



## Entities Listing on HKEX Series (October to December 2025)



The Hong Kong Stock Exchange (HKEX) marked a successful year 2024 with Hong Kong ranked as one of the world's top four IPO venues, with activity and fundraising volumes rising sharply from a year earlier. During the year 2024, HKEX IPOs raised a total of \$83 billion from 66 new listings, including the biggest IPO since 2021. Further, the reform of the GEM listing rule and the Chapter 18C for specialist technology paved the way for new listings in the coming year.

In addition, the launching of the new listing application process with the Securities and Futures Commission will shorten the processing timeframe for eligible companies listed in Mainland China and will definitely provide greater certainty and transparency for potential applicants and their advisers in formulating their listing plans. Further, the implementation of a new treasury share regime under the Listing Rule in June 2023 provides issuers with greater flexibility in capital management through share buy-backs and resales of treasury shares. All these changes will enhance and improve and solid in acting a growth energy for the Hong Kong and Mainland China capital market.

The Entities Listing on HKEX Series aims at providing participants the updates on key aspects for listing. The webinars will cover preliminary IPO planning considerations, IPO process, an updating on the recent Hong Kong IPO market, ESG report, internal control, updates on HKEX corporate governance and financial reporting rules and requirements etc.

Topic/ Programme code	Date and time	Competency*	Speakers
Session 1: General Principle in Preparing the Annual Report (EWEB25102702)	Monday, 27 October 2025 7:00 p.m. – 9:00 p.m.	Accounting and Financial Reporting	<b>Mr. Vincent Li</b>
Session 2: Latest Update on the Corporate Governance Code and the Related Listing Rules (EWEB25110601)	Thursday, 6 November 2025 7:00 p.m. – 9:00 p.m.	Corporate Governance	<b>Mr. Thomas Chung</b>
Session 3: Navigating A+H Listed Companies: Effective Board Performance via Company Secretaries and Corporate Governance (EWEB25111202)	Wednesday, 12 November 2025 7:00 p.m. – 9:00 p.m.	Corporate Governance	<b>Dr. Maurice Ngai</b>
Session 4: ESG and Climate Reporting: Mastering HKEX's Enhanced Requirements (EWEB25111301)	Thursday, 13 November 2025 7:00 p.m. – 9:00 p.m.	Sustainability	<b>Mr. Roy Fan Ms. Charlene Kwong Ms. Connie Chan</b>
Session 5: IPO Readiness: Assets Valuation Issues Related to Taking a Company Public (EWEB25111701)	Monday, 17 November 2025 7:00 p.m. – 9:00 p.m.	Corporate and Strategic Finance	<b>Mr. Oswald Au Mr. Paul Hau</b>



Topic/ Programme code	Date and time	Competency*	Speakers
Session 6: Navigating Through Financial Turbulence for Listed Companies and Hong Kong Restructuring and Insolvency Landscape (EWEB25111901)	Wednesday, 19 November 2025 7:00 p.m. – 9:00 p.m.	Insolvency	<b>Mr. Daniel Chow</b> <b>Mr. Alex Chan</b>
Session 7: Recent Update on Hong Kong Listing Requirements and Common Legal Issues on Hong Kong Listing (EWEB25112001)	Thursday, 20 November 2025 7:00 p.m. – 9:00 p.m.	Corporate Governance	<b>Mr. Anthony Wan</b> <b>Mr. Rocky Cheung</b>
Session 8: Financial Information for a HKEX Listing (EWEB25112401)	Monday, 24 November 2025 7:00 p.m. – 9:00 p.m.	Accounting and Financial Reporting	<b>Mr. Vincent Li</b>
Session 9: Decoding Corporate Wrongdoing: Independent Investigations and Latest Enforcement Trends (EWEB25112601)	Wednesday, 26 November 2025 7:00 p.m. – 9:00 p.m.	Insolvency	<b>Mr. Sean Lam</b>
Session 10: Internal Controls for Listing Applicants and Listed Companies (EWEB25112701)	Thursday, 27 November 2025 7:00 p.m. – 9:00 p.m.	Risk Management and Internal Control	<b>Mr. Kenneth Yuen</b>
Session 11: Latest Update on Hong Kong IPO Market and Listing Requirements for Special Technology Companies (EWEB25120301)	Wednesday, 3 December 2025 7:00 p.m. – 9:00 p.m.	Corporate and Strategic Finance Control	<b>Mr. Geoffrey Ng</b> <b>Mr. Rocky Cheung</b>
Session 12: IPO Readiness: ESG Issues Related to Taking a Company Public (EWEB25121001)	Wednesday, 10 December 2025 7:00 p.m. – 9:00 p.m.	Sustainability	<b>Mr. Oswald Au</b> <b>Mr. Jonathan Wong</b>

