

**Financial Services Interest Group
e-Seminar:**

The Latest Development of Regulatory Technology (archived webinar)

(Programme Code: ESEMFSIG21112201)



Technology has long been used to assist financial institutions in complying to the regulatory requirements; and undoubtedly regulatory technology ("RegTech") is the latest trend. The local regulators in Hong Kong have their own agenda on RegTech, requesting the governed institutions to use innovative and new ways of leveraging technologies to fulfill the regulatory expectation. However, there are many ensuing matters that financial institutions need to consider before and after the use of RegTech. This e-seminar will explore the overall development of RegTech, including the relationship between assurance and RegTech, the cost and benefits of the usage, and challenges faced, with a focus on how it is related to accountants and auditors.

Speakers

Mr. Felix Cheung

Chairman, RegTech Association of Hong Kong

Mr. Samuel Lung

Partner, Financial Services Business Consulting, Ernst & Young

(Speakers' profile is printed overleaf)

Available period

7 December 2021 – 31 December 2022

Format

e-Seminar

Language

English

Fee

HKICPA member or student or IA: HK\$120

Non-member: HK\$220

(An email will be sent to you when your registration is accepted, which will include login details for the e-Seminar. You can access the e-Seminar by tablet, smart phone or PC.)

Competency*

Information Technology, Industry knowledge

Sub-competency*

Technology-related upgrades and implementations, Business and product knowledge

Rating*

Foundation to Intermediate level

CPD hour

1 (subject to actual access time)

Enrolment deadline

31 December 2022 (offline enrolment by 28 December 2022)

Registration

Enrol online or complete the enrolment form and return it with full payment by the enrolment deadline

* Please refer to the [competencies and ratings for CPD events](#).

About the speakers



Mr. Felix Cheung

Chairman, RegTech Association of Hong Kong

Mr. Cheung is a RegTech innovator and has been using technologies to assist financial institutions across 20 markets in transforming different Governance, Risk and Compliance (GRC) processes. As the Chairman of the RegTech Association of Hong Kong, Mr. Cheung works with a broad spectrum of stakeholders, from early-stage startups to large organisations and service providers, to build the RegTech community in Hong Kong. He is an advocate of RegTech and constantly promotes RegTech adoption and development in Hong Kong and beyond.



Mr. Samuel Lung

Partner, Financial Services Business Consulting, Ernst & Young

Mr. Lung is a Partner of EY, leading the Greater China financial crime compliance consulting practice. Prior to joining EY, Mr. Lung held senior management roles in different financial institutions including the chief operating officer and deputy chief executive of an European bank, head of compliance of a Chinese state-owned bank and head of AML and MLRO of a Swiss private bank, where he was responsible for managing governance, regulatory and financial crime compliance advisory, regulatory filings and handling regulatory onsite and offsite reviews. He is also a Member of the Hong Kong Academy of Finance, a Councillor in the Greater China Divisional Council and a member of the GBA Committee in CPA Australia.

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