



# 2019 SMP Symposium

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|---------------------------|--|
| <b>Date</b>               | <b>Friday, 29 November 2019</b>  |
| <b>Time</b>               | <b>9:00 a.m. – 6:00 p.m.</b>   |
| <b>Venue</b>              | HKICPA, 27th Floor, Wu Chung House,<br>213 Queen's Road East, Wanchai, Hong Kong.  |
| <b>Competency*</b>        | Auditing and Assurance / Accounting and Financial Reporting / Ethics and Regulations / Industry Knowledge / Information Technology / Taxation  |
| <b>Rating*</b>            | Intermediate level   |
| <b>CPD hours</b>          | 6.5 hours  |
| <b>Language</b>           | Cantonese (supplemented with English for technical terms)  |
| <b>Admission fee</b>      | <b>\$650</b> (HKICPA member), inclusive of 2 coffee breaks<br>(Lunch will be on participants' own arrangement)   |
| <b>Target audience</b>    | SMPs   |
| <b>Enrolment deadline</b> | <b>22 November 2019</b>  |
| <b>Remarks</b>            | <ul style="list-style-type: none"><li>• Participants' contribution will be useful to maximize the value of this event. Please send in questions and / or suggestions of issues to be addressed at the respective sessions by completing part II of the enrolment form.</li><li>• Presentation materials in pdf format will be sent to participants via email around 2 days before the event. No printed presentation handouts will be provided at the event.</li></ul> |

\* Please refer to the [Institute's online CPD Learning Resource Centre](#) for descriptions of competency and rating

## About the Symposium

The event will provide an update on the following:

- Recent development of the Institute and International Federation of Accountants ("IFAC")
- Taxation matters
- Major financial reporting and auditing standards affecting SMPs
- Trust or Company Service Provider ("TCSP") licensing regime and Anti-Money Laundering and Counter-Financing of Terrorism ("AML/CFT") requirements
- Audit data analytics
- Practice review and compliance matters



## 2019 SMP Symposium (Friday, 29 November 2019)

### Programme rundown

| Time          | Programme #   |
|---------------|---|
| 08:30 – 09:00 | <b>Registration</b>   |
| 09:00 – 10:00 | <b>Welcoming remarks, Institute and IFAC updates</b><br>Speakers <ul style="list-style-type: none"> <li>● <b>Mr. Patrick Law</b>, President, HKICPA</li> <li>● <b>Mr. Johnson Kong</b>, Vice President and Small &amp; Medium Practices Committee ("SMPC") chair, HKICPA and IFAC SMPC member</li> <li>● <b>Ms. Margaret W.S. Chan</b>, Chief Executive &amp; Registrar, HKICPA</li> </ul>  |
| 10:00 – 11:10 | <b>Tax update</b><br>Speaker <ul style="list-style-type: none"> <li>● <b>Ms. Leung Wing-chi</b>, Assistant Commissioner(Acting), Profits Tax - Corporations and Partnerships, Inland Revenue Department, HKSAR Government</li> </ul> Moderators <ul style="list-style-type: none"> <li>● <b>Mr. So Kwok Kay</b>, Taxation Faculty Executive Committee chair, HKICPA</li> <li>● <b>Mr. Webster Ng</b>, SMPC member, HKICPA</li> </ul>  |
| 11:10 – 11:35 | <b>Coffee break</b>   |
| 11:35 – 12:45 | <b>Overview on major financial reporting and auditing standards affecting SMPs</b><br>Speakers <ul style="list-style-type: none"> <li>● <b>Mr. Anthony Wong</b>, Associate Director, Standard Setting ("SS"), HKICPA</li> <li>● <b>Ms. Grace Lau</b>, Manager, SS, HKICPA</li> </ul> Moderators <ul style="list-style-type: none"> <li>● <b>Mr. Gary Poon</b>, SMPC Working Group on Technical Issues convenor and Financial Reporting Standards Committee member, HKICPA</li> <li>● <b>Mr. Thomas Wong</b>, Auditing and Assurance Standards Committee member, HKICPA</li> </ul> |
| 12:50 – 14:20 | <b>Lunch break</b> (On participants' own arrangement)   |
| 14:20 – 15:20 | <b>TCSP licensing regime and AML/CFT requirements</b><br>Speakers <ul style="list-style-type: none"> <li>● <b>Mr. Roger Wong</b>, Deputy Registry Manager, Companies Registry ("CR")</li> <li>● <b>Ms. Christy Yiu</b>, Senior Solicitor, CR</li> </ul> Moderators <ul style="list-style-type: none"> <li>● <b>Ms. Elizabeth Law</b>, HKICPA representative on CR Customer Liaison Group and SMPC member, HKICPA</li> <li>● <b>Mr. Edmund Wong</b>, Anti-Money Laundering Guidelines Working Group member and SMPC member, HKICPA</li> </ul>                                      |
| 15:20 – 15:40 | <b>Coffee break</b>   |
| 15:40 – 16:40 | <b>Audit data analytics</b><br>Speaker <ul style="list-style-type: none"> <li>● <b>Mr. Patrick Rozario</b>, Managing Director, Moore Stephens Advisory Services Limited</li> </ul> Moderator <ul style="list-style-type: none"> <li>● <b>Mr. Jeffrey Chan</b>, SMPC Working Group on Practice Management convenor and SMPC member, HKICPA</li> </ul>  |
| 16:40 – 17:50 | <b>Practice review and compliance updates</b><br>Speakers <ul style="list-style-type: none"> <li>● <b>Ms. Elsa Ho</b>, Director, Quality Assurance ("QA"), HKICPA</li> <li>● <b>Mr. Peter Nip</b>, Deputy Director, Compliance, HKICPA</li> <li>● <b>Mr. Dennis Chan</b>, Senior Manager, QA, HKICPA</li> </ul> Moderator <ul style="list-style-type: none"> <li>● <b>Ms. Helen Tang</b>, Professional Conduct Committee deputy chair and SMPC member, HKICPA</li> </ul>  |
| 17:50 – 18:00 | <b>Closing remarks</b><br>Speaker <ul style="list-style-type: none"> <li>● <b>Mr. Eugene Liu</b>, 2019 SMP Symposium Organizing Committee chair and SMPC deputy chair, HKICPA</li> </ul>  |