**Format** Webinar

**Language** Cantonese

**Fee** HKICPA member or student or IA: HK\$160  
**(For each session)** Non-member: HK\$360

*(An email will be sent to you when your registration is accepted. You can access the webinar by tablet, smart phone or PC.)*

**Participants** Chief Financial Officers (CFOs); Accountants; Corporate finance professionals; Financial advisers; Investor relations professionals; Compliance officers; Company secretaries

**Sub-competency\*** Analysing financial reports;  
Compliance with legal and regulatory requirements and corporate governance best practices;  
Understanding the relevancy and applicability of sustainability to business;  
Project valuation and financial due diligence;  
Designing internal control;  
Understanding of capital market and financial instruments/ hedging products

**Rating\*** Intermediate level

**CPD hours** 2  
**(for each session)**

\* Please refer to the [competencies and ratings for CPD events](#).



## About the programme

### Session 1: General Principle in Preparing the Annual Report (EWEB25102702)

This webinar will focus on general principles in preparing the annual report for companies listed in the Hong Kong Stock Exchange. Topics include:

- Mandatory disclosure requirements in the Listed Companies' annual report
- Financial disclosure requirement under the Listing Rules
- Other specified disclosure requirement under the Listing Rules

### Session 2: Latest Update on the Corporate Governance Code and the Related Listing Rules (EWEB25110601)

This webinar will focus on the update of Corporate Governance Code and related Listing Rules. Topics include:

- Board effectiveness
- Designation of lead INED
- Mandatory director training
- Board performance review
- Disclosure of board skills matrix
- Overboarding INED and directors' time commitment
- Independence of INEDs
- Independence of INEDs after nine years
- Board and workforce diversity
- Risk management and Internal controls
- Dividends

### Session 3: Navigating A+H Listed Companies: Effective Board Performance via Company Secretaries and Corporate Governance (EWEB25111202)

This webinar will focus on key strategies for effective performance of the board from the enhancement of the role and functions of company secretaries and the latest requirements for corporate governance. Topics include:

- Regulatory expectation for listed companies from the perspective of A share companies seeking a dual listing in Hong Kong
- The role and functions of company secretary and board secretaries under challenges of dual listing company
- Latest themes for corporate governance requirements and impact on A+H listed company
- Key strategies for effective board performance: A Company Secretary's perspective in A+H listed company

### Session 4: ESG and Climate Reporting: Mastering HKEX's Enhanced Requirements (EWEB25111301)

This webinar will focus on ESG and climate reporting: Mastering HKEX's enhanced requirements. Topics include:

- Latest developments in financial-related ESG disclosure requirements
- Key requirements in HKEX ESG Reporting Code (Appendix C2), with focus on the new climate requirements
- Key findings in SWCS ESG Research Paper 2025
- Challenges and core competencies for companies to meet HKEX's climate disclosure requirements



#### **Session 5: IPO Readiness: Assets Valuation Issues Related to Taking a Company Public (EWEB25111701)**

This webinar will focus on assets valuation in relation to listing on HKEX. Topics include:

- Valuation during pre-IPO for Listing Rules and financial reporting
- Listing Rules and disclosure requirement for assets valuation and subsequent merger and acquisition transactions
- Valuation approaches in pre-IPO and transactions
- Common queries from regulators

#### **Session 6: Navigating Through Financial Turbulence for Listed Companies and Hong Kong Restructuring and Insolvency Landscape (EWEB25111901)**

