CPA Hong Kong Institute of Certified Public Accountants 香港會計師公會

Entities Listing on HKEX Series (September – November 2026)

In 2025, Hong Kong reclaimed the top global position in terms of IPO funds raised, reaching nearly HK$ 286 billion, marking a 63% increase from 2024. The Hong Kong IPO market rebounded strongly in 2025, driven by measures to encourage listings by leading mainland enterprises, the streamlined listing process for large A-share listed companies and improved market liquidity and valuations with the return of international funds to Hong Kong.

Out of 117 IPOs that recorded in Hong Kong in 2025 – A more than twofold rise (227%) that positioned the city at the forefront globally – 113 were Main Board companies listing, along with one listing by introduction, two de-SPAC, and one on GEM. The Main Board was dominated by companies in retail, consumer goods and services, information technology and telecommunications services, as well as healthcare and pharmaceuticals. Notably, 8 companies raised HK$10 billion or more, collectively accounting for more than 50% of the total IPO funds, demonstrating strong market enthusiasm for large IPOs.

This Series of webinars brings together 15 sessions designed to address the most pressing developments and challenges facing listed entities in Hong Kong and beyond. Spanning a wide spectrum of topics – from tax disputes and Pillar Two global tax administration, to IPO market updates, listing requirements, connected transactions, and Hong Kong Listing Rules – the series also explores forward-looking themes such as cross-border capital market developments, AI applications in finance and tax, restructuring and insolvency frameworks, and ESG and climate reporting.

Led by experienced professionals, each session will provide insights into evolving corporate governance practices and risk management updates. Key points of the listing rules, guidance letters, listing decisions, and other relevant regulations will be highlighted, supported by practical examples drawn from published prospectuses and circulars. Speakers will also share their hands-on experience with specific topics and common application issues, offering participants valuable perspectives to strengthen their professional competencies.

Entities Listing on HKEX Series (Sep – Nov 2026)	
Format:	Webinar
Language:	Cantonese (unless otherwise specified)
Fee:	HKICPA member or student or IA: HK$170 (per session) Non-member: HK$370 (per session)
Participants:	Chief Financial Officer (CFOs); Accountants; Corporate finance professionals; Financial advisers; Investor relations professionals; Compliance officers; Company secretaries
Rating:	Intermediate level
CPD hours:	2 hours (per session)
Notes:	1. Participants will receive an email once the registration is accepted. The webinar can be accessed via tablet, smartphone, or PC. 2. Details of CPD event categories and ratings can be found here: *Competencies and Ratings for CPD events*.

Topic & Programme code	Session 7:00 p.m. – 9:00 p.m.	Competency	Speakers
Session 1: Hong Kong Tax Disputes and Restructuring Considerations for Listed Entities (EWEB26092803)	Mon, Sep 28, 2026	Taxation	**Ms. Yan Yeung** **Ms. Karen Au**
Session 2: Latest Update on Listing Requirements (EWEB26100602)	Tue, 6 Oct, 2026	Corporate Governance, Corporate and Strategic Finance	**Mr. Rocky Cheung**
Session 3: Recent Trend of Hong Kong IPO Market and the Common Legal Issues (EWEB26100702)	Wed, 7 Oct, 2026	Corporate Governance, Corporate and Strategic Finance	**Mr. Geoffrey Ng** **Mr. Rocky Cheung**
Session 4: Mode for Listing on Hong Kong Stock Exchange (EWEB26101201)	Mon, 12 Oct, 2026	Corporate Governance	**Mr. Vincent Li**
Session 5: Pillar Two: Latest Development, Global Administration, and Common Pitfalls (EWEB26101501)	Thu, 15 Oct, 2026	Taxation	**Ms. Ingrid Lau** **Mr. C.Y. Tan**
Session 6: AI Development and Application to Finance and Tax (EWEB26102001)	Tue, 20 Oct, 2026	Information Technology, Taxation	**Mr. Henry Leung** **Ms. Carrie Zhong**
Session 7: An Overview on the Hong Kong Restructuring and Insolvency Framework and Practical Guidance for Directors and Company Secretaries (EWEB26102701)	Tue, 27 Oct, 2026	Insolvency	**Mr. Daniel Chow** **Mr. Alex Chan**
Session 8: Cross-Border Capital Market Developments: Evolving PRC Regulatory Policy Perspectives (EWEB26102803)	Wed, 28 Oct2026	Corporate and Strategic Finance	**Mr. Bing Xue** *(Note: presentation will be in Mandarin)*
Session 9: Group Structure for Listing on Hong Kong Stock Exchange (EWEB26110201)	Mon, 2 Nov, 2026	Accounting and Financial Reporting	**Mr. Vincent Li**
Session 10: Connected Transactions in a Nutshell (EWEB26110501)	Thu, 5 Nov, 2026	Corporate Governance	**Mr. Thomas Chung**
Session 11: Navigating Equity Narratives, Market Expectations, and Crisis Communications (EWEB26110902)	Mon, 9 Nov, 2026	Corporate Governance, Corporate and Strategic Finance	**Ms. Rita Fong** **Ms. Jessica Choi**
Session 12: Risk Management and Corporate Governance Updates for Listed Entities (EWEB26111201)	Thu, 12 Nov, 2026	Risk Management and Internal Control, Corporate Governance	**Mr. Kenneth Yuen**
Session 13: Arbitration Demystified: A Guide for Accountants and Listed Companies (EWEB26111601)	Mon, 16 Nov, 2026	Corporate Governance, Corporate and Strategic Finance	**Mr. Brian K.T. Wong** **Mr. James Ng**
Session 14: A+H Listed Companies in Focus: Board Effectiveness, Governance Integration, and the Evolving Role of Governance Professionals (EWEB26111801)	Wed, 18 Nov, 2026	Corporate Governance	**Ms. Cherie Mak**
Session 15: ESG and Climate Reporting: From Compliance to Assurance (EWEB26111902)	Thu, 19 Nov, 2026	Sustainability	**Ms. Charlene Kwong** **Ms. Connie Chan** **Ms. Karen Tao**

