

HKICPA/ IIA HK Joint Webinars – Internal Audit Series

(October – November 2025)

**LIVE
WEBINAR**

HKICPA and The Institute of Internal Auditors Hong Kong (IIA Hong Kong) will jointly organize a series of webinars – Internal Audit Series – to cover the trends and current industry updates to help IA add value to businesses. Join this series of webinars to strengthen your knowledge and deepen your relevant skills and competencies on these topics.

Topic/ Programme code	Date and time	Competency	Rating*	Speakers
Forensic Investigation Fundamentals (EWEB25101401)	Tuesday, 14 October 2025 12:30 p.m. – 2:00 p.m.	Forensic Accounting	Foundation	Mr. Henry Lee Associate Director, Forensic Investigation Unit, AIA Group Mr. Jason Xie Associate Director, Forensic Investigation Unit, AIA Group
AML and Sanctions Compliance: Strategic Insights to Upcoming Mutual Evaluation (EWEB25110501)	Wednesday, 5 November 2025 12:30 p.m. – 2:00 p.m.	Ethics, Integrity and Professionalism	Intermediate	Mr. Albert Lo Partner, Deloitte China

Format Webinar

Language English

Fee HKICPA member or student or IA, IIA HK member: HK\$160^
(For each session) Non-member: HK\$360

(An email will be sent to you when your registration is accepted. You can access the webinar by tablet, smart phone or PC.)

Participants Company directors; Accountants; Internal auditors; Internal control or risk management practitioners

Sub-competency* Recognizing ethical risks and taking measures to mitigate business integrity risks

CPD hours 1.5
(for each session)

^ IIA HK member please enrol through IIA HK in order to enjoy member rate.

* Please refer to the [competencies and ratings for CPD events](#).



About the programme

Forensic Investigation Fundamentals (EWEB25101401)

As regulatory expectations rise and financial risks grow, understanding the basics of a forensic investigation is critical for auditors to enhance compliance and contribute to robust governance. This webinar will walk through core principals of forensic investigation, highlight areas of collaboration between internal auditors and forensic teams, and present relevant case studies. Speakers will introduce the fundamentals of a forensic investigation, focusing on areas relevant to an internal auditor and exploring how the two different areas can work together to protect organizational integrity.

After joining this webinar, participants will:

- Gain practical insights to strengthen the role in safeguarding organization's financial health and fostering effective partnerships with forensic teams
- Understand fundamentals of forensic investigation, including practical insights into the key stages of an investigation such as planning, evidence collection and analysis, and reporting
- Understand how collaboration between internal auditors and forensic investigation teams strengthens governance and compliance

AML and Sanctions Compliance: Strategic Insights to Upcoming Mutual Evaluation (EWEB25110501)

This webinar will offer professional insights into two critical areas shaping the financial crime compliance landscape: (1) Anti-Money Laundering (AML) and sanctions frameworks, and (2) the upcoming Mutual Evaluation (ME) of Hong Kong. Speaker will begin with a comprehensive overview of AML principles, highlighting recent regulatory developments and the strategic implementation of a risk-based approach to compliance, as well as further examine the evolving dynamics of global sanctions regimes, including geopolitical drivers and tactical measures for ensuring adherence across international transactions.

The second segment will focus on the ME, an evaluation by the Financial Action Task Force (FATF) to assess Hong Kong's readiness and efficacy in addressing money laundering and terrorist financing risks. The discussion will delve into key areas expected to be scrutinized.

After joining this webinar, participants will:

- Understand the latest regulatory developments in AML and global sanctions frameworks, including geopolitical influences shaping compliance requirements
- Apply a risk-based approach to compliance strategies, enabling efficient resource allocation and mitigation of vulnerabilities in suspicious activities
- Explore potential areas of scrutiny in the Mutual Evaluation (ME)
- Develop proactive measures to address potential weaknesses and align organizational practices with global compliance standards ahead of the ME assessment
- Gain actionable insights through case studies and risk management frameworks to enhance preparedness for evolving financial crime threats
- Align operational practices with international benchmarks to strengthen Hong Kong's role as a compliant and resilient financial hub



Hong Kong Institute of
Certified Public Accountants
香港會計師公會



**Institute of
Internal Auditors**
Hong Kong, China

About the speakers

Mr. Henry Lee

Associate Director, Forensic Investigation Unit, AIA Group

Mr. Lee is a seasoned forensic and financial crime compliance professional with over 15 years of experience across Asia, currently serving as Associate Director of the Forensic Investigation Unit at the AIA Group, leading employee and agency fraud investigations.

Previously at PwC Hong Kong, Mr. Lee specialized in Financial Crime Compliance and fraud investigations for financial institutions. He is also a member of the Hong Kong Institute of Certified Public Accountants (HKICPA) and Certified Fraud Examiner (CFE).

Mr. Jason Xie

Associate Director, Forensic Investigation Unit, AIA Group

Mr. Xie is the Associate Director of the Forensic Investigation Unit at AIA Group, with over 18 years of experience in corporate investigations, forensic technology, and anti-fraud programs. He leads complex cross-border investigations and conducts regular anti-fraud reviews to enhance AIA's fraud risk management. Previously, Mr. Xie was a Senior Manager at PwC's Forensic Services team. He is also a Certified Fraud Examiner (CFE).

Mr. Albert Lo

Partner, Deloitte China

Mr. Lo is a Partner of Deloitte in Hong Kong. He has broad professional experience in AML, National Risk Assessment (NRA), and Sanctions reviews for regulators, financial institutions, and other designated non-financial and professional business (DNFBP). He has been involved in various investigations and compliance reviews across numerous industries in various Asia-Pacific countries with a focus on anti-money laundering compliance review, fraud investigation, procurement fraud investigation, fraud risk review, SOX review, and corporate intelligence.