This webinar will focus on the update of restructuring and insolvency landscape in Hong Kong. Topics include:

- Current financial challenges facing by the listed companies
- Trends in debt restructuring
- Hong Kong insolvency framework
- Practical considerations for directors and advisors
- Case studies

#### **Session 7: Recent Update on Hong Kong Listing Requirements and Common Legal Issues on Hong Kong Listing (EWEB25112001)**

This webinar will focus on the recent update on the listing requirements under the Hong Kong Listing Rules, and the common legal issues that may be encountered during listing application. Topics include:

- Introduction to the timeline and preparation of listing on HKEX
- An update on the requirements for listing on HKEX
- Major legal issues that may be encountered during listing application

#### **Session 8: Financial Information for a HKEX Listing (EWEB25112401)**

This webinar will focus on key financial information for a HKEX listing. Topics include:

- Track record period
- Audited financial statements
- Interim financial information
- Pro forma financial information
- Pre-acquisition and post-acquisition information
- Discussion and analysis



### **Session 9: Decoding Corporate Wrongdoing: Independent Investigations and Latest Enforcement Trends (EWEB25112601)**

High-profile cases of corporate fraud and financial accounting scandals have highlighted the vulnerabilities faced by listed companies in relation to allegations of misconduct, encompassing issues such as earnings management, asset misappropriation, and fraudulent accounting practices. The increasing prevalence of these incidents, often accompanied by regulatory inquiries, underscores a critical need for proactive measures. Within this complex landscape, independent investigations serve as an essential tool for companies, enabling them to effectively respond to allegations and implement necessary corrective actions.

Topics include:

- Understanding the role of independent investigations in addressing allegations of wrongdoing
- Identifying common types of wrongdoing allegations relevant to Hong Kong-listed companies
- Providing practical guidance on handling allegations and implementing corrective measures
- Staying informed about recent enforcement trends and regulatory developments
- Case studies

### **Session 10: Internal Controls for Listing Applicants and Listed Companies (EWEB25112701)**

This webinar will focus on the latest corporate governance requirements for listing applicants and listed companies, and common internal control deficiencies in practice or resumption cases. Topics include:

- Relevant guidelines, rules and regulations of Practice Note 21, Securities and Futures Commission (SFC) Code of Conduct, AATB 1 and Listing Rules Appendix C1
- Latest corporate governance requirements for listing applicants and listed companies
- Committee of Sponsoring Organizations of the Treadway Commission (COSO) – Integrated Framework
- Areas of focus on corporate level controls and business level controls
- Internal control review process
- Handling internal control issues for the fulfilment of resumption guidance
- Common internal control deficiencies in practice or resumption cases

### **Session 11: Latest Update on Hong Kong IPO Market and Listing Requirements for Special Technology Companies (EWEB25120301)**

This webinar will focus on the latest update on the Hong Kong IPO market, and the listing requirements for special technology companies under chapter 18C of the Hong Kong Listing Rules. Topics include:

- The recent development on the Hong Kong IPO market
- The major opportunities and challenges of potential listing applicants
- The listing requirements for special technology companies under chapter 18C of the Hong Kong Listing Rules and the related interpretation and application

### **Session 12: IPO Readiness: ESG Issues Related to Taking a Company Public (EWEB25121001)**

This webinar will focus on ESG in relating to listing on HKEX. Topics include:

- ESG preparation and disclosure during Pre-IPO and IPR vesting
- Common queries from regulators during Pre-IPO and IPR vesting
- ESG ratings overview



Hong Kong Institute of  
**Certified Public Accountants**  
香港會計師公會

## About the speakers

**Mr. Vincent Li** *FCCA (Practising), ACA, FCCA, MBA, M.Sc.*

Senior Adviser, SFAI Hong Kong

Mr. Li has almost 40 years of experience in the fields of accounting, auditing, corporate finance, business strategies and development. He has extensive experience in providing audit and accounting services to international and multinational enterprises in Hong Kong and Mainland China. He also assisted various Hong Kong entities, state-owned enterprises and local entities in Mainland China with their listing on stock exchanges in Hong Kong, Singapore and Korea. In 2015, he successfully assisted a Beijing private enterprise Beijing Chunlizhengda (Stock Code: 1858) in listing its shares on HKEX and preparing the financial report of the company in accordance with PRC Generally Accepted Accounting Principles (GAAP). Further, Mr. Li was involved in the mergers and acquisitions (M&A) transactions of certain large Mainland China enterprises.

