

E-learning:

New Quality Management Standards Series

COURSE HIGHLIGHTS:

The Hong Kong Institute of Certified Public Accountants hosted a series of live virtual workshops on the new Quality Management Standards which cover (a) HKSQM 1 Quality Management for Firms that Perform Audits or Reviews of Financial Statements, or Other Assurance or Related Services Engagements; (b) HKSQM 2 Engagement Quality Reviews; and (c) HKSA 220 (Revised) Quality Management for an Audit of Financial Statements.

The series includes three archived virtual workshops aiming to highlight the key requirements of the standards and provides practical guidance specific to SMPs for their effective implementation.

Topic/ Programme Code	Event Date	Outline
E-learning – Workshop 1: Overview and Key Requirements (ESEMETLS22030801)	8 March 2022 Enrolment starts from 10 May 2022	 Overview and key requirements of, and interaction among HKSQM 1, HKSQM 2 and HKSA 220 (Revised), including: The eight components of a system of quality management (SOQM) Assignment of responsibilities related to SOQM Annual evaluation of SOQM Practical examples to illustrate scalability and tailoring of the requirements to a SMP and implementation considerations for a SMP vs a more complex firm
E-learning – Workshop 2: Implementing the Risk- based Approach (ESEMETLS22040701)	7 April 2022 Enrolment starts from 10 May 2022	 Applications in practical scenarios: Establishment of quality objectives Identification and assessment of quality risks considering the size and complexity of the firm and engagements that it performs Design and implementation of responses to address the identified quality risks Illustrative examples to demonstrate how the risk assessment process, quality risks and responses may differ between a SMP and a more complex firm
E-learning – Workshop 3: Intellectual, Technological and Human Resources Including Those from Service Providers (ESEMETLS22042101)	21 April 2022 Enrolment starts from 10 May 2022	 Deep dive discussion on definition, significant changes and new requirements specified in the standards in relation to various types of resources Practical examples to illustrate how SMPs implement resources to meet the new requirements under different scenarios like: When a SMP uses an IT tool When a SMP uses a service provider to perform audit procedures When a SMP uses a service provider to provide monitoring activities

Fee HKICPA member or student: \$575 (for each) International Affiliate (IA): \$575 Non-member: \$865

Language Cantonese with English handouts and terminologies

CPD hours (for each)

2

Competency Auditing and Assurance

Rating Intermediate Level*

Participants Professional accountants working in the field of auditing or with an interest in auditing

* Please click here for online registrations and refer to the Institute's online CPD Learning Resource Centre for descriptions of competency and rating.

ABOUT THE SPEAKER:



Ms. Eddie Ng Partner, KPMG

Ms. Ng specializes in quality assurance and audit reporting and provides technical and training support on auditing and assurance matters. She also has extensive experience in maintaining the firm's system of quality control under the extant quality control standards. Her recent roles include coordinating the firm's implementation of the system of quality management under the new quality management standards. Ms. Ng also represents KPMG China in KPMG Global working group on system of quality management.

ABOUT THE REGISTRATION:

- Online registration is recommended, please click here or visit www.hkicpa.org.hk
- To register offline (by post or by fax), please complete the enclosed enrolment form and return it with full payment to the Institute.
- Confirmation of registration will be sent by email. If you have not received confirmation of your application, please contact us.

Payment & Enrolment Status Enquiry:

Phone: 2287 7381

e-mail: enrolment@hkicpa.org.hk / Fax: 2893 9853

Course Information Enquiry:

Phone: 2287 7074 / e-mail: tls@hkicpa.org.hk

HONG KONG INSTITUTE OF CERTIFIED PUBLIC ACCOUNTANTS CONTINUING PROFESSIONAL DEVELOPMENT

e-Seminar Subscription Form Finance & Operations Department Hong Kong Institute of CPAs, 37/F, Wu Chung House, 213 Queen's Road East, Hong Kong Log on "MyCPA" at http://www.hkicpa.org.hk to check your e-Learning registration status

Payment & Enrolment Status Enquiry: 2287 7381 Course Information Enquiry: 2287 7074 e-mail: enrolment@hkicpa.org.hk e-mail: tls@hkicpa.org.hk Fax: 2893 9853 Full name: (Mr./Ms.) Membership no.: □ IA Membership type: ■ Member Registered Student □ GAA Passport Holder ■ Non-Member Company: Tel.: Fax: E-mail * (must complete): Mailing address: Contact information is used solely for communication of this particular event, without update to your member profile. A confirmation email will be sent to you within 2 weeks when your application and payment are confirmed, in which you will be given a login ID and password. You are entitled to unlimited access to the subscribed programme for six months, unless specified otherwise. In order not to delay the process, please ensure payment is made together with your application. Course Title (e.g. Annual Accounting Update 2020: The "New Normal" Course Code (e.g. No. Fee (HK\$) ESEMEC13501) **Financial Reporting)** 1. 2. 3. Total (HK\$) **Payment Method** (Please tick the appropriate box)) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA" ☐ Cheque (no. ■ BOC HKICPA VISA ■ BOC HKICPA UnionPay card Other VISA / MasterCard Card Expiry Date Card Number: (MM/YY): Cardholder's Name (block letters): Cardholder's Signature: Date: Payment receipt will be sent to your email address provided above once the payment is confirmed.

NOTES:

- Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly not accepted. Please ensure all the particulars relating to payment are completed, otherwise the application cannot be processed. Please fax the completed form to 2893 9853.
- 2. In normal circumstances, the course fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the course be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
- 3. Confirmation of enrolment will be sent to you via email within 2 weeks, in which you will be given your login ID and password. You can check your enrolment status at "MyCPA" at www.hkicpa.org.hk. Unsuccessful enrolment will be notified with full refund. In order not to delay the process, please ensure payment is made together with your application. If you do not receive any confirmation email from the Institute, please contact us at 2287 7074.
- 4. Your login ID and password are for your exclusive use ONLY and you should not share them with anyone.
- 5. Unless specified otherwise, each subscription comes with an unlimited access to the subscribed programme for six months upon confirmation. The expiry date will be specified in the confirmation email.
- 6. Your personal data collected from the enrolment process and administration of courses will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis and, if applicable, for validation of CPD hours. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of CPD activities, members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link https://www.hkicpa.org.hk/en/members-area/comm-preference/. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at privacyofficer@hkicpa.org.hk/en/service-tools/privacy-policy/.
- 7. No unauthorized audio or video recording and/or downloading is allowed for the online courses.

For non-member and firm payment by cheque, please fill-in your postal address for refund. (Remarks: For payment by credit card, refund will be made directly to your credit card.)			
Name:	Name:		
Address:	Address:		