

HKICPA/ IIA HK Joint Webinars – Internal Audit Series

(October 2024)

**LIVE
 WEBINAR**

HKICPA and The Institute of Internal Auditors Hong Kong (IIA Hong Kong) will jointly organize a series of webinars – Internal Audit Series – to cover the trends and forward-looking technologies to help IA add value to businesses. Join this series of webinars to strengthen your knowledge and deepen your relevant skills and competencies on these topics.

Topic/ Programme code	Date and time	Rating*	Competency	Language	Speakers
Cybersecurity: From a Cost Centre to a Value Driver (EWEB24101001)	Thursday, 10 October 2024 12:30 p.m. – 2:00 p.m.	Foundation	Information technology; Industry knowledge; Risk management and Internal Control	English	Mr. Andy Ng Partner, Deloitte
ESG Fundamentals and Risks and Controls (EWEB24101601)	Wednesday, 16 October 2024 12:30 p.m. – 2:00 p.m.	Foundation to intermediate	Sustainability; Risk management and Internal Control	English	Mr. Harry Yan Partner, Sustainability and Climate, Deloitte Sustainability Ms. Lois Liu Manager, Sustainability and Climate, Deloitte Sustainability
Utilizing Technology for Internal Audit and Continuous Monitoring (EWEB24102302)	Wednesday, 23 October 2024 12:30 p.m. – 2:00 p.m.	Intermediate to advanced	Risk management and internal control; Auditing and assurance; Information technology; Corporate governance	Cantonese	Ms. Doris Lam Director, Protiviti Ms. Stephanie Leung Associate Director, Protiviti



Format	Webinar
Fee (For each session)	HKICPA member or student or IA, IIA HK member: HK\$160^ Non-member: HK\$360 <i>(An email will be sent to you when your registration is accepted, which will include the login details for the webinar. You can access the webinar by tablet, smart phone or PC.)</i>
Participants	Company directors; Accountants; Internal auditors; Internal control or risk management practitioners
Sub-competency*	Business and product knowledge; Data management and analysis; Designing internal controls; Establishing good corporate governance practices and procedures Identifying and managing risks; Monitoring performance and accountability; Operationalizing and incorporating into decision making; Technology-related upgrades and implementations; Understanding the relevancy and applicability of sustainability to business
CPD hours (for each session)	1.5

[^] IIA HK member please enrol through IIA HK in order to enjoy member rate.

^{*} Please refer to the [competencies and ratings for CPD events](#).

About the programme

Cybersecurity: From a Cost Centre to a Value Driver (EWEB24101001)

As businesses are aware, cyber risk is a board-level with the capacity to significantly impact operations, finances, and reputation. The increasing reliance on technology, the vast proliferation of data, the evolving threat landscape, and the ever-increasing regulatory scrutiny further exacerbate the challenge faced by businesses. However, with the introduction of local cybersecurity legislation, how can companies effectively navigate these challenges? Moreover, how can we change the perception of cybersecurity from being a cost center to becoming a value driver?

Topics include:

- An overview of cyber risk, the global threat landscape and the shifts in local regulations
- Case studies of the challenges faced by organizations and how they are being managed
- Guidance on transforming how companies perceive cybersecurity

After joining this webinar, participants will:

- Develop an insight into the cybersecurity threat landscape
- Be familiar with the risks that businesses face, their impacts, and typical solutions
- Identify areas where cybersecurity can foster confidence and trust



ESG Fundamentals and Risks and Controls (EWEB24101601)

This webinar aims to enhance participants' understanding and awareness of the pivotal role internal audit plays in addressing sustainability megatrends and enhancing environmental, social, and governance (ESG) practices within organizations. Topics include:

- Data management and controls – To collect, analyze, and control sustainability data for metrics, targets, and disclosures. Robust internal controls over sustainability information are the key to drive transformation and ensure reliable reporting to stakeholders
- Risk management and reporting – To identify risks and controls within the ESG context and track progress in sustainability efforts effectively, to foster transparency and accountability through goal setting, tracking, and reporting
- ESG strategy integration – Materiality assessments, enhanced governance structures and an ESG-focused internal controls framework are all crucial to integrate ESG strategies with business models

Utilizing Technology for Internal Audit and Continuous Monitoring (EWEB24102302)

In today's fast-paced business environment, where risks are ever-evolving and data is abundant, leveraging technological advancements can significantly enhance the effectiveness of audit functions.

The increasing ease of use and advancements in analytics tools, automation, and data science technologies have led many internal audit organizations to focus more on utilizing these technology tools. This shift aims to leverage data analytics for delivering proactive, efficient, and effective assurance.

This webinar will delve into how adopting technology can lead to a more dynamic approach to internal audit, and achieve continuous monitoring – using technology to provide ongoing assurance over controls and operational effectiveness. Speakers will also highlight the importance of a robust data governance framework for successful integration and management. Topics include:

- Benefits of adopting analytics tools in the internal audit process
- A deeper dive into process mining technology and its practical applications
- Progressing toward continuous monitoring
- Challenges faced when implementing audit technologies
- Further insights on the data governance framework



Hong Kong Institute of
Certified Public Accountants
香港會計師公會



**Institute of
Internal Auditors**
Hong Kong, China

About the speakers

Mr. Andy Ng

Partner, Deloitte

Mr. Ng has over 20 years' experience in the field of cybersecurity and has held various regional roles across the globe for consulting and technology companies. Previously based in the UK for most of his life, he recently returned to his birthplace and joined Deloitte Hong Kong as a partner in the cyber practice.

Mr. Ng has extensive experience in managing projects across the breadth of disciplines within cyber and has helped organizations with cyber transformations that drive business value. He is known in the industry as a thought leader in data loss prevention having developed methodologies that the market now adopts. He also specializes in creating successful alliances between technology and consulting businesses.

Mr. Ng has served clients from a variety of industries, including financial services, telecommunications, media, technology and life sciences.

Mr. Harry Yan

Partner, Sustainability and Climate, Deloitte Sustainability

Mr. Yan boasts 16 years of experience in assurance and advisory services for financial service organizations. He has managed large-scale projects in Hong Kong, focusing on governance and product compliance, and recently guided financial institutions on sustainability-themed transactions. Mr. Yan has also led ESG assurance and advisory projects for major international financial institutions.

Ms. Lois Liu

Manager, Sustainability and Climate, Deloitte Sustainability

Ms. Liu possesses nearly a decade of experience in ESG consulting, specializes in internal control advisory, risk management, and sustainability. She has advised clients on regulatory compliance, governance, and ESG strategies, while also developed tailored solutions to enhance sustainability performance and industry-leading ESG frameworks.

Ms. Doris Lam

Director, Protiviti

Ms. Lam specializes in internal audit and business risk consulting. With over 15 years of business consulting experience, Ms. Lam is experienced in managing internal audit functions for multinational companies and Hong Kong listed companies to improve their internal control system, report audit results and risk exposures to client's audit committees and senior management. She also focuses on assisting clients in transforming their internal audit functions with the use of audit technology tools to provide better assurance and value to internal audit stakeholders. Ms. Lam is a member of the Hong Kong Institute of Certified Public Accountants (HKICPA) and Institute of Internal Auditors.

Ms. Stephanie Leung

Associate Director, Protiviti

Ms. Leung is the leader of the Hong Kong Enterprise Data and Analytics Team at Protiviti. She has over 10 years of experience and specializes in data analytics, data governance, data management, digital transformation, business process improvement, and internal controls review projects. She plays a role in project management, solution design, and implementation. Ms. Leung is a member of HKICPA and Certified Information Systems Auditor (CISA).



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