-organisers/service providers in or outside Hong Kong for the purpose relating to the Event. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link <https://mas.hkicpa.org.hk/mycpa/communication/preference>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at privacyofficer@hkicpa.org.hk or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <http://www.hkicpa.org.hk/en/service-tools/privacy-policy/>.

Payment & Enrolment Status Enquiry: 2287 7379
Email: finance@hkicpa.org.hk

Event Information Enquiry: 2287 7009 (Betsy Liang)
Email: betsyliang@hkicpa.org.hk

For non-member and firm payment by cheque, please fill in your postal address for refund in case the event is full or cancelled.

Name :	Name :
Address :	Address :