

Auditing and Assurance:

Workshop for New Auditors (pilot run) - Rerun

COURSE HIGHLIGHTS:

The Workshop for New Auditors is a new and tailor-made programme that provides the core concepts and practical skills essential for new auditors of financial statement audits.

The workshop teaches the auditing concepts and practical skills, as well as shares recent practice experience relevant to new auditors. The workshop also provides an awareness of other aspects of the audit process.

This 21-hour workshop applies an interactive learning format through discussions, case studies and role-play. Pre/Post course reading or exercises are required.

Workshop programme (subject to change):

Day 1	Day 2	Day 3
<ul style="list-style-type: none"> Basics of an audit Auditing and financial reporting framework in Hong Kong Fundamental ethics for professional accountants Sharing of common deficiencies 	<ul style="list-style-type: none"> Obtaining knowledge of client's business Systems, controls and walkthrough testing Financial statements assertions Determining materiality Types of audit tests Audit sampling Dealing with errors 	<ul style="list-style-type: none"> Audit procedures of selected business cycles Dealing with client on site

ABOUT THE PROGRAMME:

Event code	Date and Time	Format / Language	Venue	Fee
WSHP20012001	20 Jan 2020 (Monday) 22 Jan 2020 (Wednesday) 24 Jan 2020 (Friday) <ul style="list-style-type: none"> 9:00am - 5:00pm for all three days Total CPD hours: 21 	Workshop / Cantonese with English handout and terminology	HKICPA Training Centre 27/F, Wu Chung House, Wanchai, Hong Kong	<ul style="list-style-type: none"> HK\$2,300* - for HKICPA student member HK\$2,300* (by offline registration only – enrolment form) - for HKICPA member - for participant enrolled by HKICPA practicing member[#] HK\$3,740* for other non-member (by offline registration only – enrolment form)

**This is a special price offered for the pilot run. Participants attending the pilot run are expected to provide feedback on the workshop through survey.*



Competency	Auditing and Assurance
Rating	Foundation Level*
Participants	HKICPA student members; audit staff with less than two years of experience nominated by HKICPA practicing members
Registration[#]	<ul style="list-style-type: none">• Online registration is only applicable to HKICPA student members (Click here for online registrations).• Non-member enrolled by HKICPA practicing member should register by returning the completed Enrollment Form (by email / post) in order to enjoy the member price.• HKICPA Member / Other non-HKICPA member should register by returning the completed Enrollment Form by email / post.

For registration by post or email, enrollment will be confirmed one week prior to the workshop commencement date via email. No telephone reservation will be accepted.

*Please refer to the [Institute's online CPD Learning Resource Centre](#) for descriptions of competency and rating.

ABOUT THE SPEAKERS:

Vincent Li

Partner of ShineWing PRC and ShineWing Hong Kong

Mr. Li is a Partner of ShineWing PRC and ShineWing Hong Kong. He has over 30 years of experience in providing auditing and accounting services to international and multinational enterprises in Hong Kong and Mainland China. He also assisted various Hong Kong entities, PRC state-owned enterprises and PRC local entities in their listing on stock exchanges in Hong Kong, Singapore and South Korea, and merger and acquisition transactions of large PRC enterprises. Prior to joining ShineWing, Mr. Li had been the Audit Partner of Deloitte PRC and China, Audit Partner of Pan-China Hong Kong and Technical Director of Pan-China PRC. He is a regular speaker of the Institute's "Workshops for Listing on HKEX".

Elaine Chung

Head of Enforcement, HKICPA

Ms. Chung has been with HKICPA for 12 years. She was the Deputy Director of the Compliance department before becoming the Head of Enforcement in 2018. Before joining the Institute, Ms. Chung had more than 10 years of audit experience in Hong Kong and in Singapore.

Payment & Enrolment Status Enquiry

Tel: 2287 7381

Email: finance@hkipa.org.hk

Event Information Enquiry

Tel: 2287 7386

Email: tls@hkipa.org.hk

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20, 22 and 24 January 2020



Hong Kong Institute of
Certified Public Accountants
香港會計師公會

Finance & Operations Department,
Hong Kong Institute of CPAs
37th Floor, Wu Chung House,
213 Queen's Road East, Hong Kong
Fax no: 2893-9853

Name: (*Mr. / Mrs. / Ms.) _____ HKICPA Membership/Student no.: _____

(if applicable)

Company name: _____

Position held: _____ Email: _____

(for enrolment confirmation purpose)

****Mandatory: Years of experience in auditing: _____ year(s)**

Telephone No.: _____ Fax No.: _____

Admission Fee: ☐ HK\$ 2,300 per person [Event code: WSHP20012001]

☐ HKICPA students / members

☐ Enrolled by practising members

Practising Member Name: _____

Practising Member No: _____

☐ HK\$ 3,740 per person (Non-HKICPA members)

☐ Cheque (no. _____) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"

☐ BOC HKICPA VISA

☐ BOC HKICPA UnionPay card

☐ Other VISA /Master Card

Card Number:

Card Expiry Date (MM/YY):

Cardholder's Name (block letters):

Cardholder's Signature:

Date:

☐ I would like to have an official receipt. (Remarks: Official receipt will be sent to your email address provided above.)

Notes:

- Please complete the Enrolment Form and return it to the Finance & Operations on or before the deadline, together with full payment.
- Registration by fax will ONLY be processed when payment is made by credit card.
- Cash is strictly NOT accepted. For credit card payment, the credit card should be valid with expiry date at least 1 month from the date of event. For payment by cheque, the enrolment will only be processed upon receipt of full payment.
- For registration by post or email, enrolment will be confirmed one week before the workshop commencement date. No telephone reservation will be accepted.
- Confirmation of enrolment will be sent to you via email. Unsuccessful enrolment will be notified with full refund.
- All scheduled events will be cancelled and postponed to a date to be announced in the event of typhoon signal no. 8 or above or if a Black Rainstorm warning is hoisted or unforeseen circumstances. For details of [bad weather arrangement](#) for CPD programmes, please refer to the Institute's homepage.
- In normal circumstances, the seminar fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the seminar be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
- No unauthorized audio or video recording is allowed at CPD events.

Personal Data: Your personal data collected from the enrolment process and administration of courses/events/activities will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link <http://mas.hkicpa.org.hk/mycpa/communication/preference>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at privacyofficer@hkicpa.org.hk or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <http://www.hkicpa.org.hk/en/service-tools/privacy-policy/>.

Payment & Enrolment Status Enquiry: 2287-7381

e-mail: finance@hkicpa.org.hk

Event Information Enquiry: 2287-7386

e-mail: tls@hkicpa.org.hk

For non-member and firm payment by cheque, please fill-in your postal address in case of refund:

Name :

Name :

Address :

Address :