

Auditing and Assurance:

Workshop for New Auditors – Re-run (June 2026)



COURSE HIGHLIGHTS:

The *Workshop for New Auditors* is a tailor-made programme designed to provide participants with the core concepts and practical skills essential for financial statement audits while sharing experiences relevant to new auditors. It also enhances awareness of other aspects of the audit process.

This 21-hour workshop utilizes an interactive learning format through discussions and case studies. Pre-course reading is required. This workshop is open to individuals of all experience levels, with a primary focus on fundamental auditing concepts tailored for junior auditors.

Indicative workshop agenda (subject to change):

Day 1	Day 2	Day 3
<ul style="list-style-type: none"> Basics of an audit Auditing and financial reporting framework in Hong Kong Obtaining knowledge of client's business Systems, controls and walkthrough testing Materiality Financial statements assertions 	<ul style="list-style-type: none"> Types of audit tests Audit sampling Dealing with misstatements Audit procedures of selected business cycles 	<ul style="list-style-type: none"> Audit procedures of selected business cycles (continued) Review of statutory records Fundamental ethics for professional accountants Dealing with client on site

DETAILS:

Date and Time / Programme Code	Format / Language / Venue	Fee
<p>Date and Time: 12 June 2026 (Friday) 17 June 2026 (Wednesday) 18 June 2026 (Thursday)</p> <ul style="list-style-type: none"> 9:00 am - 5:00 pm for all three days Total CPD hours: 21 <p>Programme Code: WSHP26061202</p>	<p>Format: Workshop</p> <p>Language: Cantonese with English handout and terminology</p> <p>Venue: HKICPA Training Centre 27/F, Wu Chung House, Wanchai, Hong Kong</p>	<ul style="list-style-type: none"> HK\$2,875 <ul style="list-style-type: none"> For HKICPA members or students (online or offline registration) For participants enrolled by a CPA (Practising), CPA firm or Corporate Practice registered with the Accounting and Financial Reporting Council (AFRC) (offline registration only – enrolment form required) HK\$4,715 for non-member

* Actual CPD hours attained is based on the actual length of time the participant attends the workshop. Please visit [HKICPA webpage](#) for the Institute’s CPD policies and requirements.

ABOUT THE SPEAKERS:



Mr. Kenneth Lau
Partner, Crowe (HK) CPA Limited
Deputy Chairman, Financial Reporting Standards Committee, HKICPA
Member, Technical Issues Support Group, Small and Medium Practices Committee (SMPC), HKICPA

Kenneth has over 25 years of expertise in audit and assurance, serving both listed and private entities with a particular focus on IPOs and merger and acquisition engagements. He also has more than a decade of experience in corporate insolvency assignments. Kenneth is the Deputy Chairman of the Financial Reporting Standards Committee of HKICPA and the Past President of the Society of Chinese Accountants & Auditors. Kenneth is a regular speaker on audit-related and financial reporting training courses.



Ms. Joann Chan
Partner, Crowe (HK) CPA Limited
Member, SMPC, HKICPA
Member, Securities Regulatory Advisory Panel (SRAP), Auditing and Assurance Standards Committee, HKICPA

Joann has over 20 years of experience in the audit and assurance field, specializing in Hong Kong listed and private entities, IPO engagements, and other due diligence work across various industries and countries.

Joann is a member of the HKICPA’s SMPC and several panels, including the SRAP. She is also serving as the Vice President of The Society of Chinese Accountants and Auditors and the Honorary Treasurer of The Accounting and Finance Graduates Association of The Hong Kong Polytechnic University.



ABOUT THE PROGRAMME:

Competency Auditing and Assurance

Rating Foundation Level (Note 1)

Auditor Functional Pillar by Role Executing Procedures (Note 2)

Participants New auditors recently onboard or audit staff with less than two years of experience. **While participants of all experience levels are welcome, the focus of this workshop is on fundamental auditing concepts specifically designed for junior auditors.**

Registration

- To register offline by post or email, please complete the enclosed enrolment form and return it with full payment to the Institute.
- Non-HKICPA members enrolled by a CPA (Practising), CPA Firm or Corporate Practice registered with the AFRC has to register the workshop **offline by email or post** with the completed enrolment form in order to enjoy the member price.
- Enrolment deadline: **5 June 2026 (online/offline)**

Remarks This workshop will not provide printed handouts. Electronic copies of the training materials will be emailed to participants in advance of the workshop. Participants should arrange their own printing or bring a device to access the electronic training materials during the workshop.

