



Practical implementation tips on the new Anti-Money Laundering regime

Event Code: SCPD18061901

Section 610.1 of the [HKICPA Guidelines on Anti-Money Laundering and Counter-Terrorist Financing \("AML/CTF"\) for Professional Accountants](#) and Section 3.1 of the [Companies Registry Guideline on Compliance of AML/CTF Requirements for Trust and Corporate Service Providers](#) set out the need for firms to implement internal policies, procedures and controls to address money laundering and terrorist financing risks. How does a firm develop and implement AML/CFT internal policies, procedures and controls in its day-to-day operations to mitigate the money laundering and terrorist financing risks and comply with the Anti-Money Laundering and Counter-Terrorist Financing (Financial Institutions) Ordinance (Cap. 615) ?

In this seminar, the speaker will discuss practical implementation that will balance compliance requirements and business needs, with focus on Customer Due Diligence and Ongoing Monitoring.

Date **Tuesday, 19 June 2018**

Time **12:30 p.m. – 2:00 p.m.**

Venue Hong Kong Institute of CPAs,
27/F., Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong

Format Seminar

Language English

Fee

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|-------------------------------|---------|
| HKICPA member or student: | HK\$190 |
| IA/ HKIAAT member or student: | HK\$190 |
| Non-member: | HK\$330 |

Speaker **Mr. Martin Lim**, Founder and Director, Ingenique Solutions

Martin is the founder and director of Ingenique Solutions. He is the person behind the product development of SentroWeb-Dow Jones, a AML/CFT customer screening and due diligence software for small and medium sized professional firms.

Prior to joining Ingenique, Martin has more than 15 years in the IT industry covering both technical and business development responsibilities. With Ingenique, he frequently engages with small and medium sized professional firms to assist them in implementing AML/CFT policies, procedures and controls, and to prepare for regulatory inspections and reviews. Through his experience with the customers, he is able to develop solutions for them to effectively implement their AML processes.

Martin holds a Master degree in Software Engineering, and a Diploma in Anti-Money Laundering.

Participants All parties who want to update their knowledge on the latest AML regulations

Competency* Ethics and Regulations, Industry Knowledge, Risk Management

Rating* Intermediate level

CPD hours 1.5

* Please refer to the [Institute's online CPD Learning Resource Centre](#) for descriptions of competency and rating.



Hong Kong Institute of
Certified Public Accountants
香港會計師公會

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19 June 2018 (Enrolment deadline: 11 June 2018)

Online registration is recommended

Event Code: SCPD18061901
**Finance & Operations Department,
Hong Kong Institute of CPAs**
37th Floor, Wu Chung House,
213 Queen's Road East, Hong Kong.
Fax no: 2893 9853

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Notes:

- Enrolments are accepted on a first-come-first-served basis.
- Full payment must be made before your application will be processed. No telephone reservation will be accepted.
- Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly not accepted.
- Confirmation of enrolment will be sent to you via email before **15 June 2018**. You can check your enrolment status at "MyCPA" at www.hkicpa.org.hk. Unsuccessful enrolment will be notified with full refund.
- In normal circumstance, the admission fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the event be cancelled or postponed due to unforeseeable circumstance, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
- Bad weather arrangement:** When the typhoon signal no. 8 or above / black rainstorm warning is hoisted, as announced by the Hong Kong Observatory, at or after 6:30 a.m. on the date of event, the event will be cancelled.
- The Institute reserves the right to change the venue, date, speaker and/or rundown of the event due to unforeseen circumstances.

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