About the programme

Session 1: Hong Kong Tax Disputes and Restructuring Considerations for Listed Entities (EWEB26092803)

Hong Kong listed entities facing tax disputes must navigate complex anti-avoidance rules, stringent disclosure obligations under the Listing Rules, and careful restructuring. Topics include:

- The latest Hong Kong profits tax dispute trends and IRD enforcement developments relevant to listed entities
- Key tax controversy risk areas commonly faced by listed companies and corporate groups
- Tax considerations arising from cessation of business, corporate restructuring, and group reorganization
- Practical issues relating to streamlining group structures, amalgamations, and transfer of business
- Insights to help listed groups manage tax risks, navigate transactions, and mitigate future disputes

By:

Ms. Yan Yeung *FCPA, CTA*
Partner, Tax Services, PwC Hong Kong *(profile)*

Ms. Karen Au *CPA, CTA*
Partner, Tax Controversy Services, PwC Hong Kong *(profile)*

Session 2: Latest Update on Listing Requirements (EWEB26100602)

This session covers the latest update on the requirements of listing on the HKEX. Topic includes:

- Basic listing requirements
- Latest update on public float and free float requirements
- Latest update on requirements for specialist technology companies and/or weighted voting rights companies
- Latest update on corporate governance practices
- Latest update on Hong Kong listing rules

By:

Mr. Rocky Cheung
Counsel, Jones Day *(profile)*

Session 3: Recent Trend of Hong Kong IPO Market and the Common Legal Issues (EWEB26100702)

This session covers the recent trend of the Hong Kong IPO market and the common legal issues that may be encountered during the IPO process. Topics include:

- Record-breaking fundraising activity
- A+H dual listing wave driving growth
- Surge in technology and specialist listings
- Market depth and institutional confidence strengthening
- Expanding international issuer base
- Common legal issues

By:

Mr. Geoffrey Ng
Associate Director, Orient Capital *(profile)*

Mr. Rocky Cheung
Counsel, Jones Day *(profile)*

Session 4: Mode for Listing on Hong Kong Stock Exchange (EWEB26101201)

Under HKEX Listing Rules, equity securities can be brought to listing through several specific methods, categorized primarily into initial public offerings, secondary capital-raising methods, and corporate reorganizations. Topics include:

- Primary Methods of Listing, such as offer for subscription, offer for sale, placing and introduction etc.
- Secondary and Corporate Action Methods, such as rights issue, open offer, capitalization issue and consideration issue
- Special features for Listing, such as spin-off

By:

Mr. Vincent Li *FCPA (Practising), ACA, FCCA, MBA, M.Sc.*
Senior Partner, ZSZH (Hong Kong) Fuson CPA Limited *(profile)*

Session 5: Pillar Two: Latest Development, Global Administration, and Common Pitfalls (EWEB26101501)

The OECD's Pillar Two framework seeks to address the tax challenges arising from the digitalization of the economy and a perceived "race to the bottom" on global corporation tax with wide-reaching implications for many international businesses. Topics include:

- Latest Pillar Two developments, including an overview of recent OECD updates
- Guide to global Pillar Two administration
- Observations on common pitfalls and changes in tax management strategies
- Other relevant Pillar Two considerations in compliance

By:

Ms. Ingrid Lau CPA
Partner, PwC Hong Kong *(profile)*

Mr. C.Y. Tan FCCA
Senior Manager, PwC Hong Kong *(profile)*

Session 6: AI Development and Application to Finance and Tax (EWEB26102001)

Artificial intelligence ("AI") development and its application in business tax and finance to enhance operational efficiency and ensure compliance. Topics include:

- Evolution of Agentic AI and its role in automating tax and financial workflows
- Key AI technologies such as LLMs, RAG, VLM, and their integration in enterprise systems
- Practical use cases in AI development in finance and tax
- Responsible AI governance: Ethics, security, and regulations
- Strategies for rapid AI adoption

By:

Mr. Henry Leung *MBA, MSc, CertICM*
Director, PwC Hong Kong *(profile)*

Ms. Carrie Zhong *CPA*
Manager, PwC Hong Kong *(profile)*

Session 7: An overview on the Hong Kong Restructuring and Insolvency Framework and Practical Guidance for Directors and Company Secretaries (EWEB26102701)

