



## HKICPA Anti-Money Laundering Workshop

### About the topic

The Anti-Money Laundering and Counter-Terrorist Financing (Financial Institutions) (Amendment) Bill 2017 was passed by the Legislative Council on 24 January 2018. Accountants working in professional firms, lawyers and trust or company service providers, among others, will be required to conduct customer due diligence and record keeping when they carry out particular kinds of transactions for their clients once the legislation comes into effect on 1 March 2018. Failure to comply with the new requirements could result in disciplinary action being taken. Therefore, it is important for the relevant parties to get ready for these impending changes.

Given the demand, the Institute has decided to rerun the course in March in Cantonese. The workshop aims to equip members with essential knowledge about financial and "white-collar" crimes and AML methods relevant to professional practices. Broad topics to be covered in the workshop are:

- Roles of professional accountants, auditors, lawyers and other gatekeepers in preventing financial and "white collar" crime
- Common fraud schemes
- Stages and methods of money laundering
- Latest financial crime and anti-money laundering regulations
- Detection and prevention of financial crime/fraud
- Brief introduction of financial crime investigation: how to handle the investigation process?
- Court case studies

### About the event

<b>Date</b>	Saturday, 3 March 2018	
<b>Time</b>	9 a.m. to 12 noon	
<b>Venue</b>	United Conference Centre, 10/F, United Centre, 95 Queensway, Admiralty, Hong Kong	
<b>Format</b>	Workshop	
<b>Language</b>	Cantonese	
<b>Fee</b>	HKICPA member or student:	HK\$850
	IA/ HKIAAT member or student:	HK\$850
	Non-member:	HK\$1,600
<b>Speaker</b>	<b>Mr. Albert So</b> , Practising Solicitor, Certified Anti-Money Laundering Specialist, Certified Financial Crimes Specialist, Accredited General Mediator, Accredited Family Mediator, Arbitrator, University Lecturer, Fellow of International Bar Association (Speaker's profile is printed overleaf)	
<b>Participants</b>	All parties who want to update their knowledge on the latest AML regulations	
<b>Competency*</b>	Ethics and Regulations	
<b>CPD hours</b>	3	



## About the speaker

### Mr. Albert So

Mr. Albert So, practising solicitor of High Court of Hong Kong and the chairman of Hong Kong Mediation and Arbitration Centre. Over the past years, he has been the Court Prosecutor (fiat) for the Department of Justice responsible for handling financial crime prosecutions, and has also been the program director and university lecturer of various law and mediation programmes in Hong Kong and overseas universities.

Mr. So obtained his bachelor's degree in laws at the University of London, postgraduate studies in laws at both the University of Hong Kong and the King's College London. In addition, he received his professional postgraduate education in civil law, business and finance at the University of Cambridge, Harvard University (John F. Kennedy School of Government) and Tsing Hua University. In addition, he is the fellow / professional member / accredited general mediator / family mediator and arbitrator of: (1) International Bar Association, (2) Hong Kong Mediation and Arbitration Centre, (3) Mediation Office of Family Court, (4) Lands Tribunal of Judiciary, (5) Financial Dispute Resolution Centre, (6) Law Society of Hong Kong, (7) Hong Kong International Arbitration Centre, (8) Kuala Lumpur Regional Centre of Arbitration, (9) Asia Pacific Regional Arbitration Group, (10) Chartered Institute of Arbitrators (UK), (11) Hong Kong Institute of Directors, and (12) Hong Kong Institute of Chartered Secretaries.

Before Mr. So joined the legal profession, he was one of the team members of a financial regulatory authority, responsible for conducting criminal investigations, handling litigation and disciplinary-related professional proceedings. Throughout his professional time as a regulator, he personally handled over 800 financial disputes and their relevant litigations.

## Registration

- Complete online registration [by 1 March](#).

**Contact Natalie Cheung at 2287 7386 for enquiry.**



Hong Kong Institute of  
Certified Public Accountants  
香港會計師公會

# HKICPA Anti-Money Laundering Workshop

Event Code: WSHP18030301

Finance & Operations Department  
Hong Kong Institute of CPAs  
37th Floor, Wu Chung House  
213 Queen's Road East  
Wanchai, Hong Kong  
Fax no: 2893 9853

**3 March 2018**  
(Reply by 1 March 2018)

**FOR OFFICE USE**

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**Please "✓" as appropriate**

<b>Admission Fee:</b> <input type="checkbox"/> HK\$850 per person (HKICPA/ IA/ HKIAAT members or students) X ____ person(s) = HK\$ _____ <input type="checkbox"/> HK\$1,600 per person (Non-HKICPA/ IA/ HKIAAT members or students) X ____ person(s) = HK\$ _____	
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**Notes:**

- Enrolments are accepted on a first-come-first-served basis. Telephone reservations will not be accepted.
- Full payment must be made before applications will be processed. Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly NOT accepted.
- The closing date for enrolment is 1 March 2018.
- Confirmation of enrolment will be sent to you via email. You can check your enrolment status at "MyCPA" at [www.hkicpa.org.hk](http://www.hkicpa.org.hk)
- In normal circumstances, the seminar fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the seminar be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
- For credit card payment, the card should be valid with expiry date at least 1 month from the date of event.
- Bad weather arrangement: The seminar will be cancelled if typhoon signal no. 8 or above / black rainstorm warning is hoisted, as announced by the Hong Kong Observatory, at or after 10 a.m. on the date of the event. In the event of cancellation due to bad weather, the admission fee will be refunded.

**Personal Data:** Your personal data collected from the enrolment process and administration of courses/events/activities will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link <http://mas.hkicpa.org.hk/mycpa/communication/preference>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at [privacyofficer@hkicpa.org.hk](mailto:privacyofficer@hkicpa.org.hk) or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <http://www.hkicpa.org.hk/en/service-tools/privacy-policy/>.

**Payment & Enrolment Status Enquiry: 2287 7381**

e-mail: [finance@hkicpa.org.hk](mailto:finance@hkicpa.org.hk)

**Event Information Enquiry: 2287 7386 / 2287 7089**

e-mail: [nataliecheung@hkicpa.org.hk](mailto:nataliecheung@hkicpa.org.hk)

For payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled.

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