

## 2017 Compliance Forum

### *Better Business through Compliance (re-run for SMPs)*



Join members of the Compliance Department as they share knowledge, skills and tips designed to help you minimize the chance of having a complaint filed against you. This session is aimed at SMPs with competency in audit/assurance, accounting/financial reporting and ethics regulations.

#### Highlights include:

- Analysis of common pitfalls in the performance of professional work
- Tips to enhance the quality of assurance work and identify ethical issues
- Sharing of common findings from previous cases



<b>DATE:</b>	26 June 2017, Monday
<b>TIME:</b>	6:30 – 8:00 p.m.
<b>VENUE:</b>	HKICPA Training Centre, 27/F, Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong
<b>FEE:</b>	\$190 (HKICPA member) \$330 (Non-HKICPA member)
<b>LANGUAGE:</b>	Cantonese (supplemented with English for technical terms)
<b>CPD HOURS:</b>	1.5
<b>COMPETENCY:</b>	Audit and Assurance; Accounting and Financial Reporting; Ethics and Regulations  * Details of <a href="#">Competency and Rating</a> information
<b>RATING:</b>	Foundation and Intermediate Level
<b>TARGET AUDIENCE:</b>	SMPs
<b>ENROLMENT DEADLINE:</b>	21 June 2017

**Enroll online** or complete the enrolment form and return it with full payment by the enrolment deadline. Enrolments will be accepted on a first-come-first-served basis.

#### Payment/Enrolment Enquiry

Tel: 2287 7381  
Email: [finance@hkipa.org.hk](mailto:finance@hkipa.org.hk)

#### Event Information Enquiry

Tel: 2287 7065  
Email: [stonetse@hkipa.org.hk](mailto:stonetse@hkipa.org.hk)



## 2017 Compliance Forum

### Better Business through Compliance (re-run for SMPs)

#### Enrolment Form

26 June 2017  
(Enrolment deadline: 21 June 2017)

Online registration is recommended

Event code: FCPD17062601

Finance & Operations Department,  
Hong Kong Institute of CPAs

37th Floor, Wu Chung House,  
Queen's Road East, Hong Kong.

Fax no: 2893 9853

Confirmation of enrolment will be sent to you via email. You can check your enrolment status at "My CPA" at [www.hkicpa.org.hk](http://www.hkicpa.org.hk).  
Unsuccessful enrolment will be notified with full refund.

*[Contact information below is used solely for communication of this particular event, without update to your member profile]*

Please use BLOCK letters

Name (Mr./ Mrs./ Ms): \_\_\_\_\_ Membership no.: \_\_\_\_\_

Company: \_\_\_\_\_

Position: \_\_\_\_\_ Email <sup>(Note 4)</sup>: \_\_\_\_\_

Telephone no.: \_\_\_\_\_ Mobile no.: \_\_\_\_\_

*(Please tick the appropriate box)*

<b>Fee:</b>	<input type="checkbox"/> HKICPA Member: \$190	<input type="checkbox"/> non-HKICPA Member: \$330
<input type="checkbox"/> Cheque (no. _____) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"		
<input type="checkbox"/> BOC HKICPA VISA <input type="checkbox"/> BOC HKICPA UnionPay card <input type="checkbox"/> Other VISA / MasterCard		
Card Number:	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Card Expiry Date (MM/YY): <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Cardholder's Name (block letters):		Cardholder's Signature: _____ Date: _____
<ul style="list-style-type: none"> <li>For credit card payment the card should be valid with expiry date at least <u>1 month</u> from the date of event.</li> <li>Payment receipt will be sent to your email address provided above once the payment is confirmed.</li> </ul>		

#### Notes:

- Enrolment for the activity is accepted on a first-come-first served basis.
- Full payment must be made before your enrolment will be processed. No telephone reservation will be accepted.
- Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly not accepted.
- Confirmation of enrolment will be sent to you via email before 24 June 2017. You can check your enrolment status at "MyCPA" at [www.hkicpa.org.hk](http://www.hkicpa.org.hk). Unsuccessful enrolment will be notified with full refund.
- In normal circumstance, the admission fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the event be cancelled or postponed due to unforeseen circumstance, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
- Bad weather arrangement:** When the typhoon signal no. 8 or above / black rainstorm warning is hoisted, as announced by the Hong Kong Observatory, at or after 2:30 p.m. on the date of event, the event will be cancelled.
- The Institute reserves the right to change the venue, date, speaker, and/or rundown of the event due to unforeseen circumstances.

**Personal Data:** Your personal data collected from the enrolment process and administration of courses/events/activities will be used for the purpose of the administration of the course/event/activity on which you are enrolled ("Event"). Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis. By submitting this Enrolment Form, you understand and agree that the Institute may provide your personal data above to co-organisers/service providers in or outside Hong Kong for the purpose relating to the Event. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link <https://www.hkicpa.org.hk/en/members-area/comm-preference/>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at [privacyofficer@hkicpa.org.hk](mailto:privacyofficer@hkicpa.org.hk) or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <http://www.hkicpa.org.hk/en/service-tools/privacy-policy/>.

**Payment & Enrolment Status Enquiry: 2287 7379**

Email: [finance@hkicpa.org.hk](mailto:finance@hkicpa.org.hk)

**Event Information Enquiry: 2287 7065 (Stone Tse)**

Email: [stonetse@hkicpa.org.hk](mailto:stonetse@hkicpa.org.hk)

For non-member and firm payment by cheque, please fill in your postal address for refund in case the event is full or cancelled.

Name :	Name :
Address :	Address :