Hong Kong's restructuring and insolvency regime continues to evolve amid economic uncertainty, changing stakeholder expectations, and increasing cross-border complexities. This session will provide members with a practical overview of the legal and commercial restructuring and insolvency framework, key risks, and governance considerations when companies encounter financial distress. Topics include:

- Early warning signs of financial distress and directors' duties in the vicinity of insolvency
- Overview of Hong Kong restructuring and insolvency procedures, including schemes of arrangement, receivership, provisional liquidation, and winding up
- Practical restructuring tools and strategies for preserving value and stabilizing distressed businesses
- Managing stakeholder interests, including lenders, creditors, shareholders, employees, and regulators
- Personal liabilities, governance responsibilities, and risk management for directors and company secretaries
- Practical case studies and lessons learned from recent restructuring and insolvency engagements

By:

Mr. Daniel Chow
Senior Managing Director, FTI Consulting (Corporate Finance and Restructuring) *(profile)*

Mr. Alex Chan
Managing Director, FTI Consulting (Corporate Finance and Restructuring) *(profile)*

Session 8: Cross-Border Capital Market Developments: Evolving PRC Regulatory Policy Perspectives (EWEB26102803)

Chinese companies pursuing Hong Kong listings operate within an evolving PRC regulatory framework – Spanning the overseas-listing filing regime, the new PRC Company Law, and recent changes to tax filings and outbound investment regulations. Topics include:

- The China Securities Regulatory Commission (CSRC) filing developments, including an analysis of recent filing trends and key practical issues
- Company Law reform, including its impact on corporate governance and listing-preparation procedures for prospective issuers
- Tax administration and cross-border investment, including the impact of evolving tax administration policies on cross-border financing structures, and the compliance requirements concerning cross-border investment regulation and market practice

By:

Mr. Bing Xue
Partner, Han Kun Law Offices *(profile)*

Session 9: Group Structure for Listing on Hong Kong Stock Exchange (EWEB26110201)

A group restructuring for a HKEX listing is the corporate reorganization required to create a clean, compliant holding company structure, clear non-compliant assets, and satisfy ownership, track record, and regulatory rules under the HKEX Listing Rules. Topics include:

- Discuss the accounting principles and requirements for business combination
- Application of different group restructuring concepts, such as acquisition of businesses or assets, acquisition method of accounting, business combination under common control, Accounting Guideline 5 "Merger Accounting for Common Control Combinations" and interspersing a shell entity
- Comparison of purchase accounting and merger accounting methods
- Combined and carve-out financial statements
- Carve out of non-core business and operation
- Other special topics, such as group reorganization completed after the track record period and/ or after the prospectus date, and listing entity established/ completed on the prospectus date

By:

Mr. Vincent Li *FCPA (Practising), ACA, FCCA, MBA, M.Sc.*
Senior Partner, ZSZH (Hong Kong) Fuson CPA Limited *(profile)*

Session 10: Connected Transactions in a Nutshell (EWEB26110501)

The rules on connected transactions are designed to ensure that a listed issuer considers the interests of shareholders as a whole when it, or any of its group companies, enters into connected transactions. Topics include:

- Back to Basic – What are connected transactions? Who are connected persons under the Listing Rules?
- Obligations – When will the reporting requirements trigger? Are there any exemptions?
- Workflows – How to handle connected transactions?
- Compliance – How to monitor continuing connected transactions?
- Case studies – What's going wrong?

By:

Mr. Thomas Chung *FCPA, CPA(Aust)*
Senior Vice President, SWCS Corporate Services Group (Hong Kong) Limited *(profile)*

Session 11: Navigating Equity Narratives, Market Expectations, and Crisis Communications (EWEB26110902)

Under the HKEX Listing Rules, continuous disclosure and effective shareholder engagement are not just compliance checkboxes, they are critical pillars of corporate governance and market valuation. While financial numbers are strictly factual, they remain cold and open to misinterpretation until they are given a strategic narrative. For financial leaders and management, the ability to wrap raw data in clear, purposeful context is what transforms a dry financial report into a compelling investment story. This session will bridge the gap between numbers and narrative, demonstrating how to build transparency, enhance investor confidence and interests; and shield corporate reputation when facing intense market scrutiny or financial adversity. Participants will gain actionable strategies to align financial data with stakeholder expectations, ensuring that the market reads not just the numbers, but the true trajectory of the business. Topics include:

- Techniques to translate complex financial metrics and margin shifts into a cohesive strategy that investors can value
- How to communicate financial bad news or earnings misses transparently without triggering market panic or compromising long-term credibility
- Proactive investor engagement strategies during high-stakes volatility, such as short-seller attacks or severe share price fluctuations
- Practical boundary lines for financial spokespeople to protect corporate reputation while maintaining strict regulatory compliance
- How to coordinate between legal, financial, and PR teams to deliver a unified, bulletproof message under time pressure

By:

Ms. Rita Fong
Managing Director, Strategic Communications, FTI Consulting *(profile)*

