



CFG Seminar: HKEX on recent market developments and Listing Rule changes and proposals

Event code: SCPD24120901

About the topic

In recent years there have been a wealth of changes to the listing rules, the Corporate Governance Code and other rules and requirements for listed companies, as Hong Kong markets evolve and adapt to a rapidly changing business environment. Against this backdrop we are delighted to invite Paul Malam, Senior Vice President, Head of Policy and Secretariat Services, Listing Division and Joanne Lun, Vice President of Policy and Secretariat Services, Listing Division from Hong Kong Exchanges and Clearing Ltd. (HKEX) to provide their insights on these changes and market developments, including:

- Recent proposed changes to the Corporate Governance Code including initial feedback on proposals.
- Issuer diversification, including Special Purpose Acquisition Companies (SPACs) and Specialist Technology Companies.
- The recent Growth Enterprise Market (GEM) review; and
- Paperless listing, including future plans for expanded paperless listing reforms.

About the programme

Date & Time	Monday, 9 December 2024 1:00 p.m. – 2:00 p.m.
Venue	HKICPA training centre, 27th Floor, Wu Chung House, 213 Queen's Road East, Wanchai
Fee	<ul style="list-style-type: none"> • CFG member: HK\$230 • HKICPA member or student; and IA: \$270 • Non-member: HK\$430 <p><i>Priority is given to CFG members. Not a CFG member? Click here to join. CFG membership is open to all members of the Institute.</i></p>
CPD hours	1 hour (subject to actual attendance)
CPT credit	Please check with your compliance officer or other relevant personnel of your firm as this event may be acceptable for CPT recognition for SFC licensed persons
Language	English
Competency	Industry Knowledge *
Sub-competency*	Understanding of capital market and financial products, business and product knowledge
Rating	Intermediate level*
Application Deadline	5 December 2024 (offline enrolment by 4 December 2024)

Rundown

12:30 pm	Registration (Note)
1:00 pm	Introduction
1:05 pm	Presentation
1:50 pm	Q&A / Discussion
2:00 pm	Closing

Note: Refreshments will be provided at the seminar

Speakers

Paul Malam

Senior Vice President, Head of Policy and Secretariat Services, Listing Division, HKEX

Joanne Lun

Vice President of Policy and Secretariat Services, Listing Division, HKEX

* Details of [Competency and Rating](#) information



About the speakers

Paul Malam

Senior Vice President, Head of Policy and Secretariat Services, Listing Division, HKEX

Mr. Paul Malam is the Head of Policy and Secretariat Services of Listing Division at Hong Kong Exchanges and Clearing Limited ("HKEX"). Prior to joining HKEX in 2006, Paul worked for the London Stock Exchange's Listing Division and subsequently the Markets Division of the UK Financial Services Authority (now Financial Conduct Authority) on policy initiatives and monitoring issuers' compliance with UK Listing Rule continuing obligations. At HKEX, Paul developed and implemented several listing reforms and regimes, including the 2018 Listing Reforms (Chapters 8A, 18A and 19C), the SPAC listing regime, the Specialist Technology Company regime and the recent GEM Review.

Joanne Lun

Vice President of Policy and Secretariat Services, Listing Division, HKEX

Ms. Joanne Lun is Vice President of Policy and Secretariat Services of Listing Division at HKEX, responsible for developing and implementing listing policies, including the SPAC listing regime and the Specialist Technology Company regime. Prior to joining HKEX in 2021, Ms. Lun was a senior corporate lawyer in private practice for over ten years. She is qualified as a solicitor in Hong Kong and an attorney at law in New York.

Registration

- **Online enrolment by 5 December 2024; offline enrolment by post or e-mail also by 4 December 2024:**
Complete the enrolment form and return it to the Institute by 4 December 2024, together with full payment. Cheque should be made payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA". E-mail registration will be accepted for payment by any VISA/ Master card or the BOC HKICPA UnionPay card.
You may consider your registration to be successful unless you receive notification from us to the contrary.
- **Contact Mr. Marco Yip at 2287-7009 for enquiry.**

Remarks

Disclaimers:

- The opinions expressed by external guest speakers are, by their nature, those of the speaker. They are not necessarily endorsed by the Institute or reflect the official policies and views of the Institute or its members.
- The Institute does not endorse or recommend any products or services and is not responsible for any loss or damage arising from using such products or services.
- Participants are reminded that there is a wide range of products or services available in the market. Participants should carry out their own research and obtain independent advice before subscribing any products or services.
- The Institute also takes no responsibility for any loss associated to any person acting or refraining from acting as a result of participation in the event.