Mr. Li is a fellow member of the Hong Kong Institute of Certified Public Accountant (HKICPA), and member of the Association of Chartered Certified Accountants (ACCA) and the Institute of Chartered Accountants in England and Wales (ICAEW).

**Mr. Thomas Chung** *FCCA, CPA(Aust)*

Senior Vice President, SWCS Corporate Services Group (Hong Kong) Limited

Mr. Chung has over 20 years of experience in corporate secretary, mergers and acquisitions (M&A), financial reporting and auditing. Since June 2022, Mr. Chung has been serving in the corporate secretarial department of SWCS Corporate Services Group (Hong Kong) Limited and currently is the senior vice president of the company secretarial department, and is mainly responsible for managing the company secretarial and compliance work for companies listed on the Stock Exchange.

**Dr. Maurice Ngai** *Ph.D., MCF, MBA, LLB, CPA, FCCA, FCG(CS, CGP), HKFCG(CS, CGP)(P.E.), MCI Arb*

Director and CEO, SWCS Corporate Services Group (Hong Kong) Limited

Dr. Ngai is a top-notch governance professional, a regular popular speaker on corporate governance and risk management subjects, and has been acting in the capacity of an independent non-executive director (INED) of reputable listed companies on HKEX and SSE, and a specifically selected candidate from the "talent pool" of SASAC of the State Council for an INED and an external director of state-owned companies.

Dr. Ngai is now a Vice Chair of Professional Services Panel of the Hong Kong Chartered Governance Institute (HKCGI). He holds multiple positions in the Chamber of Hong Kong Listed Companies, including Vice Chairperson of the General Committee, Chairman of Membership Services of the Sub-Committees, a member of both Financial and Regulatory Affairs Committee and ESG Committee of the Sub-Committees. He is also a member of Governance Committee of Hong Kong Institute of Certified Public Accountants (HKICPA).

Dr. Ngai was the President of HKCGI (2014-2015), an unofficial member of Working Group on Professional Services under Economic Development Commission of HKSAR Government (2013-2018) and a member of the Qualification and Examinations Board of HKICPA (2013-2018), and had been appointed as the first session of Finance Expert Consultants of Ministry of Finance of the People's Republic of China since June 2016 until April 2021.

Dr. Ngai is a Fellow of HKCGI, a Fellow of the Chartered Governance Institute, a member of HKICPA, a Fellow of Association of Chartered Certified Accountants in the United Kingdom and a member of the Chartered Institute of Arbitrators. Dr. Ngai obtained a Doctoral Degree in Economics (Finance), a Master's Degree in Corporate Finance, a Master's Degree in Business Administration and a Bachelor's Degree in Law (honour).



**Mr. Roy Fan** *MSc, CWEM, BEAM Pro, Ex-United Nations Official*

Head of Sustainability, Climate Change and ESG Services, SWCS Corporate Services Group (Hong Kong) Limited

Mr. Fan has over 25 years of experience in the field of climate change and sustainable development. He is well versed in the latest environmental policies and trends at home and abroad, and has been serving over 200 major listing companies in HK and China encompassing various industries in sustainability management and ESG disclosures.

Previously, Mr. Fan has worked for international consulting firms, Big 4 audit firms, international banks, certification bodies, construction companies, green building assessment agencies, railroad companies, and international organizations such as United Nations Climate Change Secretariat (UNFCCC) for seven years.