Ms. Jessica Choi
Director, Strategic Communications, FTI Consulting *(profile)*

Session 12: Risk Management and Corporate Governance Updates for Listed Entities (EWEB26111201)

An effective risk management and corporate governance framework help companies disclose risk factors, build board effectiveness, and improve transparency. Topics include:

- Relevant guidelines of HKICPA AATB 1, COSO Framework 2013 and Guide for New Listing Applicants
- Common risk factors disclosed in the prospectuses by listing applicants
- Latest disclosure requirements of risk management and internal control systems under Appendix C1 of the Listing Rules
- Risk assessment reporting process
- Latest corporate governance practices and disclosure requirements
- Corporate Governance Guide for Boards and Directors issued by HKEX
- Board effectiveness includes board performance review, board skills matrix, director training and shareholder engagement
- Board diversity and diversity policy

By:

Mr. Kenneth Yuen *CPA, FCCA, BBA, MPA, MBS*
Director, ZHONGHUI ANDA Risk Services Limited *(profile)*

Session 13: Arbitration Demystified: A Guide for Accountants and Listed Companies (EWEB26111601)

This session will explore the full life cycle of a commercial arbitration involving a listed company from different perspectives, starting with the fundamentals of arbitration before covering common disputes involving listed companies, the role accountants play in the arbitral process and enforcement options. Topics include:

- Basics of arbitration – What is arbitration and why parties choose it, how arbitration compares with litigation, and an introduction to the Hong Kong arbitral institutions and leading arbitral regime
- Common disputes involving listed companies, e.g. earn-outs and warranty/indemnity claims, poorly drafted arbitration agreements, and other procedural pitfalls
- The accountant's role in arbitration, from assisting with document production and damages calculations to giving written and oral evidence
- Award enforcement options, including interim measures
- Case studies

By:

Mr. Brian K.T. Wong
Partner, Baker McKenzie *(profile)*

Mr. James Ng
Special Counsel, Baker McKenzie *(profile)*

Session 14: A+H Listed Companies in Focus: Board Effectiveness, Governance Integration, and the Evolving Role of Governance Professionals (EWEB26111801)

For A+H listed companies, board effectiveness and governance integration are critical as they navigate dual regulatory environments in Mainland China and Hong Kong. Topics include:

- The 3 pathways to A+H listing (simultaneous, A-then-H, H-then-A) and how each shapes board development and governance maturity
- Clear breakdown of Board Secretary (A-share) vs Company Secretary (H-share/A+H) – Qualifications, duties, authorities, and personal liability exposure for each role
- The "Four Pillars" framework showing how governance professionals convert compliance expertise into real corporate value (risk management, investor relations, board operations, strategic support)

By:

Ms. Cherie Mak *MCG, ACCA, CPA, ACG (CS, CGP), HKACG (CS, CGP)*
Vice President, SWCS Corporate Services Group (Hong Kong) Limited *(profile)*

Session 15: ESG and Climate Reporting: From Compliance to Assurance (EWEB26111902)

ESG and climate reporting has shifted from a voluntary corporate social responsibility exercise into strict regulatory compliance on Hong Kong Stock Exchange. Topics include:

- The evolving sustainability disclosure landscape and its implications for corporate reporting
- HKEX's climate-related disclosure requirements under Appendix C2 and key implementation priorities
- Key findings and market insights from the SWCS ESG Research Paper 2026
- Hong Kong Standard on Sustainability Assurance 5000 and the proposed regulatory framework for sustainability assurance
- Core competencies required for climate disclosure and assurance readiness

By:

Ms. Charlene Kwong *MSc, CEM, CAP, BEAM Pro, LEED AP, WELL AP*
Assistant Vice President of Sustainability, Climate Change and ESG Services, SWCS Corporate Services Group (Hong Kong) Limited *(profile)*

Ms. Connie Chan *MSc, CCA, GSFP*
ESG Manager, SWCS Corporate Services Group (Hong Kong) Limited *(profile)*

Ms. Karen Tao *CESGA*
Assistant Manager, SWCS Corporate Services Group (Hong Kong) Limited *(profile)*

Speaker Profiles

(Speaker profiles are arranged in session order)

Yan Yeung *FCPA, CTA*
Partner, Tax Services, PwC Hong Kong

Ms. Yeung is a Partner of PwC Hong Kong's corporate tax practice. She has over 20 years of professional experience in taxation practice. She has been providing Hong Kong corporate tax compliance and advisory services, including regional corporate structuring, merger and acquisition, tax due diligence, tax investigation, tax accounting and e-filing projects. Ms. Yeung has also taken up a leading role to drive digital transformation and enhance service delivery model for Hong Kong tax compliance services.

Ms. Yeung is an elected Council Member of The Hong Kong Institute of Certified Public Accountants (HKICPA). She is the Deputy Chairman of Qualification and Examinations Board, and committee member of Registration Committee and Professional Development Committee of HKICPA. She is also a Chartered Tax Adviser of The Taxation Institute of Hong Kong (TIHK) and serves as a committee member of Continuing Professional Development Committee and International Taxation Committee of TIHK.

Ms. Yeung holds a Bachelor of Business Administration (Law) and a Bachelor of Laws from The University of Hong Kong.

