



E-learning webinar:

Overview of the new regulatory regime for insurance intermediaries and the Insurance (Financial and Other for Licensed Requirements Insurance Broker Companies) Rules

[Event code: **EWEB2004202001**]

Date	20 April 2020 (Monday)
Time	7:00 p.m. – 8:30 p.m.
Format	Live webinar (There will be real time Q&A)
Speakers	Alan Wu Senior Manager Insurance Authority Fanny Chu Manager Insurance Authority
Language	Cantonese with English Handout and Terminology
Fee	HK\$150 for members / HK\$330 for non-HKICPA member
CPD Credit hours	1.5 hours
Application Deadline	17 April 2020 <i>(An email will be sent to you within two weeks when your registration is accepted, which will include a login ID and password for the webinar. You can access the webinar by tablet, smart phone or PC.)</i>
Competency	Industry Knowledge
Rating	Intermediate Level* - Sound understanding of the knowledge area. The ability to apply knowledge and skills to a range of situations and able to deal with new situations.

* Please refer [here](#) for descriptions of other competencies and ratings

ABOUT THE SEMINAR

The new regulatory regime for insurance intermediaries has been effective from 23 September 2019 and the Insurance (Financial and Other Requirements for Licensed Insurance Broker Companies) Rules has also become effective since the commencement of new regime. This evening seminar mainly covers the highlights of the new regime, the requirements covered in the Rules as follows as well as the updates of the requirements as compared to the Guideline on Minimum Requirements for Insurance Brokers.

- (i) paid-up capital and net assets;
- (ii) professional indemnity insurance;
- (iii) keeping of separate client accounts;
- (iv) keeping of proper books and accounts; and
- (v) submission of audit and related information.

REGISTRATION

- Online registration is recommended, please click [here](#) or visit www.hkicpa.org.hk
- To register offline (by post or by fax), please complete the enclosed enrolment form and return it with full payment to the Institute.
- Enrolments will be accepted on a first-come-first-served basis with priority given to the Institute's members.

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No.	Course Code	Course Title	Fee (HK\$)
1	EWEB2004202001	E-learning webinar: Overview of the new regulatory regime for insurance intermediaries and the Insurance (Financial and Other for Licensed Requirements Insurance Broker Companies) Rules	
2			
3			

* Email address is for enrolment confirmation purpose. Please refer to note (2) for details.

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- Notes:**
1. Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly not accepted. Please ensure all the particulars relating to payment are completed, otherwise the application cannot be processed. There is NO need to send in the enrolment form again if it has already been faxed to the Institute. Please fax the completed form to 2893 9853.
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 4. Your login ID and password are for your exclusive use ONLY and you should not share them with anyone.
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For payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled.

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