



Event code: SCPD26020201

CFIG seminar:

Enhancing IPO A1 Submission Quality: Regulatory Insights and Market Best Practices

About the topic

In an era of record IPO applications in the Hong Kong market, maintaining high-quality submissions is essential in order to safeguard market integrity and bolster investor confidence. This seminar will delve into the latest regulatory expectations, examine common pitfalls observed in sponsor filings, and share best practices to help ensure that submissions meet the highest standards. Join us for an exclusive lunch seminar featuring an outstanding panel of industry leaders — Dickson Chan, member of the **HKEX Listing Committee**, Managing Director and Head of Corporate Finance (Hong Kong) at **CITIC Securities (Hong Kong) Limited**; Justin Chan, Partner from **Slaughter and May**, and Robert Lui, Partner at **Deloitte China** — as they decode the latest regulatory requirements and highlight key considerations for successful IPO listings.

About the event

Date	Monday, 2 February 2026
Time	12:30 p.m. – 2:00 p.m.
Venue	Hong Kong Institute of CPAs 27/F, Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong
Format	Face-to-face seminar
Language	Cantonese with English terminology
Fee	HK\$230 per person for CFIG members, HKICPA members, students and IAs of HKICPA HK\$430 per person for non-HKICPA members <i>refreshments and drinks will be provided during the seminar</i>
CPD hours	1.5 hour (subject to actual attendance)
Competency	Corporate Finance *
Rating	Intermediate level*
Application Deadline	28 January 2026 (offline enrolment by 27 January 2026)

* Details of [Competency and Rating](#) information

Highlights of the Seminar

- ❖ **Section 1: Speaker presentation**
Enhancing IPO A1 Submission Quality: Regulatory Insights

Speaker:
Mr. Dickson Chan,
Member of the HKEX Listing Committee, Managing Director and Head of Corporate Finance (Hong Kong) at CITIC Securities (Hong Kong) Limited
- ❖ **Section 2: Panel discussion**
Case Sharing with Market Best Practices
 - Panel of industry leaders to discuss some key considerations and challenges in IPO listing applications
Panellists:
Mr. Dickson Chan
Mr. Justin Chan,
Partner, Slaughter and May

Moderator:
Mr. Robert Lui,
Council Member of HKICPA, Partner, Southern Region Offering Services Leader, Deloitte China
- ❖ **Questions and Answers**



About the speaker cum panelist

Dickson Chan

Managing Director and Head of Corporate Finance (Hong Kong) at CITIC Securities (Hong Kong) Limited

Dickson has over 25 years of experience in corporate finance and has taken a handful of Chinese and overseas companies public in Hong Kong and the US. He led the team in executing several key HK IPO transactions of CITIC Securities, including Softcare Ltd, Eternal Beauty, China Resources Beverages, Baidu, JD.com, Xiaomi. CITIC Securities is one of the most active sponsors in the HK IPO market and sponsored around 30 HK IPO transactions in 2025.

Dickson is also a Member of the Listing Committee of the Hong Kong Stock Exchange, Board member of the Hong Kong Securities & Investments Institute and Deputy Chair of the CPAA Finance Services Committee.

About the panelist

Justin Chan

Partner, Slaughter and May

Justin is a corporate partner in Slaughter and May Hong Kong office and has substantial experience in mergers and acquisitions, public takeovers, joint ventures, equity and debt capital markets, Hong Kong Listing Rules, data privacy as well as general commercial work. He has advised various Hong Kong listed companies, state-owned enterprises and investment banks.

Justin is recognised as a Rising Star Partner for Capital markets, M&A, as well as Financial and Corporate in the 2024 and 2025 by the IFLR1000 Asia Pacific. He has been named among Asian Legal Business' Asia 40 Under 40 2025 and also ranked as a Rising Star in the 2023 edition of China Business Law Journal and Asian Legal Business.

About the moderator

Robert Lui

Partner, Hong Kong Growth and Markets Leader, Capital Market Services Group Southern Region Offering Services Leader, Deloitte China

Robert has been in his career as a practising CPA in Hong Kong for over 25 years. As a partner with Deloitte China, he currently serves as Deloitte China's Hong Kong Growth and Markets Leader, and Capital Market Services Group Southern Region Offering Services Leader.

As an audit and assurance partner, he has led many IPOs for companies listed on the Hong Kong Stock Exchange, while also delivering audit and assurance, internal control, digitalization, and M&A services to listed companies and growth enterprises across various industries. Robert also serves as Deloitte China's Hong Kong Digital Asset Leader overseeing the firm's digital asset programme in Hong Kong, collaborating with regulators and supporting clients on projects like licensing applications, compliance review, audit and assurance, security token offerings, etc. Robert has deep insights into society and industry development through his various government and professional appointments, such as Member of Task Force on Promoting Web3 Development of the HKSAR Government, Council Member and Member of the Corporate Finance Committee at the Hong Kong Institute of Certified Public Accountants (HKICPA), Board Director of the Hong Kong Securities and Investment Institute, Chairman of the Hospital Governing Committee at Castle Peak Hospital & Siu Lam Hospital under the Hospital Authority, Council Member of the City University of Hong Kong, etc. He also served as Chairman of the HKICPA's Greater Bay Area Committee and Divisional President of Greater China at CPA Australia.



Registration

- **Online enrolment by 28 January 2026; offline enrolment by post or e-mail also by 27 January 2026:**

Complete the enrolment form and return it to the Institute by 27 January 2026, together with full payment. Cheque should be made payable to “Hong Kong Institute of Certified Public Accountants” or “HKICPA”. E-mail registration will be accepted for payment by any VISA/ Master card or the BOC HKICPA UnionPay card.

You may consider your registration to be successful unless you receive notification from us to the contrary.

- Upon successful enrolment, the meeting URL and login password will be provided nearer the event date.
- **Contact Mr. Terry Lam at 2287-7386 for enquiry.**

Remarks

Disclaimer

- The opinions expressed by external guest speakers are, by their nature, those of the speakers. They are not necessarily endorsed by the Institute or reflect the official policies and views of the Institute or its members.
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- Participants are reminded that there are a wide range of products or services available in the market. Participants should carry out their own research and obtain independent advice before subscribing to any products or services.
- The Institute takes no responsibility for any loss incurred by any person acting or refraining from acting as a result of participation in the event.