Karen Au *CPA, CTA*
Partner, Tax Services, PwC Hong Kong

Ms. Au is a Partner of PwC Hong Kong's corporate tax practice. She has been providing Hong Kong tax and business advisory services to MNCs, SOEs, listed companies and SME clients, particularly those in the industries of retail, sourcing, technology, agency services, medical, education, asset management and charitable organizations. She is also a specialist in Tax Controversy and Dispute Resolution. Ms. Au is also a Chartered Tax Adviser of The Taxation Institute of Hong Kong (TIHK). She is a fellow member of the Association of Chartered Certified Accountants (ACCA) and a member of the Hong Kong Institute of Certified Public Accountants (HKICPA).

Ms. Au holds a Bachelor of Business Administration (Accounting and Finance) from The University of Hong Kong.

Rocky Cheung
Counsel, Jones Day

Mr. Cheung is a counsel of Jones Day, and has over 10 years of experience in the financial markets. Rocky's practice focuses on corporate finance transactions such as initial public offerings (IPOs), mergers and acquisitions (M&A), and placings. He has extensive experience in advising high-tech companies and A-share listed companies in relation to listings on the HKEX, post-IPO transactions, and ongoing corporate compliance.

Mr. Cheung advises clients in cutting-edge technology sectors such as semiconductors, robotics, artificial intelligence, and new energy. He recently advised the sponsors and underwriters on the listing of Shenzhen DOBOT, the first 18C H-share listed company, and the listing of Beijing SinoHytec, the first hydrogen energy A+H share listed company. He also advised numerous listed issuers, including UBTECH Robotics, the first humanoid robot company listed on the HKEX, on their placings and ongoing compliance matters.

Mr. Cheung holds a Bachelor of Laws degree from The Chinese University of Hong Kong. He is a practising solicitor in Hong Kong.

Geoffrey Ng
Associate Director, Orient Capital

Mr. Ng brings over 12 years of extensive experience spanning auditing, investment banking, and venture capital. He began his career at Ernst & Young, followed by key roles in the corporate finance divisions of international investment banks including Southwest Securities and KGI Hong Kong. He also served as Associate Director of Corporate Finance at Guotai Junan Securities and Investment Director at Pembroke Capital, where he led cross-border corporate financing and overseas market expansion initiatives. Currently, Mr. Ng is an Associate Director at Orient Capital, focusing on Hong Kong IPOs and cross-border M&A.

Mr. Ng's sector expertise covers robotics, artificial intelligence, green energy, real estate, and consumer goods. He has successfully completed a number of landmark IPO transactions, including: DOBOT, UBTECH; and Beijing SinoHytec. His additional IPO experience includes Jiayuan Services, Johnson Cleaning, Hehong Holdings, Ying Kee Tea House, and Deli Machinery.

Mr. Ng holds a Bachelor of Business Administration degree from the Rotman School of Management at the University of Toronto. He is a member of the Hong Kong Institute of Certified Public Accountants (CPA).

Vincent Li *FCPA (Practising), ACA, FCCA, MBA, M.Sc.*
Senior Partner, ZSZH (Hong Kong) Fuson CPA Limited

Mr. Li has almost 40 years of experience in the fields of accounting, auditing, corporate finance, business strategies and development. He has extensive experience in providing audit and accounting services to international and multinational enterprises in Hong Kong and Mainland China. He also assisted various Hong Kong entities, state-owned enterprises and local entities in Mainland China with their listing on stock exchanges in Hong Kong, Singapore and Korea. In 2015, he successfully assisted a Beijing private enterprise Beijing Chunlizhengda (Stock Code: 1858) in listing its shares on HKEX and preparing the financial report of the company in accordance with PRC Generally Accepted Accounting Principles (GAAP). Further, he was involved in the mergers and acquisitions (M&A) transactions of certain large Mainland China enterprises.

Mr. Li started his career in KPMG Hong Kong in 1986 and joined Deloitte Touche Tohmatsu (Deloitte) in 1988, both are leading international public accounting firms. He was later promoted as Audit Director of Deloitte in 2003 and admitted as Audit Partner of both Deloitte China and Hong Kong firms from 2005 to 2013. In June and August 2013, he was appointed as the Audit Partner and Technical Director of Pan-China (HK) CPA Limited and Pan-China Certified Public Accountants LLP, respectively. In years 2016 and 2019, he was admitted as the Partner of ShineWing China and Hong Kong firms, respectively and retired from both ShineWing China and Hong Kong firms in June 2023.

Ingrid Lau *CPA*
Partner, PwC Hong Kong

Ms. Lau is a partner of the Tax and Business Advisory practice of PwC Hong Kong, and has 15 years of experience in providing professional services to both private and listed clients. She is also a Pillar Two specialist.

Ms. Lau has been actively involved in handling dispute settlement with the tax authorities, advance ruling applications, and IPO processes in Hong Kong. She has supervised various due diligence, outbound M&As, post-deal re-organizations. She has also supported major regional assignments including corporate restructuring and cross-border transactions, as well as driven Pillar Two assignments such as training, global compliance, data analysis, process improvements, as well as advisory work. Her clients include international corporations, private equity funds, government agencies, and family businesses.

