

Mastering Assurance & AUP Series

Join us for this Series to enhance your understanding of the following two common standards used as the reporting frameworks for engagements other than audits or reviews of financial statements. Equip yourself with knowledge and skills necessary to excel in these engagements.

- HKSAE 3000 (Revised), *Assurance Engagements Other than Audits or Reviews of Historical Financial Information* (“HKSAE 3000R”); and
- HKSRS 4400 (Revised), *Agreed-Upon Procedures Engagements* (“HKSRS 4400R”).

The speakers will delve into the key requirements and practical applications, offering insights on how to address the common pitfalls encountered in practice.

Topic/ Programme Code	Date and time	Format	Fee	Speakers
Navigating Assurance and AUP Standards (Event code: ESEMETLS250618L01)	18 June 2025 1:00 p.m. – 2:00 p.m. (1 CPD hour)	Archived E-learnings (click here)	HKICPA member or student/ International Affiliate (IA): \$280 Non-member: \$500	Ms. Winnie Tong
Practical Application of Assurance and AUP Standards (Event code: ESEMETLS250828L01)	28 August 2025 6:30 p.m. – 8:30 p.m. (2 CPD hours)			Ms. Jenny Lui Mr. Richard Wong

Language Cantonese with English handout and terminology

Competency Auditing and Assurance

Rating Intermediate Level**

Participants Auditors and interested parties

** Please click (Archived E-learnings: [here](#)) for online registrations and refer to the [Institute's online CPD Learning Resource Centre](#) for descriptions of competency and rating.

About the programme

Webinar: Navigating Assurance and AUP Standards (ESEMETLS250618L01)

This webinar will cover:

- Overview and key requirements of HKSAE 3000R and HKSRS 4400R
- Factors to consider for determining an appropriate reporting framework
- Common pitfalls

Workshop: Practical Application of Assurance and AUP Standards (ESEMETLS250828L01)

This workshop will use case studies to:

- Highlight the key requirements of HKSAE 3000R and HKSRS 4400R engagements
- Case studies – discuss practical considerations in conducting these engagements using common market examples
- FAQ – discuss the issues faced by participants
- Final reminders - Dos and Don'ts for reporting under the respective standards

About the speakers

Ms. Winnie Tong

Director, Deloitte China

Ms. Tong is a director of the National Technical Department of Deloitte China. In her current role, she participates in the implementation of the firm's audit methodology and quality enhancement of the audit practice. She also provides advice and technical support on auditing matters for engagement teams serving Hong Kong listed clients and multinational clients. Ms. Tong is a member of the HKICPA.

Ms. Jenny Lui

Director, PwC Hong Kong

Ms. Lui works in the Risk and Quality department of PwC Hong Kong, specializing in audit and assurance reporting. She has played an active role in supporting various HKICPA working groups on standard setting and the development of relevant guidance, FAQ and illustrative reports, etc. She also has extensive expertise and practical experience in assisting engagement teams in framing engagements using an appropriate assurance framework based on the specific reporting requirements and clients' needs. During the workshop, she would share the practical challenges faced by the practice and how to deal with typical reporting issues using common market examples. Participants are welcomed to discuss any reporting issues they encounter in their practice.

Mr. Richard Wong

Senior Manager, PwC Hong Kong

Mr. Wong is a senior manager in the Assurance and Risk and Quality department of PwC Hong Kong. He has spent more than 10 years in audit practice in Hong Kong, where he has served listed and multinational clients from a variety of sectors, including retail, manufacturing, real estate, and transportation, and has extensive knowledge in providing assurance services under various reporting frameworks. In his current role, he also supports the assurance practice in the development of relevant guidance, FAQs, illustrative reports on various assurance services.

About the registration:

- Online registration is recommended, please click [here](#) or visit www.hkicpa.org.hk
- To register offline (by post or email), please complete the enclosed enrolment form and return it with full payment to the Institute.
- Confirmation of registration will be sent by email. If you have not received confirmation of your application, please contact us.

Payment & Enrolment Status Enquiry:

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