**Ms. Charlene Kwong** *MSc, CEM, CAP, BEAM Pro, LEED AP, WELL AP*

Assistant Vice President of Sustainability, Climate Change and ESG Services, SWCS Corporate Services Group (Hong Kong) Limited

Ms. Kwong holds the Master of Science degree in Environmental Science in The University of Plymouth, UK. She specializes in the preparation of energy audit reports, carbon audit assessments in Hong Kong and Mainland China, and provides energy efficient strategies and low carbon development. Before joining SWCS, she had been involved in energy and carbon consulting works, specialized in the areas of energy audit, carbon footprint, green building assessment for government premises and private sectors. Charlene is a Carbon Audit Professional (certified by Association of Energy Engineers, BEAM Professionals (BEAM Pro) certified by The Hong Kong Green Building Council and LEED AP (Accredited Professional) certified by US Green Building Council.

**Ms. Connie Chan** *MSc, CCA, GSFP*

ESG Assistant Manager, SWCS Corporate Services Group (Hong Kong) Limited

Ms. Chan holds a Master of Science in Environmental Management from the University of Hong Kong and is a Certified Carbon Auditor and a Certified Green and Sustainable Finance Professional of Chartered Banker Institute. She is specializing in writing ESG reports for Hong Kong and Chinese companies, organizing stakeholder engagement and formulating sustainable development strategies for clients. Before joining this organization, Ms. Chan worked as an environmental consultant, specializing in areas such as product carbon footprint assessment, cleaner production, energy conservation and emission reduction. She also has extensive experience in organizing several large-scale seminars and training on carbon footprint, cleaner production, energy conservation and emission reduction. Ms. Chan also obtained the GRI Certified Training Program Certificate.

**Mr. Oswald Au** *MHKIS, AAPI, RPS(GP), CSDP, CEP®, Certified Carbon Auditor, Trader on Carbon Emissions*

Managing Director, Masterpiece Valuation Advisory Limited and Riskory Consultancy Limited

Mr. Au has more than 20 years' professional experience in the business valuation, fixed assets valuation, financing and merger and acquisition (M&A) projects, covering the PRC, Hong Kong, major South-East Asia countries/ regions, Japan, Korea, Mongolia, United States, Europe and Australia, etc. He is a qualified valuer and accountant for IPOs and M&A, with extensive experience in business valuation. He has signed for over 100 valuation reports prepared for IPOs and transactions. Therefore, he has sophisticated knowledge in the compliance requirements from the regulators, and capital market activities. He has been invited by The Hong Kong University of Science and Technology to deliver guest lecture and The Chinese University of Hong Kong as adjunct lecturer for postgraduate students.

Mr. Au is also a pioneer in sustainability and carbon neutrality advisory, providing ESG-related professional services since 2013. With over 20 years of experience in providing business consulting and risk advisory services to listed companies and international corporations, he has advised clients across multiple sectors and countries, specializing in sustainability ratings and standards such as SBTi, EcoVadis, MSCI ESG, and CDP. He is the first batch of Trader on Carbon Emissions certified by The Shanghai Environment and Energy Exchange.

Mr Au is currently the ESG Technical Advisor to the International Chamber of Sustainable Development, and was invited to be an adjunct lecturer of The Chinese University of Hong Kong. Widely recognized for his leadership and academic contributions, he is dedicated to advancing ESG practices and equipping organizations and professionals to meet evolving sustainability challenges.



**Mr. Paul Hau** *FCPA, HKCGI Cert:ESG*

Director, Masterpiece Valuation Advisory Limited

Mr. Hau has more than 10 years' professional experience in the business valuation, asset valuation, financing and merger and acquisition (M&A) projects, covering the PRC, Hong Kong, Japan, etc. He has participated over 100 business valuations for transaction and financial reporting purpose, and have all-rounded experience in business valuation. He previously worked in Big Four assurance team and had comprehensive experience in the requirements of the financial reporting standards and listing rules. He has practical experience in Long Service Payment (LSP) assessments, complementing his strong technical skills in corporate valuation and regulatory reporting. His diverse background covers industries such as telecommunications, property, e-commerce, environmental solutions, manufacturing, retail, financial services, food and beverage, and logistics.

Mr. Hau is a Fellow Member of The Hong Kong Institute of Certified Public Accountants (HKICPA) and holder of The Hong Kong Chartered Governance Institute (HKCGI) ESG Reporting Certificate.