Ms. Lau's advisory experience covers a variety of sectors including real estates, food and consumer products, shipping and logistics, and technology, media and telecom.

Ms. Lau is also a member of the Hong Kong Institute of Certified Public Accountants, serving on the Young Members Committee and Taxation Faculty. She is also a regular speaker for seminar series organized for listed groups and members of professional bodies in Hong Kong.

C.Y. Tan *FCCA*
Senior Manager, PwC Hong Kong

Mr. Tan is a Senior Tax Manager with significant expertise in advisory roles. Currently, he serves as a core team member of the PwC Hong Kong's BEPS Taskforce.

With 8 years of tax experience, Mr. Tan has successfully collaborated with over 100 client groups for BEPS Pillar Two, spanning various sectors. His extensive involvement in BEPS Pillar Two has allowed him to assist clients in multiple areas, including conducting educational sessions, performing comprehensive impact assessments, developing sophisticated models, offering technical advice, devising effective mitigation plans, and streamlining operational aspects such as data gathering and automation.

Mr. Tan's dedication to staying at the forefront of tax regulations and his ability to provide valuable guidance to clients have enabled him to navigate complex tax landscapes and deliver tailored solutions to address the unique challenges faced by each client.

Henry Leung *MBA, MSc, CertICM*
Director, PwC Hong Kong

Mr. Leung is a Director in Tax and Business Advisory at PwC Hong Kong. With over 20 years of experience across APAC, he delivers customer-facing and internal digital platforms, end-to-end solution delivery, process redesign, and automation in complex, regulated environments. He helps clients in digitally transforming their business through designing technology roadmap, implementing self-service automation solution, Gen AI adoption and digital upskilling program.

He also leads the implementation of Pillar Two automation and CRS and FATCA reporting for clients in Hong Kong, Singapore, Taiwan and New Zealand.

Prior to joining PwC, Mr. Leung worked at BNP Paribas as Head of Regional eBanking APAC and Bank of America Merrill Lynch as Director of eChannel, Global Treasury Solution.

Mr. Leung holds a Master Degree in Financial Analysis from Hong Kong University of Science and Technology, Master of Business Administration and Bachelor in Information System from Simon Fraser University in Canada. He also holds the Certificate in International Cash Management from the Association of Corporate Treasurers.

Carrie Zhong *CPA*
Manager, PwC Hong Kong

Ms. Zhong is a Manager in the Tax AI Innovation team at PwC Hong Kong. With extensive experience in tax digital transformation, she specializes in delivering innovative solutions for multinational enterprises. She leads and manages complex projects involving Pillar Two compliance, Hong Kong Profits Tax, and China tax digitalization, helping clients enhance their tax functions through automation, advanced analytics, and AI-driven technologies.

She has played a pivotal role in designing and developing end-to-end tax calculation platforms, optimizing business processes, and strengthening process and data governance for multinational groups and the government.

Ms. Zhong holds a Bachelor's degree in Business Administration with a specialization in accounting and information systems from The Hong Kong University of Science and Technology. She is a member of the Hong Kong Institute of Certified Public Accountants.

Daniel Chow
Senior Managing Director, FTI Consulting (Corporate Finance and Restructuring)

Mr. Chow is a Senior Managing Director in the Corporate Finance segment based in Hong Kong, with over 30 years of experience in financial reporting, corporate restructuring, investigations, litigation support, liquidations, receiverships, financial reviews, and personal insolvencies.

He has extensive knowledge of the Hong Kong Stock Exchange Listing Rules and related financial regulations, and has advised on numerous provisional liquidations and receiverships involving SEHK-listed companies. He has also advised several listed companies to achieve successful trading resumptions.

In addition to formal insolvency appointments, Mr. Chow regularly advises on family and shareholder disputes, providing independent and practical solutions to complex situations. His appointment experience spans Hong Kong, the British Virgin Islands, Bermuda, the Cayman Islands, and Samoa. He has also led corporate workout, restructuring, operational turnaround, and due diligence engagements across Hong Kong, Mainland China, Taiwan, and Singapore.

Mr. Chow currently serves as Chairman of the Executive Committee of the Restructuring and Insolvency Faculty of the Hong Kong Institute of Certified Public Accountants. He is also Vice Chairman of the Professional Development Committee and a member of the Education and Audit Committees of The Hong Kong Chartered Governance Institute.

Alex Chan
Managing Director, FTI Consulting (Corporate Finance and Restructuring)

Mr. Chan is a Managing Director in the Corporate Finance segment based in Hong Kong, specializing in restructuring and insolvency services. He has more than a decade of experience in restructuring, insolvency enforcement, and creditor advisory engagements across Asia and internationally.

He has been involved in a wide range of complex insolvency assignments, including the liquidations of Hong Kong Petrochemical Limited, Founder Information (Hong Kong) Limited, an offshore subsidiary of the PUFG Group, and Folli Follie. He has also managed several high-profile property and asset receiverships, including 39 Conduit Road, 5/F Opus, 15 Gough Hill Road, and the Royal Albert Dock development site in East London.

