

CFIG E-learning webinar: HKEX on recent market developments and Listing Rule changes and proposals

Event code: EWEB25021201

About the topic

In recent years there have been continuous changes to the listing rules, the Corporate Governance Code and other rules and requirements for listed companies, as Hong Kong markets evolve and adapt to a rapidly changing business environment. Against this backdrop we are delighted to invite Paul Malam, Senior Vice President, Head of Policy and Secretariat Services, Listing Division and Kelly Lee, Senior Vice President, Policy and Secretariat Services, Listing Division from Hong Kong Exchanges and Clearing Limited (HKEX) to provide their insights on these changes and market developments, including:

- Latest changes to the Corporate Governance Code and Listing Rules on board effectiveness, independence, and diversity, as well as risk management and internal control, and capital management - which will take effect from 1 July 2025.
- Issuer diversification, including Special Purpose Acquisition Companies (SPACs) and Specialist Technology Companies.
- Paperless listing, including future plans for expanded paperless listing reforms.
- Discussion on HKEX's recent report, "2024 Analysis of ESG Practice Disclosure"

About the event

Date & Time	Wednesday, 12 February 2025 1:00 p.m. – 2:00 p.m.
Format	Live webinar
Fee	<ul style="list-style-type: none"> • CFIG member: HK\$160 • HKICPA member or student; and IA: \$200 • Non-member: HK\$360 <p><i>Priority is given to CFIG members. Not a CFIG member? Click here to join. CFIG membership is open to all members of the Institute.</i></p>
CPD hours	1 hour (subject to actual attendance)
CPT credit	Please check with your compliance officer or other relevant personnel of your firm as this event may be acceptable for CPT recognition for SFC licensed persons
Language	English
Competency	Industry Knowledge *
Sub-competency*	Understanding of capital market and financial products, business and product knowledge
Rating	Intermediate level*
Application Deadline	10 February 2025 (offline enrolment by 7 February 2025)

Rundown

1:00 pm	Introduction
1:05 pm	Presentation
1:50 pm	Q&A / Discussion
2:00 pm	Closing

Speakers

Paul Malam

Senior Vice President, Head of Policy and Secretariat Services, Listing Division, HKEX

Kelly Lee

Senior Vice President, Policy and Secretariat Services, Listing Division, HKEX



About the speakers

Paul Malam

Senior Vice President, Head of Policy and Secretariat Services, Listing Division, HKEX

Mr. Paul Malam is the Head of Policy and Secretariat Services of Listing Division at Hong Kong Exchanges and Clearing Limited ("HKEX"). Prior to joining HKEX in 2006, Paul worked for the London Stock Exchange's Listing Division and subsequently the Markets Division of the UK Financial Services Authority (now Financial Conduct Authority) on policy initiatives and monitoring issuers' compliance with UK Listing Rule continuing obligations. At HKEX, Paul developed and implemented several listing reforms and regimes, including the 2018 Listing Reforms (Chapters 8A, 18A and 19C), the SPAC listing regime, the Specialist Technology Company regime and the recent GEM Review.

Kelly Lee

Senior Vice President, Policy and Secretariat Services, Listing Division, HKEX

Ms. Kelly Lee joined Hong Kong Exchanges and Clearing Limited ("HKEX") in 2013. She works within the Policy and Secretariat Services Unit of the Listing Division, and has been involved in HKEX's major listing policy. She is leading the corporate governance, diversity and ESG regulatory initiatives in recent years. Ms. Lee is currently a member of the Sustainability Committee and the Sustainability Disclosure Standards Committee of the Hong Kong Institute of Certified Public Accountants. She is also an advisor to the Climate Change Framework for Building and Construction initiative under the Sustainable Development Committee of the Hong Kong Green Building Council.

Registration

- **Online enrolment by 10 February 2025; offline enrolment by post or e-mail also by 7 February 2025:**

Complete the enrolment form and return it to the Institute by 7 February 2025, together with full payment. Cheque should be made payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA". E-mail registration will be accepted for payment by any VISA/ Master card or the BOC HKICPA UnionPay card.

You may consider your registration to be successful unless you receive notification from us to the contrary.

- Upon successful enrolment, the meeting URL and login password will be provided nearer the event date.
- **Contact Mr. Marco Yip at 2287-7009 for enquiry.**

Remarks

Disclaimer

- The opinions expressed by external guest speakers are, by their nature, those of the speaker. They are not necessarily endorsed by the Institute or reflect the official policies and views of the Institute or its members.
- The Institute does not endorse or recommend any products or services and is not responsible for any loss or damage arising from using such products or services.
- Participants are reminded that there is a wide range of products or services available in the market. Participants should carry out their own research and obtain independent advice before subscribing any products or services.
- The Institute takes no responsibility for any loss associated to any person acting or refraining from acting as a result of participation in the event.