



A+H Regulatory and Compliance Series (September to October 2025)

LIVE
WEBINAR

As Hong Kong welcomes more and more A+H listings, a basic understanding of the similarities and differences of the A and H regulatory and compliance rules is becoming more and more important, for practitioners who have to deal with A+H listed clients as well as for those who wish to be appointed as a director or company secretary of A+H listed issuers. The new A+H Regulatory and Compliance Series aims to achieve this purpose.

In each topic, the basic H share listing rules (Hong Kong listing rules), for example, the rules on connected transactions, will be compared with the A share listing rules (Shanghai and Shenzhen listing rules) to show some of the major differences and similarities on the connected transaction rules of the two regulatory regimes. As usual, cases will also be discussed as appropriate. For the time being, the new series will cover only three topics, namely, information disclosure, connected transactions, and annual report preparation.

Topic/ Programme code	Date and time	Speakers
A+H Disclosure of Information (EWEB25091501)	Monday, 15 September 2025 7:00 p.m. – 9:00 p.m.	Mr. P.H. Chik Former partner of Baker McKenzie, Beijing office and Linklater, Beijing office
A+H Connected Transactions (EWEB25092201)	Monday, 22 September 2025 7:00 p.m. – 9:00 p.m.	
A+H Annual Report Preparation (EWEB25100301)	Friday, 3 October 2025 7:00 p.m. – 9:00 p.m.	Mr. Vincent Li Senior Advisor, SFAI Hong Kong



Format	Webinar
Language	Cantonese
Fee (for each session)	HKICPA member or student or IA: HK\$160 Non-member: HK\$360 <i>(An email will be sent to you when your registration is accepted. You can access the webinar by tablet, smart phone or PC.)</i>
Participants	Accountants; Corporate finance professionals; Financial advisers; Investor relations professional; Compliance officers; Company secretaries
Competency*	Corporate and strategic finance; Corporate governance
Sub-competency*	Understanding of capital market and financial instruments/ hedging products; Compliance with legal and regulatory requirements and corporate governance best practices
Rating* (for each session)	Intermediate level
CPD hours (for each session)	2

* Please refer to the [competencies and ratings for CPD events](#).

About the programme

A+H Disclosure of Information (EWEB25091501)

This webinar will focus on A+H disclosure of information. Topics include:

- 內地信息披露的相關法規及指引
- 信息披露比較
 - “內幕消/信息” 的定義
 - 內幕信息形成時點
 - 內幕消息/重大事件的披露時點
 - 披露責任
 - 信息披露義務人
 - 信息披露義務人的信息披露責任
 - 披露方式
 - 安全港
 - 合理措施
 - 信息披露考評



A+H Connected Transactions (EWEB25092201)

This webinar will focus on A+H connected transactions. Topics include:

- 内地关联交易的相关法规及指引
- 内地上市规则关联自然人和关联法人的认定
- 内地各板块股票上市规则与香港上市规则关联交易规则的对比
 - 关联交易相关的基本概念对比
 - 关联自然人认定范围的差异
 - 关联法人认定范围的差异
 - 无偿接受关联方担保或财务资助
 - 上市公司向关联方提供财务资助
 - 与关联方共同投资行为
 - 关联方认购新增注册资本

A+H Annual Report Preparation (EWEB25100301)

The webinar will focus on annual report for A+H share companies. Topics include the disclosure requirement on:

- Company profile and key financial indicators
- Management discussion and analysis
- Corporate governance, environment, and society
- Important events
- Share changes and shareholder information
- Financial reports

About the speakers

Mr. P.H. Chik

Former partner of Baker McKenzie, Beijing office and Linklaters, Beijing office

Mr. Chik is a former partner of several international law firms before his retirement in 2018. He has extensive experience in IPO and post-listing compliance work. After his retirement, he worked as an adjunct professor of the Department of Accountancy of CityU of Hong Kong. From July 2021 to Jan 2023, he worked as a part-time consultant with the Hong Kong office of a US firm, providing training and advice on Hong Kong corporate governance and securities rules.

Mr. Vincent Li

Senior Adviser, SFAI Hong Kong

Mr. Li has almost 40 years of experience in the fields of accounting, auditing, corporate finance, business strategies and development. He has extensive experience in providing audit and accounting services to international and multinational enterprises in Hong Kong and Mainland China. He also assisted various Hong Kong entities, state-owned enterprises and local entities in Mainland China with their listing on stock exchanges in Hong Kong, Singapore and Korea. In 2015, he successfully assisted a Beijing private enterprise Beijing Chunlizhengda (Stock Code: 1858) in listing its shares on HKEX and preparing the financial report of the company in accordance with PRC Generally Accepted Accounting Principles (GAAP). Further, Mr. Li was involved in the mergers and acquisitions (M&A) transactions of certain large Mainland China enterprises.

Mr. Li is a fellow member of the Hong Kong Institute of Certified Public Accountant (HKICPA), and member of the Association of Chartered Certified Accountants (ACCA) and the Institute of Chartered Accountants in England and Wales (ICAEW).

