Wealth Management Series
(February to March 2019)

Automation techniques such as Artificial Intelligence (A.I.) are taking over the financial services industry. This new tranche of Wealth Management Series of seminars aim to enhance your understanding of how A.I. can help investors to invest effectively and to bridge the gap between the super rich and the masses.

Topic/ Programme code	Date and time	Speaker
A.I. Era: The Reform of Hong Kong's Wealth Management Industry (SCPD19022202)	Friday, 22 February 2019 12:30 p.m. – 2:00 p.m.	**Dr. Don Huang** Co-founder and Head of Quantitative Research, AQUMON
Investment for the Super Rich vs the Masses, A.I. Bridges the Gap (SCPD19030601)	Wednesday, 6 March 2019 7:00 p.m. – 8:30 p.m.	

Venue   Hong Kong Institute of CPAs, 27/F., Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong

Format   Seminar

Language   English

Fee (for each)   HKICPA member or student: HK$150
IA/ HKIAAT member or student: HK$150
Non-member: HK$330

Participants   Investors and persons who are interested in A.I. wealth management

Competency*   Industry knowledge

Rating*   Intermediate level

CPD hours (for each)   1.5

* Please refer to the Institute's online CPD Learning Resource Centre for descriptions of competency and rating.

About the programme

A.I. Era: The Reform of Hong Kong's Wealth Management Industry (SCPD19022202)

Course outline

Artificial intelligence (A.I.) and automation techniques are changing the Wealth Management industry. By analyzing comprehensive data sets and utilizing algorithms to efficiently deliver solutions tailored to investors' needs, A.I. and automation techniques can help investors to invest more effectively and at lower costs. As pressure continues to increase for financial institutions to reduce rates on commissions and investments, one of the hottest trends emerges in recent years is the use of robo-advisors. Robo-advisors are algorithm-based investment platforms that help investors to automatically manage the investment portfolios with minimal human intervention.

After joining this seminar, participants will gain knowledge about:

- Why automation is the future trend of wealth management
- How A.I. can reform the wealth management industry
- What investors can achieve by using automated algorithms in wealth management

Investment for the Super Rich vs the Masses, A.I. Bridges the Gap (SCPD19030601)

Course outline

According to a study published recently by a research firm, Wealth-X, Hong Kong recently overtook New York City to become the top destination for the super rich. On the flip side, PricewaterhouseCoopers recently reported that more than 95% of Hong Kong's population has no access to proper wealth management and investment services. With the vast majority of Hong Kong's investment needs being underserved, robo-advisors utilizing A.I. techniques are emerging to help bridging the gap between the super rich and the masses.

After joining this seminar, participants will gain knowledge about:

- How A.I. can boost the effectiveness and efficiency of the investment industry
- How different the investment opportunities are between the super rich and the ordinary people
- How A.I. can bridge the gap between the masses and the super rich

About the speaker

Dr. Don Huang, Co-founder and Head of Quantitative Research, AQUMON

Dr. Huang is specialized in financial mathematics and obtained his PhD in Mathematics from Hong Kong University of Science and Technology. He has published several papers in top international academic journals. As an expert in his field of study, Dr. Huang co-founded Magnum Research and now leads the AQUMON quantitative team that applies portfolio investment philosophy and quantitative techniques. Prior to obtaining his PhD, Dr. Huang worked at DBS Bank to structure derivative solutions for both corporate clients and retail clients.

Hong Kong Institute of Certified Public Accountants
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HKICPA Event Enrolment Form (For Support Programme)

Finance & Operations Department,
Hong Kong Institute of CPAs,
37/F, Wu Chung House, 213 Queen's Road East, Hong Kong

Payment & Enrolment Status Enquiry: 2287 7381 e-mail: finance@hkicpa.org.hk Fax : 2893 9853	Course Information Enquiry: 2287 7386 / 2287 7230 e-mail: cpd@hkicpa.org.hk	**FOR OFFICE USE**

Deadline: 7 working days before the date of the programme

No.	Membership No.	Status (1)	Full Name of Participant(s) (Block Letters)	Company	Email address (2) (Block Letters)	Programme Code	Fee (HK$)
1							
2							
3							
						Total (HK$)	

❐ I am unemployed and not working. I am planning to rejoin the workforce.
The Institute reserves the right to allocate places to enable the enjoyment of more members in this event.
The Institute's decision is final. Applicant must submit the enrolment form 10 working days before the event.

Contact Person : _____ Tel No. : _____ Fax No. : _____ E-mail : _____
Contact information is used solely for communication of this particular event, without update to your member profile.

Payment Method *(Please tick the appropriate box)*

❑ Cheque (no._____) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA" (7)
❑ BOC HKICPA VISA   ❑ BOC HKICPA UnionPay card   ❑ Other VISA / MasterCard
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Notes:
1. Membership Status: **NP** = Non-Practising, **P** = Practising, **IA** = International Affiliate, **S** = Student, **HKIAAT** = HKIAAT Student or Member, **GAA** = GAA Passport holder, **NM** = Non-Member.
2. Confirmation of enrolment will be sent to you via email. You can check your enrolment status at "MyCPA" at www.hkicpa.org.hk. Unsuccessful enrolment will be notified with full refund.
3. NO ADMISSION TICKET will be issued. Please bring your HKICPA membership card or confirmation email for admission purpose.
4. All applications are on a first-come-first-served basis.
5. Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly not accepted. Please ensure all the particulars relating to payment are completed, otherwise the application cannot be processed. There is NO need to send in the enrolment form again if it has already been faxed to the Institute.
6. For credit card payment, the card should be valid with expiry date at least 1 month from the date of event.
7. Please issue SEPARATE CHEQUE for each event.
8. In normal circumstances, the event fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the event be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
9. All scheduled events will be cancelled and postponed to a date to be announced in the event of typhoon signal no. 8 or above or if a Black Rainstorm warning is hoisted. For details of bad weather arrangement for CPD programmes, please refer to the Institute's homepage.
10. The Institute reserves the right to change the venue, date, speaker or to cancel the event due to unforeseen circumstances.
11. No unauthorized audio or video recording is allowed at CPD events.

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