



## HKICPA Anti-Money Laundering Workshop

Event Code: WSHP20021501

### About the topic

The Anti-Money Laundering and Counter-Terrorist Financing (Financial Institutions) (Amendment) Ordinance 2018 became effective on 1 March 2018. Accountants working in professional firms, lawyers and trust or company service providers, among others, are required to conduct customer due diligence and record keeping when they carry out particular kinds of transactions for their clients. Failure to comply with the new requirements could result in disciplinary action being taken. Therefore, it is important for accountants and other relevant professionals to equip themselves for these requirements.

Given the demand, the workshop in English will rerun on **28 March**. It aims to provide members with essential knowledge about financial and "white-collar" crimes and AML methods relevant to professional practices. Broad topics to be covered in the workshop are:

- Roles of professional accountants, auditors, lawyers and other gatekeepers in preventing financial and "white collar" crime
- Common fraud schemes
- Stages and methods of money laundering
- Latest financial crime and anti-money laundering regulations
- Detection and prevention of financial crime/fraud
- Brief introduction of financial crime investigation: how to handle the investigation process?
- Court case studies

### About the event

<b>Date</b>	<b>Saturday, 28 March 2020</b> <i>(rescheduled from original event on Saturday, 22 February 2020)</i>	
<b>Time</b>	9:30 a.m. to 12:45 p.m., including a 15-minute break	
<b>Venue</b>	<b>Hong Kong Institute of CPAs,</b> <b>27/F., Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong</b>	
<b>Format</b>	Workshop	
<b>Language</b>	English	
<b>Fee</b>	HKICPA member or student:	HK\$850
	IA/ HKIAAT member or student:	HK\$850
	Non-member:	HK\$1,600
<b>Speaker</b>	<b>Mr. Albert So</b> , Practising Solicitor, Certified Anti-Money Laundering Specialist, Certified Financial Crimes Specialist, Accredited General Mediator, Accredited Family Mediator, Arbitrator, University Lecturer, Fellow of International Bar Association	
<b>Participants</b>	All parties who want to update their knowledge on the latest AML regulations	
<b>Competency*</b>	Ethics and Regulations	
<b>CPD hours</b>	3	

\* Please refer [here](#) for descriptions of the various ratings.



## About the speaker

### Mr. Albert So

Mr. Albert So, practising solicitor of High Court of Hong Kong and the chairman of Hong Kong Mediation and Arbitration Centre. Over the past years, he has been the Court Prosecutor (fiat) for the Department of Justice responsible for handling financial crime prosecutions, and has also been the program director and university lecturer of various law and mediation programmes in Hong Kong and overseas universities.

Mr. So obtained his bachelor's degree in laws at the University of London, postgraduate studies in laws at both the University of Hong Kong and the King's College London. In addition, he received his professional postgraduate education in civil law, business and finance at the University of Cambridge, Harvard University (John F. Kennedy School of Government) and Tsing Hua University. In addition, he is the fellow / professional member / accredited general mediator / family mediator and arbitrator of: (1) International Bar Association, (2) Hong Kong Mediation and Arbitration Centre, (3) Mediation Office of Family Court, (4) Lands Tribunal of Judiciary, (5) Financial Dispute Resolution Centre, (6) Law Society of Hong Kong, (7) Hong Kong International Arbitration Centre, (8) Kuala Lumpur Regional Centre of Arbitration, (9) Asia Pacific Regional Arbitration Group, (10) Chartered Institute of Arbitrators (UK), (11) Hong Kong Institute of Directors, and (12) Hong Kong Institute of Chartered Secretaries.

Before Mr. So joined the legal profession, he was one of the team members of a financial regulatory authority, responsible for conducting criminal investigations, handling litigation and disciplinary-related professional proceedings. Throughout his professional time as a regulator, he personally handled over 800 financial disputes and their relevant litigations.

## Registration

**Registration opens for online registration only, and seats are on first-come-first-served basis. Please complete online registration by 25 March.**

**Contact Ms. Betsy Liang at 2287 7009 for enquiry.**