**Mr. Daniel Chow**

Senior Managing Director, FTI Consulting (Corporate Finance and Restructuring)

Mr. Chow has more than 30 years of financial reporting, corporate restructuring and investigation experience, including internal investigations, litigation support, liquidations, receiverships, financial reviews and personal insolvencies. His industry experience includes real estate, building management, electronic manufacturing, apparel and retail. As a chartered secretary, Mr. Chow is knowledgeable in the requirements of the HKEX Listing Rules and other relevant financial regulations. He possesses hands-on experience in managing corporate finance related matters and has advised on the provisional liquidations and receiverships of several HKEX listed companies, as well as assisted in the successful resumption of trading for several HKEX listed companies (both on the Main and GEM Boards).

In addition to assisting distressed listed companies in debt restructuring and trading resumption, Mr. Chow is experienced in conducting investigations and providing litigation support, including investigating and reporting for listed companies on transactions with qualified opinions from relevant regulatory agencies or auditors and assisting listed companies in internal control review as requested by the regulatory agencies. He is also involved in various financial investigations and reporting for group companies on fund flows of their subsidiaries or joint ventures.

Mr. Chow is also experienced in taking on appointments arising from family and shareholders' disputes and is able to provide independent advice and pragmatic solutions to resolve situations and disputes. He has handled numerous liquidation and receivership appointments in Hong Kong, the British Virgin Islands, Bermuda, the Cayman Islands and Samoa, and has conducted corporate workout, restructuring, operational turnaround and due diligence assignments in Hong Kong, Mainland China, Taiwan and Singapore.

Mr. Chow is a Certified Public Accountant possessing Specialist Designation in Insolvency, a Chartered Management Accountant, a Chartered Secretary, a Chartered Governance Professional, and a Certified Fraud Examiner.

**Mr. Alex Chan**

Managing Director, FTI Consulting (Corporate Finance and Restructuring)

Mr. Chan is a Managing Director in the Corporate Finance and Restructuring segment and is based in Hong Kong. He specializes in restructuring and insolvency services and has more than 10 years of experience in restructuring and insolvency enforcement.

Mr. Chan has been involved in a variety of restructuring and insolvency engagements and has managed several sizable property receiverships. In addition to insolvency enforcement, he possesses extensive experience and knowledge in debt restructuring. He has also supported numerous listed companies in conducting debt restructurings through schemes of arrangement, representing companies in presenting the estimated outcomes of compulsory liquidation to creditors and aiding boards in negotiating restructuring terms.

Mr. Chan holds a B.B.A. from Hong Kong Baptist University and is a licensed member of the Hong Kong Institute of Certified Public Accountants (HKICPA), the Association of Chartered Certified Accountants (ACCA) and the Institute of Chartered Accountants in England and Wales (ICAEW).



### **Mr. Anthony Wan**

Partner, King & Wood Mallesons

Mr. Wan specializes in corporate finance, cross-border mergers and acquisitions, public takeovers and equity capital markets. He has more than 20 years of experience advising clients in relation to transactions in Hong Kong, Mainland China and elsewhere in Asia.

Mr. Wan is admitted as a solicitor in Hong Kong. Prior to joining King & Wood Mallesons, he was a partner at a leading Mainland China law firm, and before that a consultant at a magic circle law firm in Hong Kong. Mr. Wan is also a chartered accountant with six years of experience at a Big Four international accounting firm, where he advised financial institution clients including retail banks, international investment banks and asset management companies on audit, assurance and internal control matters.

### **Mr. Rocky Cheung**

Senior Associate, King & Wood Mallesons

Mr. Cheung focuses his practice on corporate finance, cross-border mergers and acquisitions, public takeovers and corporate compliance matters. In particular, Mr. Cheung advises issuers and sponsors in relation to initial public offerings on HKEX, post-listing transactions and corporate compliance. He assisted the listing of SHENZHEN DOBOT CORP LTD (stock code: 2432), the first H share company listed on HKEX under Chapter 18C of the Hong Kong Listing Rules.

Mr. Cheung is admitted as a solicitor in Hong Kong. Prior to joining King & Wood Mallesons, he worked in an international law firm and focused on corporate finance matters.

