

Event code: ESEMCFIG2026L02

CFIG x HKCMP seminar

Navigating the INED Role: Practical Insights and Compliance Challenges (archived session)

Rundown

Introduction

Speaker presentation

- **Alexander Tai**

- Roles & responsibilities of INED
- Experiences sharing
- Practical insights

Speaker presentation

- **Heidi Lee**

- Implications to INEDs in case of non-compliance

Q&A / Discussion

Closing

About the topic

With more companies listing on the Stock Exchange of Hong Kong, HKICPA members are increasingly called upon to serve as Independent Non-Executive Directors (INEDs) or audit committee members.

In this seminar, our speakers, Mr. Alexander Tai, a seasoned INED with extensive market experience, and Ms. Heidi Lee, a highly regarded lawyer with a strong and established track record in capital market transactions, share their first-hand experience of the INED role. They will provide practical insights into fulfilling board responsibilities effectively and discuss how directors can remain vigilant in an increasingly complex regulatory landscape, supported by expert legal guidance on securities compliance.

About the e-course

Enrolment Period	18 September 2026 – 17 September 2027
Format	E-seminar
Language	Cantonese with English terminology
Fee	HK\$170 per person for CFG members, HKICPA members, students and IAs of HKICPA, and HKCMP members HK\$370 per person for non-HKICPA members and non-HKCMP members
CPD hours	1.5 hours (subject to actual attendance)
Competency	Corporate Governance *
Rating	Intermediate level*
Application Deadline	17 September 2027 (offline enrolment by 15 September 2027)

* Details of [Competency and Rating](#) information

About the speaker

Alexander Tai

Executive Director, Finet Group Limited (8317.HK) and Independent Non-Executive Director on the boards of four HKEX Main Board-listed companies, namely: G&M Holdings Limited, China Star Entertainment Limited, BExcellent Group Holdings Limited, and Eastroc Beverage Group Limited.

Mr. Alexander Tai is the Executive Director of Finet Group Limited (8317.HK), a Hong Kong-listed company engaged in financial information services, securities brokerage, and asset management under the Securities and Futures Ordinance. He also serves as an Independent Non-Executive Director on the boards of four HKEX Main Board-listed companies: G&M Holdings Limited (6038.HK), China Star Entertainment Limited (0326.HK), BExcellent Group Holdings Limited (1775.HK), and Eastroc Beverage Group Limited (9980.HK).

Mr. Tai has over 40 years of experience in finance, accounting, auditing, and corporate governance. He began his career at KPMG and PwC before moving into investment banking, where he held senior roles at Standard Chartered Asia and Investec Asia Capital, specialising in capital markets, corporate finance, M&A, and IPO sponsorship. He later became a Partner at VMS Securities Limited, gaining expertise in private equity, alternative investments, and family office services. His board experience also includes Anhui Conch Cement Company Limited (914.HK, 600585.SSE) and Shengjing Bank (2066.HK).

In addition to his professional roles, Mr. Tai is a Member of the Shandong Provincial Committee of the Chinese People's Political Consultative Conference (CPPCC). He has been a member of the Hong Kong Institute of Certified Public Accountants (HKICPA) and Chartered Accountants Australia and New Zealand (CAANZ) since 1982, and holds a Bachelor of Commerce and Administration degree from New Zealand.

Heidi Lee

Partner, Howse Williams

Heidi has been a Corporate Partner at Howse Williams since 2016. Howse Williams is a leading independent Hong Kong law firm and ranks among the top three firms in Hong Kong by number of lawyers. Heidi has over 20 years of extensive experience in mergers and acquisitions, public takeovers, and regulatory and compliance matters for Hong Kong-listed companies.

She has a strong and established track record in equity capital markets transactions, including initial public offerings and secondary offerings on the Hong Kong Main Board and other capital markets fund-raising exercises. Heidi regularly advises both state-owned and privately-owned companies in China and overseas, acting for issuers and underwriters alike, with all transactions involving leading international investment banks.

Heidi is recognised by The Legal 500 Asia Pacific as a "Next Generation Lawyer" in both Corporate (including M&A) and Capital Markets.

Prior to joining Howse Williams, Heidi practised with several reputable international law firms in Hong Kong.

Heidi holds a Bachelor of Laws from the University of Hong Kong and is admitted as a solicitor in Hong Kong and in England and Wales. She also passed the Greater Bay Area Legal Professional Examination in 2022.



About CFG

The CFG under the HKICPA was established to provide a forum for CPAs, corporate financiers and other related professionals working in the corporate finance sector to network and exchange views on matters of common interest or concern and to channel their views to the Institute.

About HKCMP

Founded by experienced capital market professionals, the Association of Hong Kong Capital Market Practitioners Limited (HKCMP)'s vision is to promote Hong Kong as a pre-eminent international capital market. HKCMP is dedicated to fostering a diverse and inclusive market environment for businesses of all scales. Acting as a vital link between the market and regulatory bodies, one of the Association's aims is to support small and medium-sized enterprises to prosper and grow with access to the Hong Kong capital market.

For details of the HKCMP, please refer to the link herein: <https://hkcmp.com/en-us>.

Remarks

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- The Institute takes no responsibility for any loss incurred by any person acting or refraining from acting as a result of participation in the event.