



Lunch seminar:

Event code: SCPD25092301

## Investigations to support resumption of trading for long suspended issuers

### About the topic

In November 2024, the Hong Kong Exchanges and Clearing Limited (HKEX) published a *Guidance on investigations conducted by long suspended issuers (HKEX-GL 120-24)*. It sets out the HKEX's expectations on an independent investigation that a long suspended issuer is required to conduct to address the irregularities, and importantly, highlights certain defects observed in past investigations that raised concerns about the completeness and accuracy of the investigation work. The guidance paper is crucial reference for suspended issuers intending to apply for resumption of trading, and for forensic accountants and other professionals who are engaged to carry out the requisite investigations.

In this seminar, Ms. Heidi Yao, Vice President of the Listing Division of the HKEX, will give an overview of this guidance paper and share her observations in reviewing the investigation reports.

### About the event

<b>Date &amp; Time</b>	<b>Tuesday, 23 September 2025</b> 1:00 p.m. – 2:00 p.m.
<b>Venue</b>	HKICPA training centre, 27th Floor, Wu Chung House, 213 Queen's Road East, Wanchai
<b>Fee</b>	<ul style="list-style-type: none"><li>• ForensIG members / ACFE members: HK\$230</li><li>• HKICPA member or student; and IA: \$270</li><li>• Non-member: HK\$430</li></ul> <p><i>Priority is given to ForensIG members. Not a ForensIG member? Click <a href="#">here</a> to join ForensIG. If you are a non-member of the Institute, please register as a new web member first before completing an online registration as a ForensIG member.</i></p>
<b>HKICPA CPD credit</b>	1 hour (subject to actual attendance)
<b>Language</b>	English
<b>Competency</b>	Forensic accounting*
<b>Rating</b>	Intermediate level*
<b>Application deadline</b>	<b>Online enrolment by 18 September 2025</b> (offline enrolment by 17 September 2025)

### Rundown

<b>12:30 pm</b>	Registration ( <i>Note</i> )
<b>1:00 pm</b>	Introduction
<b>1:05 pm</b>	Presentation
<b>1:50 pm</b>	Q&A / Discussion
<b>2:00 pm</b>	Closing

*Note: Refreshments and drinks will be provided for the seminar*

### Speaker

#### Heidi Yao

Vice President, Listing Regulation and Enforcement, Listing Division, Hong Kong Exchanges and Clearing Limited

### Chair

#### Sean Lam

Member, ForensIG Management Committee, and Senior Managing Director, Hong Kong, FTI Consulting

\* Please refer [here](#) for descriptions of the various ratings.



## About the speaker

### Heidi Yao

**Vice President, Listing Regulation and Enforcement, Hong Kong Exchanges and Clearing Limited**

Ms. Yao is a Vice President from the Listing Division of the HKEX, which conducts the day-to-day administration of the Listing Rules, as part of the Exchange's role as the front-line regulator of listed companies in Hong Kong.

Prior to joining the HKEX in 2007, Ms. Yao worked as an auditor in an international audit firm. She has been working in the long suspension team since 2018 and now leads a team of around 9 people focusing on handling long suspended issuers.

## Registration

- **Online enrolment by 18 September; offline enrolment by post or e-mail by 17 September:**

Complete the enrolment form and return it to the Institute by 17 September, together with full payment. Cheque should be made payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA". E-mail registration will be accepted for payment by any VISA/ Master card or the BOC HKICPA UnionPay card.

**You may consider your registration to be successful unless you receive notification from us to the contrary.**

- **Contact Ms. Kate Wong at 2287-7009 for enquiry.**

## Remarks

### Disclaimer

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- The Institute takes no responsibility for any loss incurred by any person acting or refraining from acting as a result of participation in the event.