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### **Industry Update Relating to Banks**

[Event code: SCPD19120201]

Date 2 December 2019 (Monday)

**Time** 7:00 p.m. – 8:30 p.m.

**Venue** Hong Kong Institute of CPAs, 27/F., Wu Chung House,

213 Queen's Road East, Wanchai, Hong Kong

Speakers Ms. Eva Tung

Senior Manager, Research and Development Unit, Banking Policy Department

Hong Kong Monetary Authority

Mr. James Tam

Partner, Financial Services practice

PwC

Mr. Brian Yam

Partner, Financial Services practice

PwC

Language English

Fee HK\$150 for HKICPA member and student / HK\$330 for non-HKICPA member

CPD Credit 1.5 hours

hours

Competency Industry Knowledge, Auditing and Assurance

Rating Intermediate Level\* - Sound understanding of the knowledge area. The ability to apply

knowledge and skills to a range of situations and able to deal with new situations.

#### **ABOUT THE SEMINAR**

This seminar will cover regulatory and auditing updates relating to the banking industry with particular reference in the following areas:

#### Hong Kong Monetary Authority:

• Key revisions made to the Supervisory Policy Manual module IC-3 ("SPM IC-3") (expected to be issued for consultation in November 2019).

#### PwC:

 Key changes made to PN 830 (Revised), Reports by the Auditor under the Banking Ordinance revised in December 2018.

#### **REGISTRATION**

- Online registration is recommended, please click <u>here</u> or visit <u>www.hkicpa.org.hk</u>
- To register offline (by post or by fax), please complete the enclosed enrolment form and return it with full payment to the Institute.
- Enrolments will be accepted on a first-come-first-served basis with priority given to the Institute's members.

**Payment & Enrolment Status Enquiry** 

Tel: 2287 7381 Email: finance@hkicpa.org.hk

<sup>\*</sup> Please refer <a href="here">here</a> for descriptions of other competencies and ratings

## **Industry Update Relating to Banks** (2 December 2019)

Finance & Operations Department, Hong Kong Institute of CPAs 37th Floor, Wu Chung House, 213 Queen's Road East, Hong Kong Fax no: 2893-9853



Name: (*Mr. / Mrs. / Ms.)	HKICPA Membership No.:	
Company name:	(if applicable)	
Position held:		
Telephone No.:	Email:(for enrolment confirmation purpose) Fax No.:	
[Contact information is used solely for communication of this particular event, without update to your member profile.]  Please mark a "" against the session(s) for which you wish to apply		
Please mark a "" against the session(s) for which you wish to apply		
Seminar :		
2 December 2019 [Event Code: SCPD19120201]		
Admission Fee:		
☐ HK\$ 150 per person (HKICPA members/students) ☐ HK\$ 330 per person (Non-HKICPA members)		
□ Cheque (no) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"		
□ BOC HKICPA VISA □ BOC HKICPA UnionPay card □ Other VISA / MasterCard		
Card Number:	Card Expiry Date (MM/YY):	
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Payment receipt will be sent to your email address provided above once the payment is confirmed.		

#### Notes

- 1. Please complete the Enrolment Form and return it to the Finance & Operations on or before the deadline, together with full payment.
- 2. Enrolment is accepted on a first-come-first-served basis. No telephone reservation will be accepted.
- 3. Registration by fax will ONLY be processed when payment is made by credit card.
- 4. Cash is strictly NOT accepted. For credit card payment, the credit card should be valid with expiry date at least 1 month from the date of event. For payment by cheque, the enrolment will only be processed upon receipt of full payment.
- Confirmation of enrolment will be sent to you via email. You can check your enrolment status at "MyCPA" at <a href="http://mas.hkicpa.org.hk/mycpa/login.">http://mas.hkicpa.org.hk/mycpa/login.</a>
  Unsuccessful enrolment will be notified with full refund.
- 6. If typhoon signal no.8 or above / Black rainstorm warning is hoisted at or after 2:30 p.m on the date of event, the activity will be cancelled. Your admission fee will be refunded in the event of cancellation due to bad weather. For details, please refer to <a href="Typhoon / Black rainstorm arrangement">Typhoon / Black rainstorm arrangement</a>.
- 7. In normal circumstances, the seminar fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the seminar be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
- 8. No unauthorized audio or video recording is allowed at CPD events.

Personal Data: Your personal data collected from the enrolment process and administration of courses/events/activities will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link <a href="http://mas.hkicpa.org.hk/mycpa/communication/preference">http://mas.hkicpa.org.hk/mycpa/communication/preference</a>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at <a href="mailto:privacyofficer@hkicpa.org.hk/en/service-tools/privacy-policy/">privacy-policy/</a>.

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