Note 1 Please click [here](#) for online registrations and refer to the [Institute's online CPD Learning Resource Centre](#) for descriptions of competency and rating.

Note 2 Please click [here](#) for description of auditor functional pillar by role.

Payment & Enrolment Status Enquiry:

Tel: 2287 7381
Email: enrolment@hki CPA.org.hk

Course Information Enquiry:

Tel: 2287 7009
Email: tls@hki CPA.org.hk



CPD EVENT ENROLMENT FORM
Workshop for New Auditors – Re-run
(12, 17 and 18 June 2026) - WSHP26061202

Please send the complete form **by e-mail** or **by post** together with the required payment
Email: **enrolment@hki CPA.org.hk**
By post: **HKICPA, 37/F, Wu Chung House, 213 Queen's Road East, Wanchai. Attn: F&O Dept.**

Payment & Enrolment Status Enquiry: 2287 7381 enrolment@hki CPA.org.hk	Course Information Enquiry: 2287 7386 ts@hki CPA.org.hk	FOR OFFICE USE
--	--	----------------

Section 1 – Personal Particulars where appropriate. All fields are mandatory unless specified otherwise.

Membership No.: _____, or please specify: Non-member GAA Passport Holder

Name of participant: _____ Organization: _____
Family name Given name (optional)

Contact information provided below is used solely for communication of the particular event(s), without update to your member profile.

Mobile: _____ E-mail: _____

Section 2 – Event Registration and fill in where appropriate.

HK\$ 2,875 per person: HKICPA students/ HKICPA members

HK\$ 2,875 per person: Non-HKICPA members enrolled by a CPA (Practising), CPA Firm or Corporate Practice registered with the AFRC (please fill in the below)

Name of the CPA (Practising), CPA Firm or Corporate Practice who nominates the enrollee	
Practising Cert. No or Registration No. with the AFRC	
Signature of the CPA (Practising), CPA Firm or Corporate Practice who nominated the enrollee	

HK\$ 4,715 per person: Non-HKICPA members

Section 3 – Payment and fill in where appropriate.

Cheque (No. _____) payable to **HKICPA** or **Hong Kong Institute of Certified Public Accountants**

BOC HKICPA VISA BOC HKICPA UnionPay card Other VISA / MasterCard

Card Number: _____ - _____ - _____ - _____ Card Expiry Date: (MM/YY) ____ / ____

Cardholder's Name:	Cardholder's Signature:	Date of completing the form:
--------------------	-------------------------	------------------------------

Note:

- Applications will only be accepted when payment is made by cheque or credit card. Cash is strictly not accepted.
- For cheque payment, please issue separate cheque for each event. For credit card payment, the card should be valid with expiry date at least 1 month from the date of event.
- In order not to delay the process, please ensure payment is made together with your application.
- Payment receipt will be sent to your email address provided above once the payment is confirmed.
- In normal circumstances, the course fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the course be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. Unsuccessful enrolment will be notified with full refund. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
- Members of HKICPA may access to "MyCPA" to check your enrolment status.

Section 4 – Points to Note

- Applications are processed on a first-come, first-served basis.
- Confirmation of enrolment and login information to access the event (if applicable) will be sent to you via email. Your login ID and password are for your exclusive use ONLY and you should not share them with anyone.
- In the event of typhoon signal no. 8 or above or a Black Rainstorm warning is hoisted, all scheduled events will be cancelled/postponed. Further details of bad weather arrangement for CPD programmes, please refer to the Institute's homepage.
- Your personal data collected from the enrolment process and administration of courses/events/activities will be used for the purpose of the administration of the course on which you are enrolled. The provision of personal data by means of this form is voluntary. However, insufficient information may result in an inability to process your application. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link <https://mas.hki CPA.org.hk/mycpa/communication/preference>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at privacyofficer@hki CPA.org.hk or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <https://www.hki CPA.org.hk/en/Tools/Privacy-policy>.
- No unauthorized audio or video recording is allowed at CPD events.
- The Institute reserves the right to amend the above terms and conditions without prior notice, and to change the venue, date, speaker or to cancel the event due to unforeseen circumstances. In case of any disputes, the Institute reserves the right to make the final decision.

For payment by cheque, please fill-in your postal address for refund.

Name:	Name:
Address:	Address: