How to Do the Right Thing Even If No One Is Watching

Can ethics be dangerous to your career? What should you do if you are asked to do something that you believe is illegal or unethical?

Programme Code: SCPD18030502

Although ethical dilemmas are a normal and predictable part of most jobs, they can be dangerous to your career if you are not prepared for the organizational challenges that may test your personal values, moral beliefs, and commitment to doing the right thing.

This interactive seminar examines several real life ethical dilemmas that professionals working in the financial services industry may face in the course of their careers. These cases challenge participants to consider the various courses of action to address the issues involved. Electronic "response software" will give participants the opportunity to vote (using cell phones, tables, or laptops) on the best course of action and to stimulate discussion.

After joining this seminar, participants will learn about:

- Factors leading to unethical behavior
- Fundamental ethical principles
- Rationalizations
- Case analysis/voting/discussion

Date Monday, 5 March 2018

Time 6:30 p.m. – 8:00 p.m.

Venue Hong Kong Institute of CPAs,

27/F., Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong

Format Seminar

Language English

Free for HKICPA member or student and IA/ HKIAAT member or student

Speaker Michael G. McMillan, Ph.D., CPA, CFA

Director, Ethics Education, CFA Institute (Speaker's profile is printed overleaf)

Participants Persons who are interested in knowing more about business ethics

Competency* Ethics and regulations

Rating* Advanced level

CPD hours 1.5

^{*} Please refer to the Institute's online CPD Learning Resource Centre for descriptions of competency and rating.

About the speaker

Michael G. McMillan, Ph.D., CPA, CFA Director, Ethics Education, CFA Institute

Dr. McMillan joined CFA Institute in October of 2008 after more than a decade as a professor of accounting and finance at Johns Hopkins University's Carey School of Business and George Washington University's School of Business. Prior to pursuing a career in academia, he was a securities analyst and portfolio manager at Bailard, Biehl, and Kaiser and Merus Capital Management in San Francisco, California.

As the Director, Ethics Education, Dr. McMillan is responsible for creating and developing educational content for CFA Institute members and investment professionals in the area of ethics and professional standards. Prior to joining the Institute, Dr. McMillan served in a variety of volunteer capacities at the CFA Institute. He was a member of the council of examiners for 10 years, and a grader for the CFA Level II and Level III exams for 12 years.

Dr. McMillan is the treasurer and a member of the board of directors of the Alexandria Police Foundation, which provides resources to Alexandria police officers that improve officer performance, morale, and effectiveness. He is also the treasurer and a member of the board of directors of Fort Dupont Ice Arena in Washington, DC.

Prior to joining CFA Institute, he was a vice president and treasurer of the CFA Society of Washington, DC. Currently, he is a member of the editorial board of the Journal of Business Research and member of the Society for Business Ethics.

Dr. McMillan has a PhD in accounting and finance from George Washington University, an MBA from Stanford University, and BA from the University Pennsylvania. He is a Chartered Financial Analyst (CFA), a Chartered Investment Counselor, and a Certified Public Accountant (CPA).



To confirm your CPD booking, just log on to "My CPA" at http://www.hkicpa.org.hk

FOR OFFICE USE

HKICPA Event Enrolment Form

How to Do the Right Thing Even If No One Is Watching 5 March 2018 (SCPD18030502)

Finance & Operations Department, Hong Kong Institute of CPAs, 37/F, Wu Chung House, 213 Queen's Road East, Hong Kong

Payment & Enrolment Status Enquiry: 2287 7381

e-mail: finance@hkicpa.org.hk

Personal information

Name: (Mr./Mrs./Ms.)

Position held: HKICPA Membership No.:

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2287 7386 / 2287 7253

Notes:

- 1. Confirmation of enrolment will be sent to you via email. You can check your enrolment status at "MyCPA" at www.hkicpa.org.hk. Unsuccessful enrolment will be notified
- 2. NO ADMISSION TICKET will be issued. Please bring your HKICPA membership card or confirmation email for admission purpose.
- All applications are on a first-come-first-served basis.
- 4. All scheduled events will be cancelled and postponed to a date to be announced in the event of typhoon signal no. 8 or above or if a Black Rainstorm warning is hoisted. For details of bad weather arrangement for CPD programmes, please refer to the Institute's homepage.
- 5. The Institute reserves the right to change the venue, date, speaker or to cancel the event due to unforeseen circumstances.

* Email address is for enrolment confirmation purpose. Please refer to note (1) for details.

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