



A+H Regulatory and Compliance Series (September 2026)

**LIVE
WEBINAR**

As Hong Kong welcomes more and more A+H listings, a basic understanding of the similarities and differences of the A and H regulatory and compliance rules is becoming more and more important, for practitioners who have to deal with A+H listed clients as well as for those who wish to be appointed as a director or company secretary of A+H listed issuers. This A+H Regulatory and Compliance Series aims to achieve this purpose.

In each topic, the basic H share listing rules (Hong Kong listing rules), for example, the rules on connected transactions, will be compared with the A share listing rules (Shanghai and Shenzhen listing rules) to show some of the major differences and similarities on the connected transaction rules of the two regulatory regimes. As usual, cases will also be discussed as appropriate. This Series will cover three A+H topics, namely, information disclosure, Board Secretary vs Company Secretary and connected transactions.

Topic/ Programme code	Date and time	Speaker
Disclosure of Information: A Comparison of A+H Companies' Disclosure Obligations and Disclosure in Practice (EWEB26091601)	Wednesday, 16 September 2026 7:00 p.m. – 9:00 p.m.	Mr. P.H. Chik Former partner of Baker McKenzie, Beijing office and Linklater, Beijing office
Board Secretary (A Share) vs Company Secretary (H Share) (EWEB26092302)	Wednesday, 23 September 2026 7:00 p.m. – 9:00 p.m.	
Connected Transactions: A+H Comparisons (EWEB26093001)	Wednesday, 30 September 2026 7:00 p.m. – 9:00 p.m.	

Format	Webinar	
Language	Cantonese	
Fee	HKICPA member or student or IA:	HK\$170
(For each session)	Non-member:	HK\$370
<i>(An email will be sent to you when your registration is accepted. You can access the webinar by tablet, smart phone or PC.)</i>		
Participants	Accountants; Corporate finance professionals; Financial advisers; Investor relations professional; Compliance officers; Company secretaries	
Competency*	Corporate and strategic finance; Corporate governance	
Sub-competency*	Understanding of capital market and financial instruments/ hedging products; Compliance with legal and regulatory requirements and corporate governance best practices	
Rating*	Intermediate level	
CPD hours	2	
(for each session)		

* Please refer to the [competencies and ratings for CPD events](#).

About the programme

Disclosure of Information: A Comparison of A+H Companies' Disclosure Obligations and Disclosure in Practice 信息披露：A+H 公司披露义务与实际披露的比较 (EWEB26091601)

This webinar will focus on A+H disclosure of information. Topics include:

- 第一部份: A+H 股信息披露的比较
 - (一) 强制性披露及应当披露的信息
 - (二) 重大事件(A 股) /内幕消息(H 股)形成时点
 - (三) 重大事件(A 股) /内幕消息(H 股)的披露时点
 - (四) A+H 股公司信息披露义务人及其披露责任
 - (五) A+H 股公司的信息披露责任
 - (六) 披露方式
 - (七) A+H 安全港 (信息披露暂缓与豁免)
- 第二部份: A+H 股信息披露实务
 - 同步信息披露原则 (Simultaneous disclosure principle)
 - 从严不从宽原则 (Follow the stricter requirement principle)
 - 信息披露文件中、英文本及海外监管公告 (Disclosure in Chinese and English languages & ORA)
 - 重大事件 vs Inside information & disclosure to avoid false market
 - 年报、中报发布 (Publications of Annual & interim reports)

Board Secretary (A Share) vs Company Secretary (H Share) 董事会秘书 (A 股) vs 公司秘书 (H 股) (EWEB26092302)

This webinar will focus on the comparison of board secretary and company secretary. Topics include:

- Part 1: Board Secretary (A share) v Company Secretary (H share)
 - 《上市公司董事会秘书监管规则》(Rules for Supervision of Board Secretaries of Listed Companies) 新规 (A 股)
 - 董事会秘书的职责 (A 股) vs Duties of company secretary under the LR (H share)
 - 董事会秘书资格拓宽 (A 股) vs Qualifications & relevant experience of company secretary (H share)
 - 兼职限制 (A 股) vs Part-time and multiple appointments (H share)
 - 违规处罚及责任追究 (A 股) vs Breach & liabilities (H share)
- Part 2: Case studies
 - HK cases: Tigermed, Lisi Group, KWG Group, Venus Medtech, Superb Summit
 - PRC cases: Relevant PRC cases

