

Auditing and Assurance: Workshop for Audit Managers/Seniors In-Charge – Re-run (May 2026)



COURSE HIGHLIGHTS:

The *Workshop for Audit Managers/Seniors In-Charge* is a tailor-made programme focused on advanced auditing topics, including risk assessment procedures, auditing accounting estimates and going concern assessments. It covers key auditing concepts and practical skills while sharing experiences relevant to audit managers and seniors in-charge.

This 21-hour workshop utilizes an interactive learning format through discussions and case studies. Pre-course reading is required. Participants should have at least two years of auditing experience and a fundamental knowledge of auditing.

Indicative workshop agenda (subject to change):

Day 1	Day 2	Day 3
<ul style="list-style-type: none"> • Overview of an audit planning • Client and engagement acceptance • Risk assessment • Code of ethics for professional accountants and case sharing 	<ul style="list-style-type: none"> • Consideration of fraud • Materiality • Audit evidence and documentation • Auditing accounting estimates • Analytical procedures • Using the work of others 	<ul style="list-style-type: none"> • Auditing a group • Subsequent events • Going concern • Related parties • Forming an audit opinion • Review the work of others • The HKSA for LCE



DETAILS:

Date and Time / Programme Code	Format / Language / Venue	Fee
<p>Date and Time: 15 May 2026 (Fri) 21 May 2026 (Thur) 22 May 2026 (Fri)</p> <ul style="list-style-type: none"> 9:00 am - 5:00 pm for all three days Total CPD hours: 21 <p>Programme Code: WSHP26051501</p>	<p>Format: Workshop</p> <p>Language: Cantonese with English handout and terminology</p> <p>Venue: HKICPA Training Centre 27/F, Wu Chung House, Wanchai, Hong Kong</p>	<ul style="list-style-type: none"> HK\$2,875 <ul style="list-style-type: none"> For HKICPA members or students (online or offline registration) For participants enrolled by a CPA (Practising), CPA firm or Corporate Practice registered with the Accounting and Financial Reporting Council (AFRC) (offline registration only – enrolment form required) HK\$4,715 for non-member

* Actual CPD hours attained is based on the actual length of time the participant attends the workshop. Please visit [HKICPA webpage](#) for the Institute's CPD policies and requirements.

ABOUT THE SPEAKERS:

Mr. Nelson Wong
 Partner, Ernst & Young (EY)

Nelson is an Assurance Partner at EY with over 20 years of professional experience serving clients across diverse sectors, including aircraft leasing and recycling, hospitality and construction, tourism, consumer products and retail, advanced manufacturing, and mining. He has extensive experience working with multinational corporations, state owned enterprises, Hong Kong listed groups, including IPO engagements and supporting merger and acquisition transactions, providing comprehensive assurance and advisory services to clients operating in complex and rapidly evolving business environments.

He is also one of the partners in EY quality enablement team, who focuses on quality enhancement of the audit practice.

Mr. James Cheung
 Senior Manager, EY

James is an assurance senior manager in EY with over 10 years of experience in providing assurance service to both Hong Kong listed and multinational companies with a wide range of industries, including public and quasi-governmental organisations, manufacturing of industrial products, and retail and consumer products.

Mr. Newman Sze
 Senior Manager, EY

Newman is an assurance senior manager in EY with over 10 years of experience in managing a diverse portfolio of clients in Hong Kong listed groups and multi-national companies from a wide variety of industries, including manufacturing, retail, property investment, utilities, and stated-owned enterprises in Hong Kong and Mainland China.



ABOUT THE PROGRAMME:

Competency Auditing and Assurance

Rating Intermediate Level (Note 1)

Auditor Functional Pillar by Role Leadership; Review and Monitoring (Note 2)

Participants Audit managers or senior in-charges with more than two years of auditing experience and a fundamental understanding of auditing concepts. **We highly recommend that participants have this level of experience to effectively engage with the workshop content.**

Registration

- To register offline (by post or email), please complete the enclosed enrolment form and return it with full payment to the Institute.
- Non-HKICPA members enrolled by a CPA (Practising), CPA Firm or Corporate Practice registered with the AFRC has to register the workshop **offline by email or post** with the completed enrolment form in order to enjoy the member price.
- Enrolment deadline: **8 May 2026 (online/offline)**

Remarks This workshop will not provide printed handouts. Electronic copies of the training materials will be emailed to participants in advance of the workshop. Participants should arrange their own printing or bring a device to access the electronic training materials during the workshop.

