



Implications of the code of ethics requirements on non-compliance with laws and regulations

About the topic

Following the adoption and implementation of provisions to address professional accountant (PA)s' responsibilities to respond to non-compliance with laws and regulations (NOCLAR) by the International Ethics Standards Board for Accountants (IESBA), the Institute, as a member of the International Federation of Accountants, has followed suit to put in place the same requirements under our ethical standard. The standard applies to all PAs, including PAs in public practice and in business. In brief, they should respond to identified or suspected NOCLAR and not turn a blind eye. However, its applications may not be clear to forensic accountants, who are often engaged to investigate internal wrongdoings of their clients. Are they, for example, expected to notify the underlying NOCLAR to relevant authorities, in addition to reporting back to their clients.

In this seminar, EY Greater China risk management leader, Alden Leung, will share his thoughts on the implications of NOCLAR to accountants, particularly forensic practitioners. Alden is a former chair of the Institute's Ethics Committee and was closely involved in reviewing and responding to the IESBA consultations for the Institute.

About the event

Date & Time	18 January 2018, Thursday 1:00 – 2:00 pm
Venue	HKICPA training centre 27 th Floor, Wu Chung House 213 Queen's Road East, Wanchai
Fee	HK\$150 per person for ForensIG members HK\$250 per person for Non-ForensIG members <i>Priority is given to ForensIG members. Not a ForensIG member? Click here to join ForensIG. If you are a non-member of the Institute, please register as a new web member first before completing an online registration as a ForensIG member.</i>
HKICPA CPD credit	1 hour (subject to actual attendance)
Language	English
Competency	Forensic accounting*
Rating	Intermediate level*
Application deadline	16 January 2018

Rundown

12:30 pm	Registration (Sandwiches and drinks provided)
1:00 pm	Introduction
1:05 pm	Presentation
1:50 pm	Q&A / Discussion
2:00 pm	Closing

Speaker

Alden Leung
Risk Management Leader, Ernst & Young

Chair

Chris Fordham
Member, ForensIG Management Committee, HKICPA, and Managing Partner, Fraud Investigation & Dispute Services, Asia-Pacific, Ernst & Young

* Please refer [here](#) for descriptions of the various ratings.



About the speaker

Alden Leung

Risk Management Leader, Ernst & Young

Alden is the Risk Management Leader of EY, Greater China. Previous to his current role, Alden was the Professional Practice Director (PPD) of EY, Greater China and the legacy Far East Area IFRS Leader.

Alden has extensive experience in professional practice and regulatory matters. He has been appointed by the mainland China and Hong Kong regulatory authorities and professional bodies to various committees and working groups for a wide range of professional matters, such as accounting, auditing and ethical standards; practice review and sanctions; regulatory reform; and professional examinations. Alden is a past chairman of the HKICPA Ethics Committee, and was a technical advisor for a member of the IESBA.

Registration

- Complete online registration by 16 January 2018.

Contact Sheri Tam at 2287 7067 for enquiry.



ForensIG seminar: Implications of non-compliance with laws and regulations

18 January 2018
(Reply by 16 January 2018)

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Admission Fee: ☐ HK\$150 per person (ForensIG members) X person(s) = HK\$
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☐ Cheque (no.) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"

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Notes:

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- Full payment must be made before applications will be processed. Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly NOT accepted.
- The closing date for enrolment is 16 January 2018.
- Confirmation of enrolment will be sent to you via email. You can check your enrolment status at "MyCPA" at www.hkicpa.org.hk
- In normal circumstances, the seminar fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the seminar be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
- For credit card payment, the card should be valid with expiry date at least 1 month from the date of event.
- Bad weather arrangement: The seminar will be cancelled if typhoon signal no. 8 or above / black rainstorm warning is hoisted, as announced by the Hong Kong Observatory, at or after 10 a.m. on the date of the event. In the event of cancellation due to bad weather, the admission fee will be refunded.

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