

Auditing and Assurance: Workshop for Audit Managers/Seniors In-Charge

COURSE HIGHLIGHTS:

The *Workshop for Audit Managers/Seniors In-Charge* is a tailor-made programme focusing advanced auditing topics such as risk assessment procedures, auditing accounting estimates, going concern assessment etc. The workshop teaches auditing concepts and practical skills, as well as sharing of recent practice experience relevant to an audit manager/senior in-charges.

This 21-hour workshop applies an interactive learning format through discussions, case studies and role-play. To be eligible for enrolment, participants should have more than two years of auditing experience with fundamental knowledge of auditing. Pre/Post course reading or exercises are required.

Indicative workshop agenda (subject to change):

Day 1	Day 2	Day 3
<ul style="list-style-type: none"> Overview of an audit planning Client and engagement acceptance Code of ethics for professional accountants and case sharing Risk assessment 	<ul style="list-style-type: none"> Consideration of fraud Materiality Audit evidence and documentation Auditing accounting estimates Using the work of others 	<ul style="list-style-type: none"> Auditing a group Subsequent events Going concern Related parties Forming an audit opinion Review the work of others

ABOUT THE PROGRAMME:

Event code	Date and Time	Format / Language	Venue	Fee
WSHP22110401	4-Nov-2022 (Fri) 9-Nov-2022 (Wed) 11-Nov-2022 (Fri) 9:00am - 5:00pm for all three days Total CPD hours of the workshop: 21 hours*	Workshop / Cantonese with English handout and terminology	HKICPA Training Centre 27/F, Wu Chung House, Wanchai, Hong Kong	<ul style="list-style-type: none"> HK\$2,500 <ul style="list-style-type: none"> for HKICPA members or student members #for participants enrolled by a HKICPA practicing member HK\$4,100 <ul style="list-style-type: none"> for non-members <p>#Only offline registration with completed enrolment form and payment details is accepted</p>

* Actual CPD hours attained is based on the actual length of time the participant attends the workshop. Please visit [HKICPA webpage](#) for the Institute's CPD policies and requirements.



Competency Auditing and Assurance

Rating Intermediate Level*

** Please refer to the [Institute's online CPD Learning Resource Centre](#) for descriptions of competency and rating.*

Participants Audit managers or senior in-charges possessing more than two years of auditing experience with fundamental knowledge in auditing

Registration Only **offline** registration by email/post with completed enrolment form and payment details is accepted.

By email: enrolment@hkicpa.org.hk

By post: **Finance & Operations Department**
Hong Kong Institute of CPAs
37th Floor, Wu Chung House,
213 Queen's Road East
Hong Kong

Non-members enrolled by a HKICPA practising member should provide the name and membership no. of the practising member in order to enjoy the member price.

Remarks

In light of the continuing risks posed by COVID-19, the Institute is adopting the following precautionary measures at the workshop (subject to change):

- Maximum capacity of the workshop is 40 participants
- All speakers and participants will be required to:
 - submit a health declaration form upon entering the classroom
 - wear a face mask at the Institute and throughout the workshop
 - undergo compulsory temperature screening at the Institute's registration counter
 - use sanitizing gel provided for disinfection of hands before entering the workshop venue
 - sit at a distance from each other and avoid close contact
- No refreshment or drinks will be provided during the workshop
- Participants are **NOT ALLOWED** to bring/eat food and drinks at the venue
- Require to scan "LeaveHomeSafe" app or complete a visitor registration form when participants visiting at HKICPA office



ABOUT THE SPEAKERS:

Cyrus Chan

Senior Manager, Deloitte Touche Tohmatsu

Cyrus has more than 24-year professional experience in audit and assurance for entities from different industries, such as manufacturing, trading, mining and properties development. He is currently specialized in learning and development for his firm's practitioners, such as developing, delivering or coordinating learning programs of different competencies like financial accounting, audit and assurance as well as other generic competencies.

Cyrus is currently a member of the HKICPA and Association of Chartered Certified Accountant (ACCA).

William Siu

Senior Manager, Ernst & Young

William has 10 years of experience in providing assurance and advisory services to financial services clients in Hong Kong. These include commercial and investment banks, securities firms and asset management companies. He also facilitates and delivers audit related in-house training courses and support colleagues' professional development regularly.

William is a member of the HKICPA and the Institute of Chartered Accountants in England and Wales.

Payment & Enrolment Status Enquiry:

Tel: 2287 7381
Email: enrolment@hkiipa.org.hk

Event Information Enquiry:

Tel: 2287 7386
Email: tls@hkiipa.org.hk

Disclaimer

- *The opinions expressed by external guest speakers are, by their nature, those of the speaker. They are not necessarily endorsed by the Institute or reflect the official policies and views of the Institute or its members.*
- *The Institute does not endorse or recommend any products or services and is not responsible to any loss or damage arising from using such products or services.*
- *Participants are reminded that there are a wide range of products or services available in the market. Participants should carry out their own research and obtain independent advice before subscribing any products or services.*
- *The Institute also takes no responsibility for any loss associated to any person acting or refraining from acting as a result of participation in the event.*

4, 9 and 11 November 2022



**Finance & Operations Department,
Hong Kong Institute of CPAs**
37th Floor, Wu Chung House,
213 Queen's Road East, Hong Kong
Fax no: 2893-9853

Telephone No.: _____ Fax No.: _____

Notes:

1. Please complete the Enrolment Form and return it to the Finance & Operations on or before the deadline, together with full payment.
2. Registration by fax will ONLY be processed when payment is made by credit card.
3. Cash is strictly NOT accepted. For credit card payment, the credit card should be valid with expiry date at least 1 month from the date of event. For payment by cheque, the enrolment will only be processed upon receipt of full payment.
4. For registration by post or email, enrollment will be confirmed one week before the workshop commencement date. No telephone reservation will be accepted.
5. Confirmation of enrolment will be sent to you via email. Unsuccessful enrolment will be notified with full refund.
6. All scheduled events will be cancelled and postponed to a date to be announced in the event of typhoon signal no. 8 or above or if a Black Rainstorm warning is hoisted or unforeseen circumstances. For details of [bad weather arrangement](#) for CPD programmes, please refer to the Institute's homepage.
7. In normal circumstances, the seminar fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the seminar be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
8. No unauthorized audio or video recording is allowed at CPD events.

Personal Data: Your personal data collected from the enrolment process and administration of courses/events/activities will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link <http://mas.hkicpa.org.hk/mycpa/c/hkcommunication/preference>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at privacyofficer@hkicpa.org.hk or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <http://www.hkicpa.org.hk/en/service-tools/privacy-policy/>.

Payment & Enrolment Status Enquiry: 2287-7381 e-mail: enrolment@hkipa.org.hk	Event Information Enquiry: 2287-7386 e-mail: tls@hkipa.org.hk
For non-member and firm payment by cheque, please fill-in your postal address in case of refund:	
Name :	Name :
Address :	Address :