Note 1 Please click [here](#) for online registrations and refer to the [Institute's online CPD Learning Resource Centre](#) for descriptions of competency and rating.

Note 2 Please click [here](#) for description of auditor functional pillar by role.

Payment & Enrolment Status Enquiry:

Tel: 2287 7381
Email: enrolment@hkiipa.org.hk

Course Information Enquiry:

Tel: 2287 7057
Email: tls@hkiipa.org.hk



CPD EVENT ENROLMENT FORM

**Workshop for Audit Managers/Seniors In-Charge – Re-run
(15, 21 and 22 May 2026) - WSHP26051501**

Please send the complete form **by e-mail** or **by post** together with the required payment

Email: **enrolment@hki CPA.org.hk**

By post: **HKICPA, 37/F, Wu Chung House, 213 Queen's Road East, Wanchai. Attn: F&O Dept.**

Payment & Enrolment Status Enquiry: 2287 7381 enrolment@hki CPA.org.hk	Course Information Enquiry: 2287 7386 ts@hki CPA.org.hk	FOR OFFICE USE
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Section 1 – Personal Particulars where appropriate. All fields are mandatory unless specified otherwise.

Membership No.: _____, or please specify: Non-member GAA Passport Holder

Name of participant: _____ Organization: _____
Family name Given name (optional)

Contact information provided below is used solely for communication of the particular event(s), without update to your member profile.

Mobile: _____ E-mail: _____

Section 2 – Event Registration and fill in where appropriate.

HK\$ 2,875 per person: HKICPA students/ HKICPA members

HK\$ 2,875 per person: Non-HKICPA members enrolled by a CPA (Practising), CPA Firm or Corporate Practice registered with the AFRC (please fill in the below)

Name of the CPA (Practising), CPA Firm or Corporate Practice who nominates the enrollee	
Practising Cert. No or Registration No. with the AFRC	
Signature of the CPA (Practising), CPA Firm or Corporate Practice who nominated the enrollee	

HK\$ 4,715 per person: Non-HKICPA members

Section 3 – Payment and fill in where appropriate.

Cheque (No. _____) payable to **HKICPA** or **Hong Kong Institute of Certified Public Accountants**

BOC HKICPA VISA BOC HKICPA UnionPay card Other VISA / MasterCard

Card Number: _____ - _____ - _____ - _____ Card Expiry Date: (MM/YY) ____ / ____

Cardholder's Name:	Cardholder's Signature:	Date of completing the form:
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Note:

- Applications will only be accepted when payment is made by cheque or credit card. Cash is strictly not accepted.
- For cheque payment, please issue separate cheque for each event. For credit card payment, the card should be valid with expiry date at least 1 month from the date of event.
- In order not to delay the process, please ensure payment is made together with your application.
- Payment receipt will be sent to your email address provided above once the payment is confirmed.
- In normal circumstances, the course fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the course be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. Unsuccessful enrolment will be notified with full refund. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
- Members of HKICPA may access to "MyCPA" to check your enrolment status.

Section 4 – Points to Note

- Applications are processed on a first-come, first-served basis.
- Confirmation of enrolment and login information to access the event (if applicable) will be sent to you via email. Your login ID and password are for your exclusive use ONLY and you should not share them with anyone.
- In the event of typhoon signal no. 8 or above or a Black Rainstorm warning is hoisted, all scheduled events will be cancelled/postponed. Further details of bad weather arrangement for CPD programmes, please refer to the Institute's homepage.
- Your personal data collected from the enrolment process and administration of courses/events/activities will be used for the purpose of the administration of the course on which you are enrolled. The provision of personal data by means of this form is voluntary. However, insufficient information may result in an inability to process your application. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link <https://mas.hki CPA.org.hk/mycpa/communication/preference>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at privacyofficer@hki CPA.org.hk or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <https://www.hki CPA.org.hk/en/Tools/Privacy-policy>.
- No unauthorized audio or video recording is allowed at CPD events.
- The Institute reserves the right to amend the above terms and conditions without prior notice, and to change the venue, date, speaker or to cancel the event due to unforeseen circumstances. In case of any disputes, the Institute reserves the right to make the final decision.

For payment by cheque, please fill-in your postal address for refund.

Name:	Name:
Address:	Address: