



# Best Corporate Governance Awards series: Understanding the latest practices in risk management and internal control

Programme Code: SCPD20022002

## About the topic

The Institute has been organising the [Best Corporate Governance Awards](#) (BCGA) since 2000 and they are regarded as very exacting and prestigious awards, as well as an accurate benchmark of Hong Kong's current corporate governance standards among listed companies and public sector organisations. Risk management and internal control (RM & IC) are key areas in the BCGA. If implemented effectively, good RM & IC can help safeguard a business and instil greater confidence in investors and stakeholders. The speakers in this event have extensive experience in advising on RM & IC practices.

The topics they will cover include the following:

- Introduction;
- Corporate governance and risk management;
- RM & IC systems;
- Implementation challenges;
- What are the qualities and enablers of an effective RM & IC system; and
- Future developments and examples.

## About the event

<b>Date &amp; Time</b>	20 February 2020, Thursday 7:00 – 9:00 p.m.
<b>Venue</b>	HKICPA training centre, 27th Floor, Wu Chung House, 213 Queen's Road East, Wanchai
<b>Fee</b>	<ul style="list-style-type: none"><li>• HKICPA member or student; and IA/ HKIAAT's member or student: HK\$150</li><li>• Non-member: HK\$330</li></ul>
<b>Language</b>	English
<b>HKICPA CPD credit</b>	2 hours (subject to actual attendance)
<b>Rating</b>	Intermediate Level *
<b>Competency</b>	Corporate governance*
<b>Participant</b>	Those with responsibilities for, or interest in, corporate governance
<b>Objective</b>	To share understanding and latest practices on RM
<b>Application deadline</b>	<b>17 February 2020</b>

### Speakers

#### Joseph Fu

Managing Partner, JFU / Digital Tools Company Limited

#### Vincent Lin

Advisor, JFU

#### Lily Au

Client Director, Digital Tools Company Limited

#### Dominic Fu

Partner, JFU / Digital Tools Company Limited

### Chair

#### Patrick Rozario

Chair, review panel of Best Corporate Governance Awards, and Managing Director, Moore Stephens Advisory Services Limited

\* Please refer [here](#) for descriptions of the various ratings.



## About the speakers

### **Joseph Fu**

#### **Managing Partner – JFU / Digital Tools Company Limited**

Joseph Fu is a member of the HKICPA and the ICAEW and ACCA in the UK. He served as a council member of The Taxation Institute of Hong Kong for many years and was its president in 2002 and 2003.

Joseph founded JFU in 2007 after retiring from years of service as partner at big-4 firms. JFU represents a network of consultants specialized in taxation and risk management with offices in Beijing, Shanghai, Hong Kong and Vancouver.

With over 40 years of accounting and tax experience, he was named one of Hong Kong and China's top advisors by the International Tax Review in 1998, 1999 and from 2003 to 2006, and by Euromoney in 2002.

### **Vincent Lin**

#### **Advisor, JFU**

Vincent Lin worked for Hong Kong Exchanges and Clearing Limited and The Stock Exchange of Hong Kong Limited between 1992 and March 2013. He was senior consultant to the Listing and Regulatory Affairs Division of HKEX. After leaving HKEX, Vincent continues to work as a consultant in corporate listing matters, including pre-IPO and enterprise risk management review. He also lectures at the City University of Macau on the subject of risk management.

Vincent has over 40 years of experience in accounting, finance and public offerings and is a member of the HKICPA and ACCA. He is also a member of the Chartered Institute of Arbitrators in the UK.

He received his Doctor, Master and Bachelor of Law from Peking University in 2009, 1998 and 1992 respectively and completed a Postgraduate Certificate in Hong Kong Law at the City University of Hong Kong in 1993.

### **Lily Au**

#### **Client Director, Digital Tools Company Limited**

Lily has 27 years of fast-moving consumer goods experience. She held several general management roles in her past tenure. Lily was the managing director of North South East Region of General Mills, Inc. in which she spent 18 years. General Mills is a leading NYSE listed food company which manufactures, markets many renowned food brands worldwide. Haagen-Dazs, Cheerios, Nature Valley, Pillsbury are some of the brands. In the course of her career, Lily is experienced in leading crisis management, launching new business operations and risk management systems. Turning risks into opportunities was one of the strategies that enabled Lily to win 14 Eagle Awards (out of 18-years of service) from General Mills headquarters, for the markets for which she was responsible.

Lily earned her MBA from University of Stirling in Scotland.



## About the speakers (cont')

### **Dominic Fu**

#### **Partner – JFU / Digital Tools Company Limited**

Dominic worked as a trainee engineer for listed engineering companies in the U.S. and China, and joined JFU at the inception of the firm in 2007 to pursue a career in audit, risk management and internal control. Dominic is a partner of JFU responsible for risk services and director of Digital Tools Company Limited, which he established in 2012 to develop IT infrastructures, management information systems, tools and technologies to help companies digitize risk management.

Dominic studied in Canada, obtained a Bachelor of Applied Science in Engineering Physics from the University of British Columbia. He is a member of the HKICPA and a Chartered Professional Accountant in Canada.

## Registration

- Complete online registration by **17 February 2020**.

**Contact Betsy Liang at 2287 7009 for enquiry.**



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## EVENT ENROLMENT FORM

Programme Code: **SCPD20022002**

Finance & Operations Department,  
Hong Kong Institute of CPAs,  
37/F, Wu Chung House, 213 Queen's Road East, Hong Kong

Payment & Enrolment Status Enquiry: 2287 7381  
e-mail: [finance@hkicpa.org.hk](mailto:finance@hkicpa.org.hk)  
Fax: 2893 9853

Event Information Enquiry:  
2287 7009  
e-mail: [betsyliang@hkicpa.org.hk](mailto:betsyliang@hkicpa.org.hk)

**FOR OFFICE USE**

Seq. no.: \_\_\_\_\_

**Deadline: 17 February 2020**

No.	Membership		Full Name of Participant(s) (Block Letters)	Company	Email address <sup>*(2)</sup> (Block Letters)	Fee	
	No.	Status (1)				HKICPA member, student /IA/HKIAAT member, student HK\$150	Non- member HK\$330
1							
2							
3							
4							
						Total	HK\$

\* Email address is for enrolment confirmation purpose. Please refer to note (2) for details.

**Payment Method** (Please tick the appropriate box)

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- Notes:**
- Membership Status: **NP** = Non-Practising, **P** = Practising, **IA** = International Affiliate, **S** = Student, **HKIAAT** = HKIAAT Student or Member, **GAA** = GAA Passport holder, **NM** = Non-Member.
  - Successful applicants will receive confirmation of registration by email. Unsuccessful applicants will also be notified. If you have not heard from the Institute regarding your registration by **17 February 2020** and if you have other enquiries related to the programme, please make enquiry at 2287 7009.
  - NO ADMISSION TICKET will be issued. Please bring your HKICPA membership card or confirmation email for admission purpose.
  - All applications are on a first-come-first-served basis.
  - Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly not accepted. Please ensure all the particulars relating to payment are completed, otherwise the application cannot be processed. There is NO need to send in the enrolment form again if it has already been faxed to the Institute.
  - For credit card payment, the card should be valid with expiry date at least 1 month from the date of event.
  - Cheque(s) should be made payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA". Please issue SEPARATE CHEQUE for each event.
  - In normal circumstances, the event fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the event be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
  - All scheduled events will be cancelled in the event of typhoon signal no. 8 or above or if a Black Rainstorm warning is hoisted. For details of bad weather arrangement for CPD programmes, please refer to the Institute's homepage.
  - The Institute reserves the right to change the venue, date, speaker or to cancel the event due to unforeseen circumstances.
  - No unauthorized audio or video recording is allowed at CPD events.

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