### **Mr. Sean Lam**

Senior Managing Director, FTI Consulting

Mr. Lam is a Senior Managing Director in the Forensic and Litigation Consulting segment of FTI Consulting. He is a Certified Fraud Examiner and a Certified Anti-Money Laundering Specialist, and he is a member of CPA Australia. As a Management Committee member of the Forensics Interest Group within the Hong Kong Institute of Certified Public Accountants, he actively contributes to the advancement of forensic specialization in the profession.

With over nineteen years of professional experience in forensic accounting, fraud investigation, and litigation support, Mr. Lam specializes in high-stakes, cross-jurisdictional engagements that encompass white-collar crime investigations, regulatory compliance, commercial litigation, whistleblower investigations, fund tracing analysis, and forensic accounting reviews.

Mr. Lam has extensive experience conducting forensic investigations into accounting irregularities involving both Hong Kong and foreign listed companies, particularly those with principal business operations in Hong Kong and the People's Republic of China. In this capacity, he regularly utilizes his commercial, technical accounting, and finance skills in both contentious and non-contentious scenarios. His work involves examining and analysing financial statements, evaluating and reconstructing historical financial, operational, and transactional data, as well as performing comprehensive reviews and analyses to verify the accuracy and completeness of accounting records. Additionally, he has served as a forensic accounting expert in court and international arbitration proceedings.



**Mr. Kenneth Yuen** *CPA, FCCA, BBA, MPA, MBS*  
Director, ZHONGHUI ANDA Risk Services Limited

Mr. Yuen has more than 15 years of experience in providing external audit, corporate governance advisory, internal control review, enterprise risk management, forensic investigation, financial due diligence and ESG reporting services for numerous Hong Kong listed companies for the purposes of IPO, group restructuring, mergers and acquisitions, annual reporting and fulfilment of resumption guidance.

Mr. Yuen has expertise in conducting internal control review engagements for clients from a wide range of industries and has extensive experience in handling internal control issues for IPO engagements and resumption cases of listed companies.

Mr. Yuen holds a Bachelor of Business Administration (BBA) degree in Accounting from Hong Kong Baptist University. He also holds a Master of Professional Accounting (MPA) degree from The Hong Kong Polytechnic University and a Master of Business Systems (MBS) degree from Monash University. He is an associate member of the Hong Kong Institute of Certified Public Accountants (HKICPA) and a fellow member of the Association of Chartered Certified Accountants (ACCA).

**Mr. Geoffrey Ng**  
Associate Director, Orient Capital (Hong Kong) Limited

With a background in auditing, corporate finance, and venture capital, Mr. Ng is a finance professional with over 12 years of experience advising on transactions in Hong Kong, China and the Middle East. His core expertise lies in structuring market entry strategies and strategic partnerships for the Middle East market. Mr. Ng has also led landmark IPOs in Hong Kong, including the first Chapter 18C H-share listing (Shenzhen Yuejiang Technology, 2432.HK) and the first listed humanoid robotics company (UBTech, 9880.HK).

Mr. Ng is a Certified Public Accountant (CPA). Prior to his current role at Orient Capital (Hong Kong) Limited, he served at several other Chinese investment banks and a major international accounting firm.

**Mr. Jonathan Wong** *Certified Carbon Auditor, Trader on Carbon Emissions, CFA Sustainable Investing Certificate, SCR®, BEAM Affiliate*  
Senior Manager, Riskory Consultancy Limited

Mr. Wong has over 8 years of professional experience in ESG, carbon neutrality, and risk advisory services, supporting companies across the PRC, Hong Kong, France, Japan, and beyond. He has led or contributed to more than 100 related projects, gaining extensive expertise in sustainability strategy and corporate governance. He also serves as a reviewer for the Best Corporate Governance and ESG Awards of the Hong Kong Institute of Certified Public Accountants and is a guest lecturer for the MSc in Sustainable Global Business Programme at The Chinese University of Hong Kong. In addition, he belongs to the first batch of certified Traders on Carbon Emissions accredited by the Shanghai Environment and Energy Exchange.