In addition to formal insolvency appointments, Mr. Chan has extensive experience in debt restructuring and distressed situations. He was involved in the restructuring of Sino-Ocean, assisting stakeholders in evaluating alternative outcomes and supporting the implementation of restructuring plans in both Hong Kong and the United Kingdom. He has also advised numerous listed companies on debt restructurings through schemes of arrangement, including preparing liquidation analyses, engaging with creditors, and supporting boards in negotiating and implementing restructuring proposals.

Bing Xue
Partner, Han Kun Law Offices

Mr. Xue is partner of Han Kun Law Offices. His practice focuses on venture capital and private equity investment, mergers and acquisitions, capital markets transactions, and cross-border investment. Mr. Xue has represented and provided legal services to numerous investment funds, multinational companies, state-owned enterprises, start-ups and emerging companies, public companies, and research institutions in relation to their various PRC legal matters, covering the high-tech, electronic technology, life science and pharmaceutical, environmental technology, telecommunications, internet, advertising, energy, education, real estate, and wealth management industries. Mr. Xue also has solid knowledge of and practice experience with China tax compliance and tax planning-related legal services.

Before joining Han Kun, Mr. Xue practiced tax and law with PricewaterhouseCoopers and another leading PRC law firm. In 2013, he served as CEO of a Shenzhen-based buyout firm and was responsible for M&A transactions.

Mr. Xue graduated from Shenzhen University Law School with an LL.B. degree. He also completed a programme in Executive Master of Business Administration and obtained the Degree of Master of Business Administration from The Chinese University of Hong Kong.

Thomas Chung *FCPA, CPA(Aust)*
Senior Vice President, SWCS Corporate Services Group (Hong Kong) Limited

Mr. Chung has over 22 years of experience in corporate secretary, mergers and acquisitions, financial reporting and auditing. Since June 2022, Mr. Chung has been serving in the corporate secretarial department of SWCS Corporate Services Group (Hong Kong) Limited and currently is the senior vice president of the company secretarial department. He is mainly responsible for managing the company secretarial and compliance work for companies listed on the Stock Exchange.

Rita Fong
Managing Director, Strategic Communications, FTI Consulting

Ms. Fong leads the capital markets and transactions communications practice in Hong Kong. She specializes in instructing transactions communications programme and investor relations programme for listed companies in Hong Kong and the US, as well as designing and executing crisis management strategies. Ms. Fong has completed over 30 IPOs and M&As communication programmes, as well as advised on special situation cases not limited to shareholder disputes, activism, proxy fights, hostile takeover, restructuring, short selling, corporate scandals, operational issues and employees affairs.

Ms. Fong also manages holistic corporate communication programmes for multinational corporations and global financial institutions including custodian banks, investment banks, private banks, asset managers and insurance companies. She also had experience on formulating public education programme for financial regulators and government bodies in the region. Ms. Fong's deep understanding of the local capital market, the intricacies cross border transactions, local market intelligence and regulatory dynamics, together with her strong relationship with both international and local media enable her to formulate solutions that have both business and financial impact.

Ms. Fong received her master's degree in Business Law and a bachelor's degree in Social Science from the University of New South Wales, Australia.

Jessica Choi
Director, Strategic Communications, FTI Consulting

Ms. Choi specializes in directing complex IPO communications and managing investor relations programmes for publicly listed companies, with deep expertise in cross-border transactions spanning Mainland China and international markets.

With a track record spanning over 20 IPOs and capital markets transactions, Ms. Choi brings a nuanced understanding of multi-jurisdictional regulatory requirements and investor communication strategies. By leveraging her deep market intelligence and robust relationships with both global and local financial media, she crafts targeted investor narratives that resonate across markets and deliver measurable financial impact.

Ms. Choi's extensive sector expertise spans financial services, construction, food and beverage, healthcare, logistics, retail, and technology. Notably, she provides ongoing investor relations advisory to New Oriental and Lenovo, and has advised on several high-profile listings, including the Amer Sports IPO and others.

As a seasoned strategist in crisis management, Ms. Choi has a proven ability to stabilize reputations during high-stakes situations – including corporate scandals, social disputes, and operational crises. She protects enterprise value through rigorous communication planning, proactive media relations, spokesperson coaching, and multi-stakeholder engagement.

Ms. Choi earned her Bachelor of Business Administration from Hong Kong Baptist University. She is also an EFFAS Certified ESG Analyst® (CESGA).

Kenneth Yuen *CPA, FCCA, BBA, MPA, MBS*
Director, ZHONGHUI ANDA Risk Services Limited

Mr. Yuen has more than 15 years of experience in providing external audit, corporate governance advisory, internal control review, enterprise risk management, forensic investigation, financial due diligence and ESG reporting services for numerous Hong Kong listed companies for the purposes of IPO, group restructuring, mergers and acquisitions, annual reporting and fulfillment of resumption guidance.

Mr. Yuen has expertise in conducting internal control review engagements for clients from a wide range of industries and has extensive experience in handling internal control and corporate governance issues for IPO engagements and resumption cases of listed companies.