Note: At each session, time will be allowed for exchange of views with participants.

# Programme is subject to change



## 2019 SMP Symposium Enrolment Form

(Programme Code: SYMP19112901)

29 November 2019

(Enrolment deadline: 22 November 2019)

Finance & Operations Department,  
Hong Kong Institute of CPAs

37th Floor, Wu Chung House,  
213 Queen's Road East, Hong Kong.

Fax no: 2893 9853

Confirmation of enrolment will be sent to you via email. You can check your enrolment status at "My CPA" at [www.hkicpa.org.hk](http://www.hkicpa.org.hk).  
Unsuccessful enrolment will be notified with full refund.

[Contact information below is used solely for communication of this particular event, without update to your member profile]

Please use BLOCK letters

Name (Mr./ Mrs./ Ms): \_\_\_\_\_ Membership no.: \_\_\_\_\_  
Company: \_\_\_\_\_  
Position: \_\_\_\_\_ Email: (Note 4) \_\_\_\_\_  
Telephone no.: \_\_\_\_\_ Mobile no.: \_\_\_\_\_

(Please tick the appropriate box)

**Admission Fee:** ☐ \$650 (HKICPA member)

☐ Cheque (no. \_\_\_\_\_) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"

☐ BOC HKICPA VISA

☐ BOC HKICPA UnionPay card

☐ Other VISA / MasterCard

Card Number:

Card Expiry Date (MM/YY):

Cardholder's Name (block letters):

Cardholder's Signature:

Date:

- For credit card payment, the card should be valid with expiry date at least 1 month from the date of event.
- Payment receipt will be sent to your email address provided above once the payment is confirmed.

### Notes:

1. Enrolments are accepted on a first-come-first-served basis.
2. Full payment must be made before your application will be processed. No telephone reservation will be accepted.
3. Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly not accepted.
4. Confirmation of enrolment will be sent to you via email on or before **22 November 2019**. You can check your enrolment status at "MyCPA" at [www.hkicpa.org.hk](http://www.hkicpa.org.hk). Unsuccessful enrolment will be notified with full refund.
5. In normal circumstance, the admission fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the event be cancelled or postponed due to unforeseeable circumstance, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
6. **Bad weather arrangement:** When the typhoon signal no. 8 or above / black rainstorm warning is hoisted, as announced by the Hong Kong Observatory, at or after 6:30 a.m. on the date of event, the event will be cancelled.
7. The Institute reserves the right to change the venue, date, speaker and/or rundown of the event due to unforeseen circumstances.

**Personal Data:** Your personal data collected from the enrolment process and administration of courses will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis and, if applicable, for validation of CPD hours. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of CPD activities, members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link <https://mas.hkicpa.org.hk/mycpa/communication/preference>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at [privacyofficer@hkicpa.org.hk](mailto:privacyofficer@hkicpa.org.hk) or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <http://www.hkicpa.org.hk/en/service-tools/privacy-policy/>

**Payment & Enrolment Status Enquiry:** 2287 7381  
e-mail: [finance@hkicpa.org.hk](mailto:finance@hkicpa.org.hk)

**Event Information Enquiry:** 2287 7017 (Wong Wan Ki)  
e-mail: [wk Wong@hkicpa.org.hk](mailto:wk Wong@hkicpa.org.hk)

For non-member and firm payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled.

Name:

Name:

Address:

Address:

**29 November 2019**

## Part II Questions or suggestions of discussion issues

|           |   |
|-----------|---|
| Session 1 | Institute and IFAC updates  |
| Session 2 | Tax update  |
| Session 3 | Overview on major financial reporting and auditing standards affecting SMPs |
| Session 4 | TCSP licensing regime and AML/CFT requirements                              |
| Session 5 | Audit data analytics  |
| Session 6 | Practice review and compliance updates                                      |

**Session No.**      **I am interested in discussing the followings at the Symposium:**  
(Please provide the session no. at the left hand column.)

[illegible]