Connected Transactions: A+H Comparisons 关联交易：A+H 比较 (EWEB26093001)

The webinar will focus on connected transactions. Topics include:

- A 股关联交易的相关法规及指引
- A 股与 H 股关联交易的基本概念对比
 - 关联交易
 - 关联人
 - 联系人
 - 控制
 - 控制权
 - 控制股东

- 实际控制人
- A 股与 H 股关联自然人认定范围的差异
- A 股与 H 股关联法人认定范围的差异
- A 股与 H 股关联交易对比
 - 无偿接受关联方担保或财务资助
 - 上市公司向关联方提供财务资助
 - 与关联方共同投资行为
 - 关联方认购新增注册资本
 - $\geq 10\%$ 股权的影响力
- 关联交易的披露标准

About the speaker

Mr. P.H. Chik

Former partner of Baker McKenzie, Beijing office and Linklaters, Beijing office

Mr. Chik is a former partner of several international law firms before his retirement in 2018. He has extensive experience in IPO and post-listing compliance work. After his retirement, he worked as an adjunct professor of the Department of Accountancy of CityU of Hong Kong. From Jul 2021 to Jan 2023, he worked as a part-time consultant with the Hong Kong office of a US firm, providing training and advice on Hong Kong corporate governance and securities rules.



CPD EVENT ENROLMENT FORM

(The enrolment form must reach the Institute no later than 2 working days before the start of the event.)

Please send the complete form **by e-mail** or **by post** together with the required payment

Email: enrolment@hkcipa.org.hk

By post: **HKICPA, 37/F, Wu Chung House, 213 Queen's Road East, Wanchai. Attn: F&O Dept.**

Payment & Enrolment Status Enquiry: 2287 7381 / enrolment@hkcipa.org.hk	Course Information Enquiry: 2287 7253 / cpd@hkcipa.org.hk	FOR OFFICE USE
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Section 1 – Personal Particulars ☒ where appropriate. All fields are mandatory unless specified otherwise.

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 Family name *Given name* *(optional)*

Contact information provided below is used solely for communication of the particular event(s), without update to your member profile.

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Section 2 – Event Registration All fields are mandatory.

No.	Event code	Event name	Fees
1.			HK\$
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Total:			HK\$

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3A	☐ Cheque (No. _____) payable to HKICPA or Hong Kong Institute of Certified Public Accountants		
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3B	☐ I am unemployed and not working. I am planning to rejoin the workforce.	Date:	
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Note:

- Applications will only be accepted when payment is made by cheque or credit card. Cash is strictly not accepted.
- For cheque payment, please issue separate cheque for each event. For credit card payment, the card should be valid with expiry date at least 1 month from the date of event.
- In order not to delay the process, please ensure payment is made together with your application.
- Payment receipt will be sent to your email address provided above once the payment is confirmed.
- In normal circumstances, the course fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the course be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. Unsuccessful enrolment will be notified with full refund. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
- Members of HKICPA may access to "MyCPA" to check your enrolment status.

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- Applications are processed on a first-come, first-served basis.
- Confirmation of enrolment and login information to access the event (if applicable) will be sent to you via email. Your login ID and password are for your exclusive use ONLY and you should not share them with anyone.
- In the event of typhoon signal no. 8 or above or a Black Rainstorm warning is hoisted, all scheduled events will be cancelled/postponed. Further details of bad weather arrangement for CPD programmes, please refer to the Institute's homepage.
- Your personal data collected from the enrolment process and administration of courses/events/activities will be used for the purpose of the administration of the course on which you are enrolled. The provision of personal data by means of this form is voluntary. However, insufficient information may result in an inability to process your application. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link: <https://mas.hkcipa.org.hk/mycpa/communication/preference>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at privacyofficer@hkcipa.org.hk or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <https://www.hkcipa.org.hk/en/Tools/Privacy-policy>.
- No unauthorized audio or video recording is allowed at CPD events.
- The Institute reserves the right to amend the above terms and conditions without prior notice, and to change the venue, date, speaker or to cancel the event due to unforeseen circumstances. In case of any disputes, the Institute reserves the right to make the final decision.

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