



## Ethical challenges and conflicts for liquidators

### About the topic

At the seminar, the speaker, Dr. Davy Wu, a course director of the Institute's diploma in insolvency, will look at ethical challenges and potential conflicts of interest faced by liquidators.

The statement of professional ethics in liquidation and insolvency was reviewed and substantially revised in 2012, but are officeholders sufficiently aware of its implications? The recently amended Companies (Winding Up and Miscellaneous Provisions) Ordinance introduced a new disclosure regime for liquidators to avoid and/or disclose any potential conflicts of interest. How does this operate and are the two frameworks fully aligned with one another?

In considering the above issues, the seminar will cover several important court cases on liquidators' conflicts of interest, in Hong Kong and other common law jurisdictions.

### About the programme

<b>Date &amp; Time</b>	27 April 2018, Friday
<b>Venue</b>	HKICPA training centre 27 <sup>th</sup> Floor, Wu Chung House 213 Queen's Road East, Wanchai
<b>Fee</b>	HK\$230 per person for RIF members HK\$330 per person for Non-RIF members
<b>CPD credit</b>	1 hour (subject to actual attendance)
<b>Law Society CPD credit</b>	Being applied for
<b>Language</b>	English
<b>Competency</b>	Insolvency*
<b>Rating</b>	Intermediate/Advanced level*
<b>Application deadline</b>	<b>12noon, 26 April 2018</b>

#### Rundown

<b>12:30 pm</b>	Registration (Sandwiches & drinks provided)
<b>1:00 pm</b>	Introduction
<b>1:05 pm</b>	Presentation
<b>1:50 pm</b>	Q&A / Discussion
<b>2:00 pm</b>	Closing remarks

#### Speaker

**Dr. Davy Wu**  
Programme Director of MScCGC,  
Senior Lecturer of the Department of  
Accountancy and Law, School of  
Business, Hong Kong Baptist University

#### Chair

**Mat Ng**  
Member, RIF Executive Committee,  
and Managing Director, JLA Asia

\* Please refer [here](#) for descriptions of the various ratings and competencies.



## About the speaker

### Dr. Davy Wu

Dr. Wu is a Senior Lecturer in Law and the Programme Director of Master of Science in Corporate Governance and Compliance at the Hong Kong Baptist University. He is also serving Goal Rise Logistics (China) Holdings Limited as an independent non-executive director. Dr. Wu is a co-author of the Guide to Corporate Governance for Subvented Organisations (the second edition published by the Hong Kong Government in June 2015) and also Volume 29 of Halsbury's Laws of Hong Kong, the focus of which is the Securities and Futures Ordinance (the second edition published in January 2015).

Dr. Wu was appointed by the Financial Services and Treasury Bureau of the Hong Kong Government to the advisory groups on the Rewrite of the Companies Ordinance and Modernisation of Corporate Insolvency Law. He is a joint director of Professional Diploma in Insolvency for the Hong Kong Institute of Certified Public Accountants. He is also a member of the Assessment Review Panel of Hong Kong Institute of Chartered Secretaries.

## Registration

### By post or fax:

Complete the attached reply slip and return it to the Institute by 12noon, 26 April 2018, together with full payment. Cheque should be made payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA". Fax registration will be accepted for payment by any VISA/ Master card or the BOC HKICPA UnionPay card.

**You may consider your registration to be successful unless you receive notification from us to the contrary.**



**RIF seminar – Ethical challenges and conflicts for liquidators**

**27 April 2018**

*(Reply on or before 12noon, 26 April 2018)*

**Fax no: 2893 9853**

**FOR OFFICE USE**

Seq. no.: .....  
 Handled by: .....

Confirmation of enrolment will be sent to you via email. You can check your enrolment status at "MyCPA" at [www.hkicpa.org.hk](http://www.hkicpa.org.hk). Unsuccessful enrolment will be notified with full refund.

**Please type or print:-**

Name: (\*Mr./ Mrs. /Ms.) \_\_\_\_\_ Institute membership no.: \_\_\_\_\_

Company name: \_\_\_\_\_

Position held: \_\_\_\_\_ Email: \_\_\_\_\_

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*(Note: Contact information is used solely for communication of this particular event, without update to your member profile.)*

**You may use a separate sheet to list out the name, position and Institute membership no. (if applicable) of additional participant(s) from the same firm/company as the above applicant.**

**Please "✓" as appropriate**

<b>Admission Fee:</b> <input type="checkbox"/> RIF member: HK\$230 per person x _____ person(s) = HKD _____	
<input type="checkbox"/> Non-RIF member: HK\$330 per person x _____ person(s) = HKD _____	
<b>Payment by:</b> <input type="checkbox"/> Cheque (no. _____) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"	
<input type="checkbox"/> BOC HKICPA Visa <input type="checkbox"/> BOC HKICPA UnionPay card <input type="checkbox"/> Other VISA / Master card	
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*Payment receipt will be sent to your email address provided above once the payment is confirmed.*

**Notes:**

- Enrolments are accepted on a first-come-first-served basis. Telephone reservations will not be accepted.
- Full payment must be made before applications will be processed. Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly NOT accepted.
- The closing date for enrolment is by 12noon, 26 April 2018.
- Confirmation of enrolment will be sent to you via email. You can check your enrolment status at "MyCPA" at [www.hkicpa.org.hk](http://www.hkicpa.org.hk). Unsuccessful enrolment will be notified with full refund.
- In normal circumstances, the seminar fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the seminar be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
- Bad weather arrangement: The seminar will be cancelled if typhoon signal no. 8 or above/ black rainstorm warning is hoisted, as announced by the Hong Kong Observatory, at or after 6:30 a.m. on the date of the event. In the event of cancellation due to bad weather, the admission fee will be refunded.

**Personal Data:** Your personal data collected from the enrolment process and administration of courses/events/activities will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link <http://mas.hkicpa.org.hk/mycpa/communication/preference>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at [privacyofficer@hkicpa.org.hk](mailto:privacyofficer@hkicpa.org.hk) or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <http://www.hkicpa.org.hk/en/service-tools/privacy-policy/>.

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 e-mail: [finance@hkicpa.org.hk](mailto:finance@hkicpa.org.hk)

**Event Information Enquiry:** 2287 7065 / 2287 7089  
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For non-member and firm payment by cheque, please fill-in your postal address for refund.

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