



SFC enforcement trends and priorities - corporate misconduct and fraud

About the topic

Our speaker for this lunch seminar is Eugène Goyne, Strategic Operations Coordinator and Senior Director, Enforcement at the Securities and Futures Commission (SFC). His presentation will focus on developments in SFC enforcement related to listed company fraud and misconduct. It will cover the SFC's recent strategic review of its enforcement division, its enforcement priorities and strategy. It will review recent SFC cases involving listed companies and positive lessons directors and officers can draw to avoid risks and enforcement action.

About the programme

Date & Time 19 July 2017, Wednesday

Venue HKICPA training centre
27th Floor, Wu Chung House
213 Queen's Road East, Wanchai

Fee HK\$230 per person for RIF members/
ForensIG members
HK\$330 per person for Non-RIF members/
Non-ForensIG members
Priority is given to RIF and ForensIG members

CPD credit 1 hour (subject to actual attendance)

**Law Society
CPD credit** Being applied for

Language English

Competency Insolvency*

Rating Intermediate/Advanced level*

**Application
deadline** 17 July 2017

Rundown

12:30 pm Registration
(Sandwiches & drinks provided)

1:00 pm Introduction

1:05 pm Presentation

1:50 pm Q&A / Discussion

2:00 pm Closing remarks

Chair

Terry Kan
Deputy Chair, RIF Executive
Committee; and Partner, SHINEWING
Specialist Advisory Services Limited

* Please refer [here](#) for descriptions of the various ratings and competencies.



About the speaker

Eugène Goyne

Strategic Operations Coordinator and Senior Director, Enforcement, SFC

Eugène Goyne is a lawyer and leads investigations and civil and criminal litigation in the SFC enforcement division and previously led a litigation team. He also advised on drafting of the enforcement provisions of the Securities and Futures Ordinance and recently on the Financial Institutions (Resolution) Ordinance. Before coming to HK, he worked in Australia in corporate law, law enforcement, legislative policy on company and competition law and advised on government privatisations.

Registration

By post or fax:

Complete the attached reply slip and return it to the Institute on or before 17 July 2017, together with full payment. Cheque should be made payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA". Fax registration will be accepted for payment by any VISA/ Master card or the BOC HKICPA UnionPay card.

You may consider your registration to be successful unless you receive notification from us to the contrary.



Hong Kong Institute of
Certified Public Accountants
香港會計師公會

Event Code: SCPD17071902

Finance & Operations Department
Hong Kong Institute of CPAs
37th Floor, Wu Chung House
213 Queen's Road East
Wanchai, Hong Kong

Fax no: 2893 9853

19 July 2017

(Reply on or before 17 July 2017)

FOR OFFICE USE

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Handled by:

Confirmation of enrolment will be sent to you via email. You can check your enrolment status at "MyCPA" at www.hkicpa.org.hk.
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Admission Fee:		<input type="checkbox"/> RIF member/ ForensIG member: HK\$230 per person x _____ person(s) = HKD _____	
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Notes:

- Enrolments are accepted on a first-come-first-served basis. Telephone reservations will not be accepted.
- Full payment must be made before applications will be processed. Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly NOT accepted.
- The closing date for enrolment is 17 July 2017.
- Confirmation of enrolment will be sent to you via email. You can check your enrolment status at "MyCPA" at www.hkicpa.org.hk. Unsuccessful enrolment will be notified with full refund.
- In normal circumstances, the seminar fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the seminar be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
- Bad weather arrangement: The seminar will be cancelled if typhoon signal no. 8 or above/ black rainstorm warning is hoisted, as announced by the Hong Kong Observatory, at or after 6:30 a.m. on the date of the event. In the event of cancellation due to bad weather, the admission fee will be refunded.

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