



Conducting a Fraud Investigation

About the topic

The incentive and temptation to engage in fraud tends to increase in a volatile business environment, where competition between enterprises is intense. This ForensIG seminar will examine a fraud scenario in an interactive and practical format with the aim of introducing participants to the issues involved in a typical fraud investigation. It will be conducted via a case study involving different scenes with some role plays. The scenes will cover:

- Whistleblower reporting
- Meeting with a whistleblower, preservation of evidence and initial review of accounting data
- Review of electronic documents
- Ratio analysis and transaction testing
- Interview with employees and customers
- Investigation reporting

About the event

Date & Time	20 Oct. 2016, Thursday 3:30 – 5:30 pm
Venue	HKICPA training centre 27 th Floor, Wu Chung House 213 Queen's Road East, Wanchai
Fee	HK\$150 per person for ForensIG members HK\$250 per person for Non-ForensIG members <i>Priority is given to ForensIG members. Not a ForensIG member? Click here to join ForensIG. If you are a non-member of the Institute, please register as a new web member first before completing an online registration as a ForensIG member.</i>
HKICPA CPD credit	2 hours (subject to actual attendance)
Law Society CPD credit	2 CPD points
Language	English
Competency	Forensic accounting*
Rating	Intermediate level*
Application deadline	18 October 2016

Rundown

3:00 pm	Registration (light refreshment and drinks will be provided)
3:30 pm	Panel discussion
5:30 pm	Closing

Panellists

Viola Jing
Associate, Cadwalader

Carmen Tse
Director, FTI Consulting

Lester Yen
Associate Director, Forensic,
Deloitte

Chair

Beth Junell
Member, ForensIG Management
Committee, and Senior Managing
Director, FTI Consulting

* Please refer [here](#) for descriptions of the various ratings.



About the speakers

Viola Jing is an associate in Cadwalader's Corporate Group, resident in the Hong Kong office. Viola practices civil and commercial litigation, including white collar defence and investigations. She advises and assists multinational corporations, listed companies, shareholders and directors, on internal and regulatory investigations, regulatory reporting and related litigation, and international commercial and anti-corruption compliance.

She has also acted for high-net-worth individuals, private and public companies in monetary claims and other civil matters, including shareholder/director disputes, debt recovery actions, defamation and applications under the Companies Ordinance. Viola also advises clients on antitrust and competition compliance, commercial, employment, corporate insolvency and bankruptcy matters and has experience in attending companies winding-up and bankruptcy proceedings.

Viola earned her LLB (First Class of Honours) and PCLL (Distinction) from the University of Hong Kong and is qualified as a solicitor in Hong Kong. She is fluent in English, Mandarin and Cantonese.

Carmen Tse is a director in the Forensic Accounting and Advisory Services practice of FTI Consulting and is based in Hong Kong. A certified public accountant with more than 8 years' of professional services experience, Carmen specialises in dispute consulting, internal and financial investigations and technical accounting matters.

Carmen's recent experience involves assisting a Hong Kong-listed property developer in China in connection with certain accounting issues. The work performed included conducting a forensic accounting review, assessing the impact on the company's financial reporting and accounting operations, and recommending appropriate remedial actions.

Carmen has a Bachelor of Science in Biology from The Hong Kong University of Science and Technology. Carmen is a member of the Hong Kong Institute of Certified Public Accountants (CPA) and a charterholder of the Chartered Financial Analysts (CFA). Carmen is fluent in both spoken and written English, Cantonese and Mandarin.

Lester Yen is an associate director in Deloitte Forensic. He has fruitful working experience including leading a large scale FCPA investigation at multiple locations within China for a multinational corporation based in the United States, reviewing transaction testing performed by the team, and participating as a key member of the employee interviews, which were conducted in conjunction with an international law firm also hired for the investigation.

He has also supervised another large scale FCPA investigation in China for a multinational corporation from the retail industry, liaised with various teams within Deloitte China, such as the Data Analytics Team for the investigation, and provided anti-corruption training to the China headquarters of two multinational corporations.

He is a licensed certified public accountant in the New York State, and a member of the American Institute of Certified Public Accountants. He is fluent in English, Mandarin and Cantonese.

Registration

- Click [here](#) for online registration on or before 18 October 2016.

Contact May Hung at 2287 7009 for enquiry.



ForensIG seminar: Conducting a Fraud investigation

20 October 2016
(Reply on or before 18 October 2016)

FOR OFFICE USE

Seq. no.:

Handled by:

Confirmation of enrolment will be sent to you via email. You can check your enrolment status at "MyCPA" at www.hkicpa.org.hk.
Unsuccessful enrolment will be notified with full refund.

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Name: (*Mr./ Mrs. /Ms.) Institute Membership No.:

Company Name:

Position Held: Email:

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Contact information is used solely for communication of this particular event, without update to your member profile. You may use a separate sheet to list out the name, position and Institute membership no. (if applicable) of additional participants from the same firm/company as the above applicant.

Please "✓" as appropriate

Admission Fee: ☐ HK\$150 per person (ForensIG members) X person(s) = HK\$
☐ HK\$250 per person (Non-ForensIG members) X person(s) = HK\$

Payment Method:

☐ Cheque (no.) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"

☐ VISA / MasterCard ☐ BOC HKICPA UnionPay card

Card Number: Card Expiry Date (MM/YY):

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Payment receipt will be sent to your email address provided above once the payment is confirmed.

Notes:

- Enrolments are accepted on a first-come-first-served basis. Telephone reservations will not be accepted.
- Full payment must be made before applications will be processed. Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly NOT accepted.
- The closing date for enrolment is **18 October 2016**.
- Confirmation of enrolment will be sent to you via email. You can check your enrolment status at "MyCPA" at www.hkicpa.org.hk
- In normal circumstances, the seminar fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the seminar be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
- For credit card payment, the card should be valid with expiry date at least 1 month from the date of event.
- Bad weather arrangement: The seminar will be cancelled if typhoon signal no. 8 or above / black rainstorm warning is hoisted, as announced by the Hong Kong Observatory, at or after 10 a.m. on the date of the event. In the event of cancellation due to bad weather, the admission fee will be refunded.

Personal Data: Your personal data collected from the enrolment process and administration of courses/events/activities will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link <http://mas.hkicpa.org.hk/mycpa/communication/preference>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at privacyofficer@hkicpa.org.hk or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <http://www.hkicpa.org.hk/en/service-tools/privacy-policy/>.

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For payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled.

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