Mr. Yuen holds a Bachelor of Business Administration (BBA) degree in Accounting from Hong Kong Baptist University. He also holds a Master of Professional Accounting (MPA) degree from The Hong Kong Polytechnic University and a Master of Business Systems (MBS) degree from Monash University. He is an associate member of the Hong Kong Institute of Certified Public Accountants (HKICPA) and a fellow member of the Association of Chartered Certified Accountants (ACCA).

Brian K.T. Wong
Partner, Baker McKenzie

Mr. Wong is a partner in Baker McKenzie's Hong Kong office and a member of the Firm's Capital Markets Practice Group. He practices mainly in the fields of capital markets, public mergers and acquisitions, corporate law and corporate governance. He represents clients in a wide range of transactions, including equity and debt capital markets transactions as well as public mergers and acquisitions.

In addition, Mr. Wong advises Hong Kong listed companies, shareholders, directors and their other stakeholders on compliance, disciplinary and regulatory matters. He has been recognized as a Thomson Reuters Stand Out Lawyer 2026.

James Ng
Special Counsel, Baker McKenzie

Mr. Ng is a special counsel at Baker McKenzie, with over 10 years of experience in negotiating deals and resolving disputes by arbitration, litigation, and mediation. As a trilingual lawyer qualified in four jurisdictions, Mr. Ng's expertise is in helping clients navigate the complexities of cross-border disputes. He has advised and represented clients in litigations and arbitrations under the CIETAC, ICC, HKIAC, LCIA, SIAC and UNCITRAL Arbitration Rules. He also has experience in asset recovery and insolvency proceedings, as well as regulatory investigations and enforcement actions by the HKEx, HKMA, ICAC and SFC.

Mr. Ng is recognized by Legal 500 as a key lawyer for international arbitration. He is also an arbitrator, a construction adjudicator and a Fellow of the Chartered Institute of Arbitrators (CIArb) and the Hong Kong Institute of Arbitrators (HKIArb).

Cherie Mak *MCG, ACCA, CPA, ACG (CS, CGP), HKACG (CS, CGP)*
Vice President, SWCS Corporate Services Group (Hong Kong) Limited

Ms. Mak is Vice President of SWCS Corporate Services Group (Hong Kong) Limited, bringing over 25 years of professional experience across audit, accounting, corporate finance, regulatory compliance, and company secretarial practice. Throughout her career, Ms. Mak has served a diverse range of professional firms and listed companies in Hong Kong, developing deep expertise in corporate governance and regulatory affairs within the listed company environment.

Ms. Mak holds a Master of Corporate Governance from The Hong Kong Polytechnic University. She is an Associate Member of both The Hong Kong Chartered Governance Institute and The Chartered Governance Institute, a Member of the Hong Kong Institute of Certified Public Accountants, and a Fellow Member of the Association of Chartered Certified Accountants.

Charlene Kwong *MSc, CEM, CAP, BEAM Pro, LEED AP, WELL AP*
Assistant Vice President of Sustainability, Climate Change and ESG Services, SWCS Corporate Services Group (Hong Kong) Limited

Ms. Kwong holds the Master of Science degree in Environmental Science in The University of Plymouth, UK. She specializes in the preparation of energy audit reports, carbon audit assessments in Hong Kong and Mainland China, and provides energy efficient strategies and low carbon development. Before joining SWCS, she had been involved in energy and carbon consulting works, specialized in the areas of energy audit, carbon footprint, green building assessment for government premises and private sectors. Ms. Kwong is a Carbon Audit Professional (certified by Association of Energy Engineers, BEAM Professionals (BEAM Pro) certified by The Hong Kong Green Building Council and LEED AP (Accredited Professional) certified by US Green Building Council.

Connie Chan *MSc, CCA, GSFP*
ESG Manager, SWCS Corporate Services Group (Hong Kong) Limited

Ms. Chan holds a Master of Science in Environmental Management from the University of Hong Kong. She is a Certified Carbon Auditor and holds the Certificate in Green and Sustainable Finance from the Chartered Banker Institute, the Sustainable Investing Certificate from CFA Institute, and a GRI Standards Certified Training Course Certificate.

Ms. Chan specializes in sustainability reporting, developing sustainability and low-carbon strategies, and providing advice on ESG rating enhancement and other professional ESG advisory services. Before joining SWCS, Ms. Chan worked as an environmental consultant, specializing in product carbon footprint assessments, cleaner production, energy conservation and emissions reduction.

Karen Tao *CESGA*
Assistant Manager, SWCS Corporate Services Group (Hong Kong) Limited

Ms. Tao holds a Bachelor's degree in Geography and Resource Management from The Chinese University of Hong Kong, with expertise in sustainability research. Prior to joining the organization, she worked at a public-sector environmental consultancy, where she specialized in sustainability reporting, stakeholder engagement, materiality assessments, and ESG training. She holds the GRI Certified Training Certificate and the Certified ESG Analyst (CESGA) credential from the European Federation of Financial Analysts Societies (EFFAS). She has also passed the Sustainability and Climate Risk (SCR) certification by GARP, completed ISO 14064 training with BSI, and is certified as a Greenhouse Gas Practitioner by BSI.

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