

Co-organized:



Hong Kong Institute of
Certified Public Accountants
香港會計師公會



香港董事學會
The Hong Kong Institute of Directors
FOUNDED 1997

FACE-TO-FACE
WORKSHOP

Programme Code
WSHP26101301

Governance Framework: Roles, Responsibilities, and Common Pitfalls for Executive Director and INEDs

Empowering INEDs to transform
governance into strategic boardroom
excellence.

Corporate governance is the cornerstone of boardroom integrity and effectiveness. Executive Directors (EDs) and Independent Non-Executive Directors (INEDs) each play distinct yet complementary roles in safeguarding accountability, oversight, and strategic direction. Co-organized with The Hong Kong Institute of Directors (HKIoD), this workshop provides board leaders with a structured platform to clarify responsibilities, examine common pitfalls, and strengthen governance judgment. Through interactive dialogue, case insights, and peer exchange, participants will gain practical strategies to enhance board performance and uphold governance standards in an evolving regulatory landscape.

This workshop provides a platform for peer exchange, enabling participants to share experiences, reflect on governance challenges, and gain practical insights to enhance their leadership in the boardroom.

Join us now to sharpen your governance judgment. Secure your place today and be part of the dialogue that shapes the future of corporate governance.

Event Details:

Date & Time	Tuesday, 13 October 2026 7:00 p.m. – 9:30 p.m.
Format	Face-to-face workshop
Venue	Hong Kong Institute of CPAs 27/F, Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong.
Language	Cantonese
Fee	HKICPA, HKIoD member or student or IA: HK\$600 Non-member: HK\$800 <i>* Light refreshment will be provided</i>
Participants	Independent Non-Executive Directors, board members, and senior executives seeking to strengthen boardroom decision-making through compliance insights. Governance and risk professionals, legal and regulatory advisors, and practitioners from financial services and listed companies who regularly navigate complex compliance issues.
Competency*	Corporate Governance
Sub-competency*	Establishing good corporate governance practices and procedures; Promoting high standard of corporate governance
Level	Advanced level
CPD hours	2.5 hours

* Please refer to the [competencies and ratings for CPD events](#).



Event Rundown:

6:30 p.m.	Registration
7:00 p.m. – 7:15 p.m.	Opening Remarks
7:15 p.m. – 8:00 p.m.	Presentation 1: Strategic Risk Oversight and Governance Challenges • How evolving governance frameworks reshape board responsibilities. • Recognizing early warning signs of poor governance and control failures. • Practical insights for INEDs and directors in identifying and responding to governance risks. • Key lessons from misconduct, control failures, and board oversight challenges. <u>Speaker</u> Mr. Daniel Chow Senior Managing Director, FTI Consulting (Corporate Finance and Restructuring) (Profile)
8:00 p.m. – 8:45p.m.	Presentation 2: Legal Duties, Accountability, and Common Pitfalls • Legal obligations of EDs and INEDs under Hong Kong corporate governance standards. • Common pitfalls in boardroom accountability, including delegation of duty and internal control issues. • Recent enforcement trends, development and regulatory focus impacting directors. • Practical guidance on strengthening boardroom judgment through legal perspectives. <u>Speaker</u> Mr. Wing Chan Legal Director, Eversheds Sutherland (Profile)
8:45 p.m. – 9:15 p.m.	Interactive Breakout Session An opportunity for practitioners to share views through peer-to-peer discussions on governance dilemmas, compliance challenges, and boardroom judgment.
9:15 p.m. – 9:30 p.m.	Wrap up and closing reflections Consolidated insights from participants, followed by closing remarks reinforcing compliance as a catalyst for effective board decisions.



CPD EVENT ENROLMENT FORM

(The enrolment form must reach the Institute no later than 2 working days before the start of the event.)

Please send the complete form **by e-mail** or **by post** together with the required payment

Email: enrolment@hkipca.org.hk

By post: **HKICPA, 37/F, Wu Chung House, 213 Queen's Road East, Wanchai. Attn: F&O Dept.**

Payment & Enrolment Status Enquiry: 2287 7381 / enrolment@hkipca.org.hk	Course Information Enquiry: 2287 7253 / cpd@hkipca.org.hk	FOR OFFICE USE
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Section 1 – Personal Particulars ☒ where appropriate. All fields are mandatory unless specified otherwise.

Membership No.: _____, or please specify: ☐ Non-member ☐ GAA Passport Holder

Name of participant: _____ Organization: _____
Family name Given name (optional)

Contact information provided below is used solely for communication of the particular event(s), without update to your member profile.

Mobile: _____ E-mail: _____

Section 2 – Event Registration All fields are mandatory.

No.	Event code	Event name	Fees
1.			HK\$
2.			HK\$
3.			HK\$
Total:			HK\$

Section 3 – Payment Please fill in either 3A or 3B and ☒ where appropriate. All fields are mandatory in either part.

3A	☐ Cheque (No. _____) payable to HKICPA or Hong Kong Institute of Certified Public Accountants		
	☐ BOC HKICPA VISA ☐ BOC HKICPA UnionPay card ☐ Other VISA / MasterCard		
	Card Number: _____ - _____ - _____ - _____ Card Expiry Date: (MM/YY) ____ / ____		
	Cardholder's Name:	Cardholder's Signature:	Date of completing the form:

Complete this part only if "Support Programme" applies.

3B	☐ I am unemployed and not working. I am planning to rejoin the workforce.	Date:	
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Note:

- Applications will only be accepted when payment is made by cheque or credit card. Cash is strictly not accepted.
- For cheque payment, please issue separate cheque for each event. For credit card payment, the card should be valid with expiry date at least 1 month from the date of event.
- In order not to delay the process, please ensure payment is made together with your application.
- Payment receipt will be sent to your email address provided above once the payment is confirmed.
- In normal circumstances, the course fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the course be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. Unsuccessful enrolment will be notified with full refund. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
- Members of HKICPA may access to "MyCPA" to check your enrolment status.

Section 4 – Points to Note

- Applications are processed on a first-come, first-served basis.
- Confirmation of enrolment and login information to access the event (if applicable) will be sent to you via email. Your login ID and password are for your exclusive use ONLY and you should not share them with anyone.
- In the event of typhoon signal no. 8 or above or a Black Rainstorm warning is hoisted, all scheduled events will be cancelled/postponed. Further details of bad weather arrangement for CPD programmes, please refer to the Institute's homepage.
- Your personal data collected from the enrolment process and administration of courses/events/activities will be used for the purpose of the administration of the course on which you are enrolled. The provision of personal data by means of this form is voluntary. However, insufficient information may result in an inability to process your application. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link: <https://mas.hkipca.org.hk/mycpa/communication/preference>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at privacyofficer@hkipca.org.hk or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <https://www.hkipca.org.hk/en/Tools/Privacy-policy>.
- No unauthorized audio or video recording is allowed at CPD events.
- The Institute reserves the right to amend the above terms and conditions without prior notice, and to change the venue, date, speaker or to cancel the event due to unforeseen circumstances. In case of any disputes, the Institute reserves the right to make the final decision.

For payment by cheque, please fill-in your postal address for refund.	
Name:	Name:
Address:	Address: