



Auditing and Assurance:

Workshop for New Auditors – Rerun (June 2023)

COURSE HIGHLIGHTS:

The Workshop for New Auditors is a tailor-made programme that provides the core concepts and practical skills essential for new auditors of financial statement audits.

The workshop teaches the auditing concepts and practical skills, as well as shares recent practice experience relevant to new auditors. The workshop also provides an awareness of other aspects of the audit process.

This 21-hour workshop applies an interactive learning format through discussions, case studies and role-play. Pre/Post course reading or exercises are required.

Workshop programme (subject to change):

Day 1	Day 2	Day 3
 Basics of an audit Auditing and financial reporting framework in Hong Kong Obtaining knowledge of client's business Systems, controls and walkthrough testing Financial statements assertions 	 Determining materiality Types of audit tests Audit sampling Dealing with errors Audit procedures of selected business cycles 	 Review of statutory records Dealing with client on site Sharing of common deficiencies Fundamental ethics for professional accountants

Date and Time / Programme Code	Format / Language / Venue	Fee
Date and Time:	Format: Workshop	• HK\$2,500
7 June 2023 (Wed) 12 June 2023 (Mon) 19 June 2023 (Mon)	Language: Cantonese with English handout and terminology Venue: HKICPA Training Centre 27/F, Wu Chung House, Wanchai, Hong Kong	- for HKICPA student (by online or offline registration)
• 9:00 am - 5:00 pm for all three days		HK\$2,500 for HKICPA member
Total CPD hours: 21		 for participant enrolled by a CPA (Practising), CPA Firm or Corporate Practice registered with the Accounting and
Programme Code:		Financial Reporting Council#
WSHP23060701		(by <u>offline</u> registration only – enrolment form)
		HK\$4,100 for non-member by <u>offline</u> registration only – enrolment form





ABOUT THE SPEAKERS:

Andrew Wong

Director, Audit Quality and Professional Development, KPMG

Andrew is a member of the Institute. He has extensive practical experience in audit and assurance, including that of various listed companies and multinational corporates.

Andrew is currently the Head of Hong Kong Audit Learning and Development of KPMG. He has been designing and delivering internal learning solutions to audit professionals across Hong Kong and the mainland China offices for ten years. He is also a speaker of a university in the MSc program in Accounting.

Jessica Lau

Manager, Audit Quality and Professional Development, KPMG

Jessica is a member of the Institute. She has broad practical audit experience in a wide range of multinational enterprises and listed companies.

Jessica has over five years' experience in deploying and delivering internal training programmes to audit professionals across Hong Kong and the mainland China offices.

ABOUT THE PROGRAMME:

Competency	Auditing and Assurance
Rating	Foundation Level* * Please refer to the Institute's online CPD Learning Resource Centre for
	descriptions of competency and rating.
Participants	New auditors recently onboard, or audit staff with less than two years of experience
Registration	Enrolment is on a first-come-first served basis
	For HKICPA student members, please click here for online registration

- or register the workshop offline by email or post with the completed enrolment form.
- For HKICPA members, please register the workshop offline by email or post with the completed enrolment form.
- #Non-HKICPA members enrolled by a CPA (Practising), CPA Firm or Corporate Practice registered with the Accounting and Financial Reporting Council has to register the workshop offline by email or post with the completed enrolment form in order to enjoy the member price.

Remarks

In light of the continuing risks posed by COVID-19, the Institute is adopting the following precautionary measures at the workshop (subject to change):

Maximum capacity of the workshop is 60 participants.





- Participants shall maintain good personal hygiene and pay attention to their health condition. If you have any respiratory symptoms, please avoid attending this workshop and consult a doctor.
- To ensure the health of fellow participants, sanitizing gel is provided for disinfection of hands at the venue

Payment & Enrolment Status Enquiry:

Tel: 2287 7381 Email: enrolment@hkicpa.org.hk

Course Information Enquiry:

Tel: 2287 7067 Email: tls@hkicpa.org.hk

Auditing and Assurance:

Workshop for New Auditors - Rerun (June 2023)

7, 12 and 19 June 2023 Event code: WSHP23060701

**Registration by email is accepted only if the payment is made by credit card.
Email: enrolment@hkicpa.org.hk



Finance & Operations Department, Hong Kong Institute of CPAs 37th Floor, Wu Chung House, 213 Queen's Road Est, Hong Kong

Nar	ne: (*Mr. / Mrs. / Ms.)	HKICPA Membership	/Student no.:		
Coi	npany name:		(if applicable)		
Pos	ition held:	Email:			
**M	andatory: Years of experience in auditing:	year(s)	(for enrolment confirmation purpose)		
Tel	ephone No.:	Fax No.:			
dmi	Admission Fee - please tick the applicable option(s) and fill in as appropriate:				
	HK\$ 2,500 per person (HKICPA students/ HKICPA ı	members)			
	HK\$ 2,500 per person (Non-HKICPA members enrolled	I by a CPA (Practising), CPA	Firm or Corporate Practice registered with the AFRC)		
	Name of the CPA (Practising), CPA Firm or Corporate Practice who nominate the enrollee:				
•	Practising Cert. No or Registration No. with the AFRC:				
	Signature of the CPA (Practising), CPA Firm or Corporate Practice who nominate the enrollee:				
	HK\$ 4,100 per person (Non-HKICPA members)				
	Cheque (no) payable to "Hong Kong Insti	itute of Certified Public Acc	ountants" or "HKICPA"		
В	OC HKICPA VISA 🔲 BOC HKICPA Unio	nPay card ☐ Other	VISA /Master Card		
Card	Number:		Card Expiry Date (MM/YY):		
Cardh	older's Name (block letters):	dholder's Signature:	Date:		
	would like to have an official receipt. (Remarks: Official	receipt will be sent to your em	ail address provided above.)		

Notes:

- 1. Please complete the Enrolment Form and return it to the Finance & Operations on or before the deadline, together with full payment.
- 2. Registration by email will ONLY be processed when payment is made by credit card.
- 3. Cash is strictly NOT accepted. For credit card payment, the credit card should be valid with expiry date at least 1 month from the date of event. For payment by cheque, the enrolment will only be processed upon receipt of full payment.
- 4. For registration by post or email, enrollment will be confirmed one week before the workshop commencement date. No telephone reservation will be accepted.
- 5. Confirmation of enrolment will be sent to you via email. Unsuccessful enrolment will be notified with full refund.
- 6. All scheduled events will be cancelled and postponed to a date to be announced in the event of typhoon signal no. 8 or above or if a Black Rainstorm warning is hoisted or unforeseen circumstances. For details of bad weather arrangement for CPD programmes, please refer to the Institute's homepage.
- 7. In normal circumstances, the seminar fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the seminar be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
- 8. No unauthorized audio or video recording is allowed at CPD events.

Personal Data: Your personal data collected from the enrolment process and administration of courses/events/activities will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link http://mas.hkicpa.org.hk/mycpa/communication/preference. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at privacy-policy/. Privacy officer. For more information about the privacy-policy/.

Payment & Enrolment Status Enquiry: 2287-7381 e-mail: enrolment@hkicpa.org.hk	Event Information Enquiry: 2287-7067 e-mail: tls@hkicpa.org.hk	
For non-member and firm payment by cheque, please fill-in your postal address in case of refund:		
Name :	Name :	
Address:	Address:	